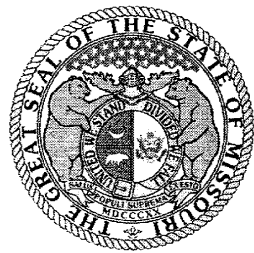


Fiscal Year 2011 Budget Request



DIFP

Department of Insurance,
Financial Institutions &
Professional Registration

Jeremiah W. (Jay) Nixon
Governor

John M. Huff
Director

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Missouri Department of Insurance, Financial Institutions and Professional Registration
FY2011 Budget Request
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Department of Insurance, Financial Institutions and Professional Registration Overview

Created in 2006, the department consists of the former Missouri Department of Insurance and the Divisions of Finance, Credit Unions and Professional Registration formerly within the Missouri Department of Economic Development. The department protects consumers through oversight of the insurance industry, financial institutions and licensed professionals.

The department organizational structure includes the director's office and seven divisions.

Director's Office: Charged with overseeing operations of the department. The director's office handles regulatory transactions, provides legal assistance to other divisions in the department and coordinates dissemination of department information to the public.

Resource Administration Division: Responsible for department-wide administrative support functions—including accounting, human resources, budget and information systems. The division is also responsible for the licensing of insurance producers operating within the state as well as public adjusters, bail bondsmen and surplus lines brokers.

Consumer Affairs Division: Answers around 30,000 calls each year from Missourians about insurance through the department's consumer hotline. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and producers. The division also provides insurance education and outreach activities to Missouri citizens.

Insurance Market Regulation Division: Reviews around 10,000 policies, rates, products and other pertinent material filed by insurance companies each year to ensure compliance with state statutes and regulations. Performs market conduct examinations of insurance companies to ensure equitable treatment of policyholders, determine compliance with the statutes and regulations of the state; and actively monitor the insurance marketplace.

Insurance Company Regulation Division: Responsible for monitoring and analyzing the financial solvency of insurance companies licensed in the state. The division performs financial examinations of insurance companies to prevent insolvencies and to take the appropriate action against financially unsound or incompetently managed companies. Also certifies and collects about \$200 million in premium taxes due the state.

Division of Credit Unions: Responsible for the examination, supervision, chartering, merger and liquidation of Missouri's 140 state-chartered credit unions. The division also responds to consumer complaints against credit union services or operations. Missouri credit unions have approximately 1.2 million member and assets exceeding \$9.3 billion. Missouri ranks seventh in the nation in the number of state-chartered credit unions.

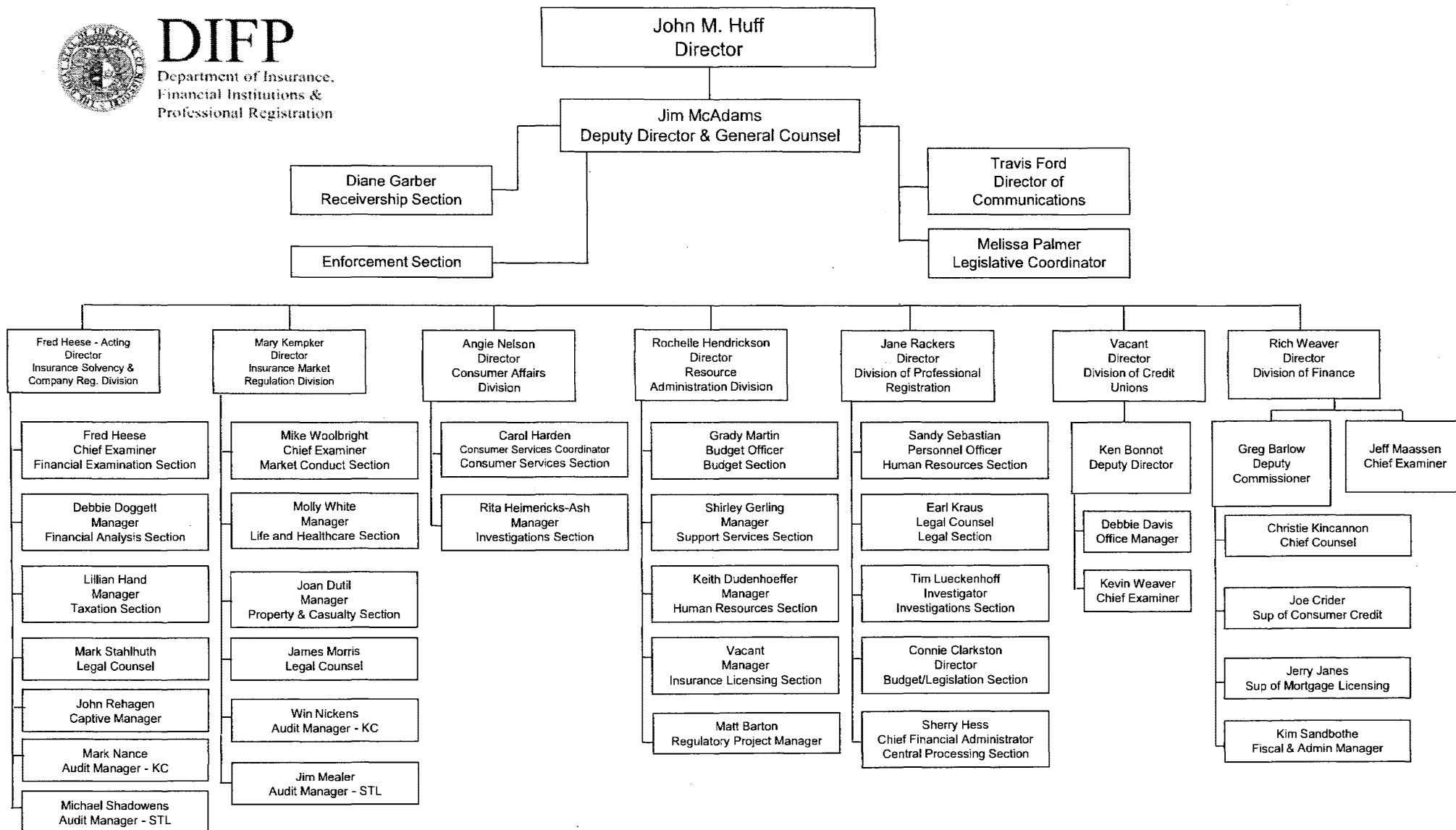
Division of Finance: Responsible for the incorporation and regulation of Missouri's 285 state-chartered banks, non-deposit trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit services organizations, money order companies and mortgage loan originators. Primary objectives include ensuring the safety and soundness of these institutions and the monitoring of compliance with laws and regulations, thereby safeguarding the funds of depositors and maintaining public confidence in Missouri's financial system. Missouri ranks sixth in the nation in the number of state-chartered banks.

Division of Professional Registration: Responsible for supporting 39 professional licensing boards and commissions in licensing and regulating the activities of over 400,000 Missourians representing 240 different trades and professions. The boards and commissions process applications, administer examinations and, when warranted, conduct investigations into possible professional misconduct and may suspend or revoke the license of practitioners.



DIFP

Department of Insurance,
Financial Institutions &
Professional Registration



Missouri Department of Insurance, Financial Institutions and Professional Registration

State Auditor's Reports, Oversight Evaluations and Missouri Sunset Act Reports

Program or Division Name	Type of Report	Date Issued	Website
Department of Insurance Three Years Ended June 30, 2007	Audit	12/1/2007	www.auditor.mo.gov/press/2007-84.htm
Program Evaluation: Insurance Mandates	Oversight Evaluation	9/2006	www.moga.mo.gov/oversight/audits.htm
Division of Professional Registration, State Board of Cosmetology	Audit	2/2006	www.auditor.mo.gov/press/2006-07.htm
Division of Professional Registration, State Board of Barber Examiners	Audit	2/2006	www.auditor.mo.gov/press/2006-06.htm
Department of Insurance Two Years Ended June 30, 2004	Audit	10/2005	www.auditor.mo.gov/press/2005-75.htm
Department of Insurance Three Years Ended June 30, 2002	Audit	7/31/2003	www.auditor.mo.gov/press/2003-77.pdf
Department of Insurance Division of Consumer Affairs Complaint Processing	Audit	6/13/2002	www.auditor.mo.gov/press/2002-43.pdf
Division of Professional Registration, Missouri Board for Architects, Professional Engineers, and Professional Land Surveyors.	Audit	9/26/2001	www.auditor.mo.gov/press/2001-98.htm
State Departments' Travel Regulations, Policies and Procedures	Audit	9/25/2001	www.auditor.mo.gov/press/2001-95.pdf
Division of Professional Registration and the Missouri State Board of Nursing	Audit	8/22/2001	www.auditor.mo.gov/press/2001-64.htm
Department of Insurance	Audit	4/3/2000	www.auditor.mo.gov/press/2000-22.pdf

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
DEPT ADMINISTRATION									
CORE									
PERSONAL SERVICES									
DIFP ADMINISTRATIVE	131,202	2.21	153,121	5.00	153,121	5.00	0	0.00	
TOTAL - PS	131,202	2.21	153,121	5.00	153,121	5.00	0	0.00	
EXPENSE & EQUIPMENT									
DIFP ADMINISTRATIVE	11,346	0.00	42,157	0.00	42,157	0.00	0	0.00	
TOTAL - EE	11,346	0.00	42,157	0.00	42,157	0.00	0	0.00	
TOTAL	142,548	2.21	195,278	5.00	195,278	5.00	0	0.00	
GRAND TOTAL	\$142,548	2.21	\$195,278	5.00	\$195,278	5.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37502C</u>				
Core - Department Administration									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	153,121	153,121	PS	0	0	0	0
EE	0	0	42,157	42,157	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	195,278	195,278	Total	0	0	0	0
FTE	0.00	0.00	5.00	5.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	92,072	92,072	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: DIFP Administrative Fund (0503)					Other Funds:				
2. CORE DESCRIPTION									
<p>Core request for Department Administration. Department Administration staff provide direction and assistance to all divisions within the Department of Insurance, Financial Institutions and Professional Registration through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department wide issues, such as policy and procedure development and setting departmental objectives.</p>									
3. PROGRAM LISTING (list programs included in this core funding)									
Department Administration									

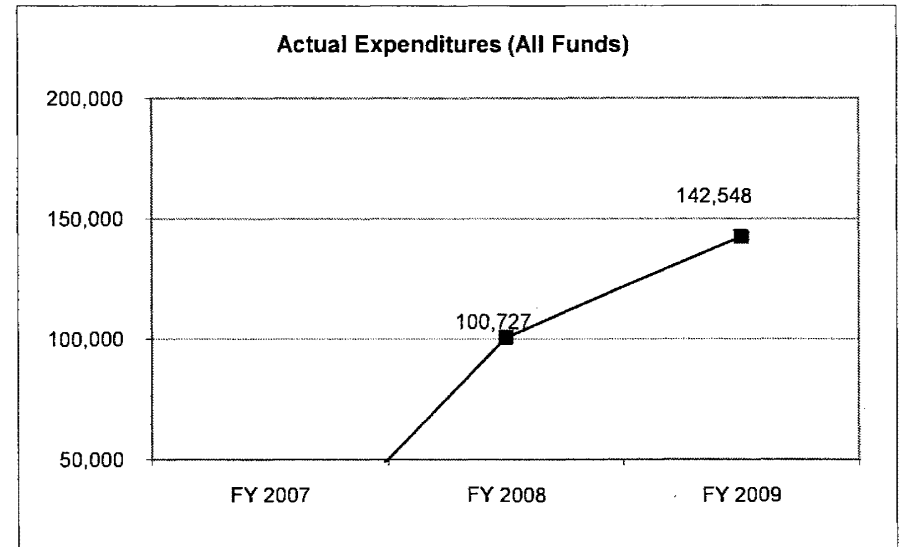
CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration **Budget Unit** 37502C

Core - Department Administration

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	0	190,818	195,278	195,278
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	0	190,818	195,278	N/A
Actual Expenditures (All Funds)	0	100,727	142,548	N/A
Unexpended (All Funds)	0	90,091	52,730	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	0	90,091	52,730	N/A
	(1)			



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Prior to FY2008, the DIFP Department Administration budget unit did not exist. This budget unit was created as part of the implementation of the Governor's Executive Order 06-04. Therefore prior year financial data is not available.

CORE RECONCILIATION DETAIL

DIFP

DEPT ADMINISTRATION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	5.00	0	0	153,121	153,121	
	EE	0.00	0	0	42,157	42,157	
	Total	5.00	0	0	195,278	195,278	
DEPARTMENT CORE REQUEST							
	PS	5.00	0	0	153,121	153,121	
	EE	0.00	0	0	42,157	42,157	
	Total	5.00	0	0	195,278	195,278	
GOVERNOR'S RECOMMENDED CORE							
	PS	5.00	0	0	153,121	153,121	
	EE	0.00	0	0	42,157	42,157	
	Total	5.00	0	0	195,278	195,278	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION								
CORE								
ACCOUNTANT I	3,011	0.10	2,054	0.06	2,054	0.06	0	0.00
ACCOUNTING SPECIALIST I	1,208	0.03	1,212	0.03	1,212	0.03	0	0.00
BUDGET ANAL III	25,461	0.59	26,006	0.60	26,006	0.60	0	0.00
HUMAN RELATIONS OFCR I	1,945	0.05	5,006	0.12	5,006	0.12	0	0.00
PERSONNEL ANAL I	1,169	0.04	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC I	1,556	0.05	2,725	0.09	2,725	0.09	0	0.00
PUBLIC INFORMATION ADMSTR	858	0.02	4,616	0.09	4,616	0.09	0	0.00
LEGISLATIVE COORDINATOR	5,382	0.11	4,238	0.09	4,238	0.09	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	16,242	0.30	16,637	0.31	16,637	0.31	0	0.00
FISCAL & ADMINISTRATIVE MGR B2	2,867	0.05	1,707	0.03	1,707	0.03	0	0.00
HUMAN RESOURCES MGR B1	6,576	0.15	5,306	0.12	5,306	0.12	0	0.00
STATE DEPARTMENT DIRECTOR	12,592	0.11	10,498	0.09	10,498	0.09	0	0.00
DEPUTY STATE DEPT DIRECTOR	16,999	0.16	9,868	0.09	9,868	0.09	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	4,977	0.10	3,614	0.09	3,614	0.09	0	0.00
DIVISION DIRECTOR	8,847	0.10	8,191	0.09	8,191	0.09	0	0.00
DESIGNATED PRINCIPAL ASST DIV	3,866	0.07	0	0.00	0	0.00	0	0.00
LEGAL COUNSEL	0	0.00	23,508	0.58	23,508	0.58	0	0.00
CHIEF COUNSEL	15,562	0.15	0	0.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	2,084	0.03	27,935	2.52	27,935	2.52	0	0.00
TOTAL - PS	131,202	2.21	153,121	5.00	153,121	5.00	0	0.00
TRAVEL, IN-STATE	1,880	0.00	3,157	0.00	3,157	0.00	0	0.00
TRAVEL, OUT-OF-STATE	203	0.00	1,000	0.00	1,000	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	0	0.00
SUPPLIES	5,193	0.00	19,000	0.00	19,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	681	0.00	6,500	0.00	6,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	2,110	0.00	6,000	0.00	6,000	0.00	0	0.00
PROFESSIONAL SERVICES	542	0.00	2,498	0.00	2,498	0.00	0	0.00
M&R SERVICES	358	0.00	1	0.00	1	0.00	0	0.00
OFFICE EQUIPMENT	350	0.00	2,500	0.00	2,500	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION								
CORE								
MISCELLANEOUS EXPENSES	29	0.00	1,500	0.00	1,500	0.00	0	0.00
TOTAL - EE	11,346	0.00	42,157	0.00	42,157	0.00	0	0.00
GRAND TOTAL	\$142,548	2.21	\$195,278	5.00	\$195,278	5.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$142,548	2.21	\$195,278	5.00	\$195,278	5.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Department Administration

Program is found in the following core budget(s): Department Administration

1. What does this program do?

Department Administration staff provide direction and assistance to all divisions within the Department of Insurance, Financial Institutions and Professional Registration through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department wide issues, such as policy and procedure development and setting departmental objectives.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Not applicable.

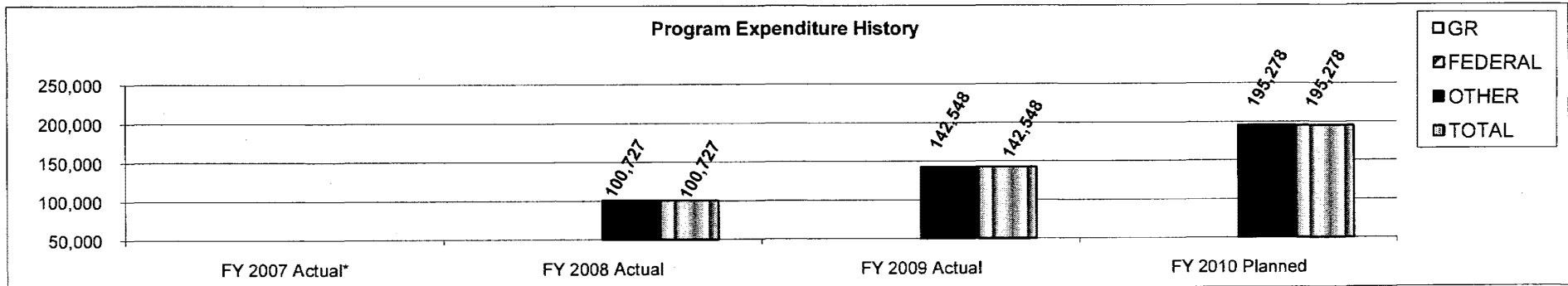
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



*Prior to FY2008, the DIFP Department Administration budget unit did not exist. This budget unit was created as part of the implementation of the Governor's Executive Order 06-04. Therefore prior year financial data is not available.

6. What are the sources of the "Other " funds?

DIFP Administrative Fund (0503)

PROGRAM DESCRIPTION

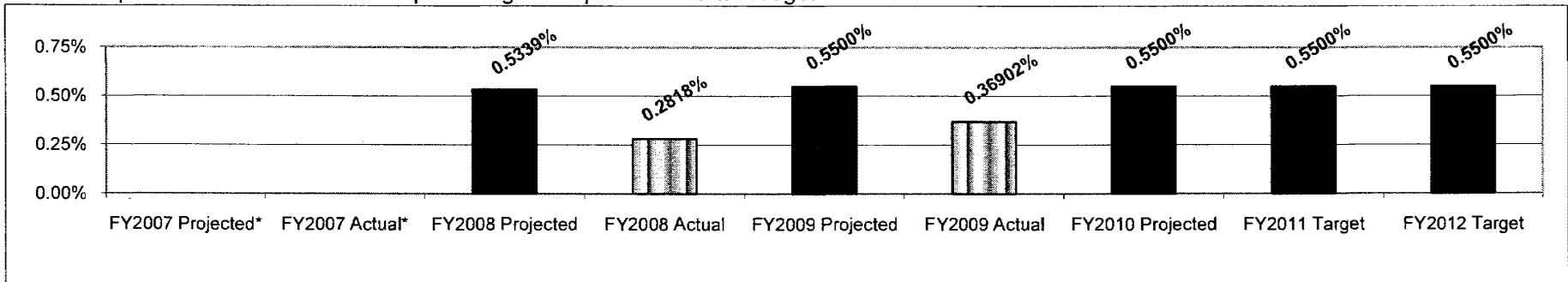
Department of Insurance, Financial Institutions & Professional Registration
Department Administration
Program is found in the following core budget(s): Department Administration

7a. Provide an effectiveness measure.

Not available

7b. Provide an efficiency measure.

Cost of Department Administration as a percentage of department's total budget



*This budet unit began in FY2008.

7c. Provide the number of clients/individuals served, if applicable.

Insurance	200.50 FTE
Finance	106.15 FTE
Credit Unions	15.50 FTE
Professional Registration	<u>218.00</u> FTE
TOTAL	540.15 FTE

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION TRANSFER								
CORE								
FUND TRANSFERS								
DIVISION OF CREDIT UNIONS	38,104	0.00	11,829	0.00	11,829	0.00	0	0.00
DIVISION OF FINANCE	83,252	0.00	73,314	0.00	73,314	0.00	0	0.00
INSURANCE DEDICATED FUND	0	0.00	1	0.00	1	0.00	0	0.00
PROFESSIONAL REGISTRATION FEES	126,494	0.00	172,007	0.00	172,007	0.00	0	0.00
TOTAL - TRF	247,850	0.00	257,151	0.00	257,151	0.00	0	0.00
TOTAL	247,850	0.00	257,151	0.00	257,151	0.00	0	0.00
GRAND TOTAL	\$247,850	0.00	\$257,151	0.00	\$257,151	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37503C</u>				
Core - Transfers to Department Administration									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	257,151	257,151	TRF	0	0	0	0
Total	<u>0</u>	<u>0</u>	<u>257,151</u>	<u>257,151</u>	Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fee Fund (0689), Insurance Dedicated Fund (0566)					Other Funds:				
Notes: An "E" is requested to allow for the transfer of funds for actual costs of administration.					Notes:				
2. CORE DESCRIPTION									
This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.									
3. PROGRAM LISTING (list programs included in this core funding)									
Department Administration Transfer									

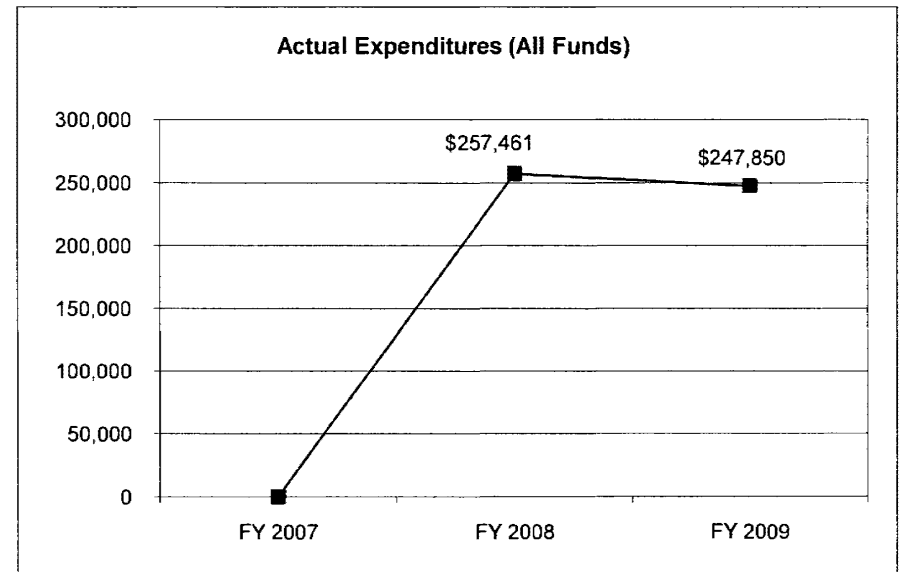
CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration **Budget Unit** 37503C

Core - Transfers to Department Administration

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	N/A	315,651	257,151	257,151 E
Less Reverted (All Funds)	N/A	0	0	N/A
Budget Authority (All Funds)	N/A	315,651	257,151	N/A
Actual Expenditures (All Funds)	N/A	257,461	247,850	N/A
Unexpended (All Funds)	N/A	58,190	9,301	N/A
Unexpended, by Fund:				
General Revenue	N/A	0	N/A	N/A
Federal	N/A	0	N/A	N/A
Other	N/A	58,190	9,301	N/A
	(1)	(2)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Prior to FY2008, the DIFP Department Administration Transfer budget unit did not exist. This budget unit was created as part of the implementation of the Governor's Executive Order 06-04. Therefore prior year financial data is not available.

(2) Original appropriation of \$257,151 E was increased by \$58,500.

CORE RECONCILIATION DETAIL

DIFP

DEPT ADMINISTRATION TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	257,151	257,151	
	Total	0.00	0	0	257,151	257,151	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	257,151	257,151	
	Total	0.00	0	0	257,151	257,151	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	257,151	257,151	
	Total	0.00	0	0	257,151	257,151	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEPT ADMINISTRATION TRANSFER								
CORE								
TRANSFERS OUT	247,850	0.00	257,151	0.00	257,151	0.00	0	0.00
TOTAL - TRF	247,850	0.00	257,151	0.00	257,151	0.00	0	0.00
GRAND TOTAL	\$247,850	0.00	\$257,151	0.00	\$257,151	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$247,850	0.00	\$257,151	0.00	\$257,151	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Department Administration Transfer

Program is found in the following core budget(s): Transfers to Department Administration

1. What does this program do?

This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Not applicable.

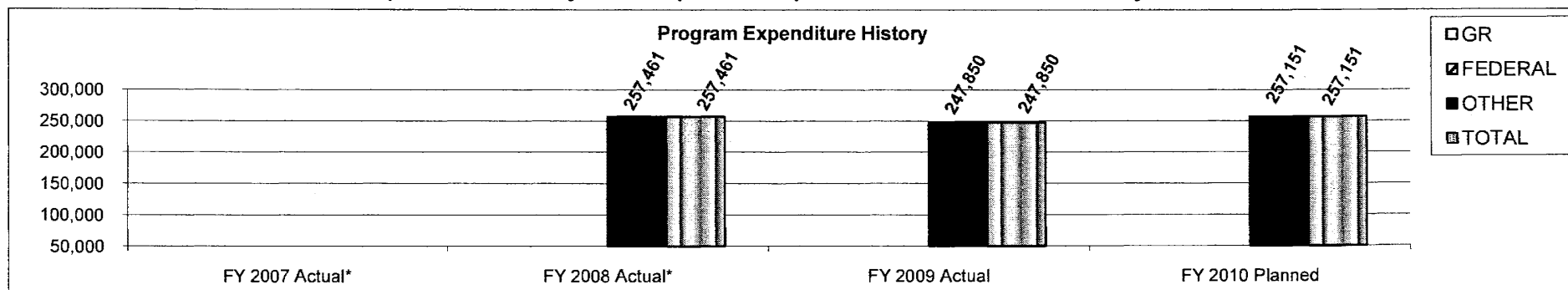
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548), Division of Finance Fund (0550), Professional Registration Fees Fund (0689) and the Insurance Dedicated Fund (0566)

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								
CORE								
PERSONAL SERVICES								
INSURANCE DEDICATED FUND	5,692,712	129.02	6,964,725	156.00	6,964,725	156.00	0	0.00
TOTAL - PS	5,692,712	129.02	6,964,725	156.00	6,964,725	156.00	0	0.00
EXPENSE & EQUIPMENT								
INSURANCE DEDICATED FUND	1,017,928	0.00	1,958,066	0.00	1,955,711	0.00	0	0.00
TOTAL - EE	1,017,928	0.00	1,958,066	0.00	1,955,711	0.00	0	0.00
PROGRAM-SPECIFIC								
CONSUMER RESTITUTION FUND	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL - PD	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL	6,710,640	129.02	8,922,792	156.00	8,920,437	156.00	0	0.00
GRAND TOTAL	\$6,710,640	129.02	\$8,922,792	156.00	\$8,920,437	156.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 37501C				
Insurance									
Core - Insurance Operations									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	6,964,725	6,964,725	PS	0	0	0	0
EE	0	0	1,955,711	1,955,711	EE	0	0	0	0
PSD	0	0	1	1 E	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	8,920,437	8,920,437	Total	0	0	0	0
FTE	0.00	0.00	156.00	156.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	4,187,889	4,187,889	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Insurance Dedicated Fund (0566)					Other Funds:				
Consumer Restitution Fund (0792)									
Notes: "E" on PSD is for consumer restitution payments					Notes:				
2. CORE DESCRIPTION									
Core request for Insurance Operations. The department is responsible for overseeing the insurance industry's compliance with all Missouri insurance laws and regulations and protecting the interests of the Missouri insurance-buying consumer. The department licenses over 100,000 insurance producers (agents, brokers and agencies). The department, acting on complaints from consumers, investigates companies and producers accused of insurance law and regulation violations. The department certifies for collection over \$175 million in premium taxes paid by insurance companies for deposit into general revenue and school funds. The department provides information to over 40,000 consumers each year through a statewide toll-free hotline and continues to increase the amount of information and services available to both consumers and industry through the department's website. Core includes the Consumer Restitution Fund which is for preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director. An estimated appropriation is requested as the number of cases and amounts of funds recovered are not known.									
3. PROGRAM LISTING (list programs included in this core funding)									
Director's Office					Insurance Market Regulation Division				
Consumer Affairs Division					Resource Administration Division				
Insurance Company Regulation Division					Consumer Restitution Fund				

CORE DECISION ITEM

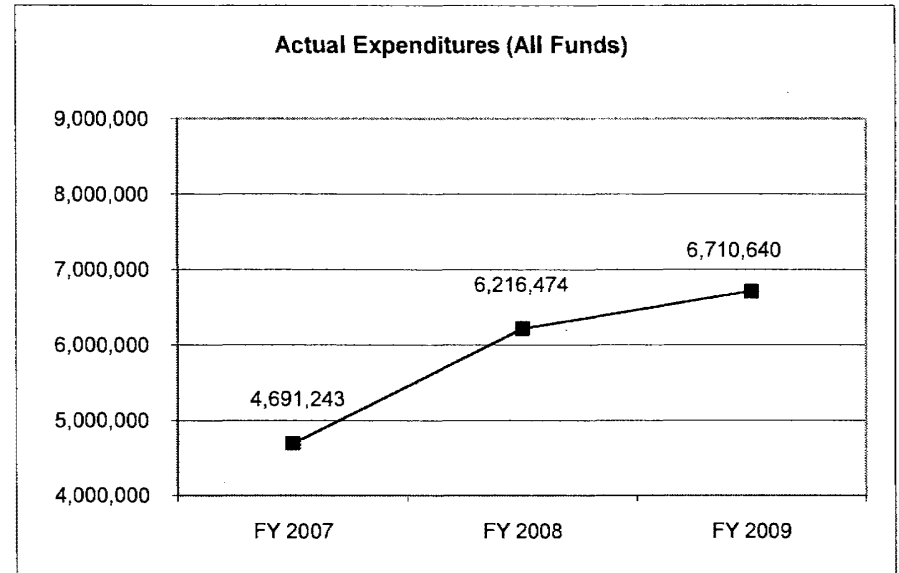
Department of Insurance, Financial Institutions & Professional Registration **Budget Unit** 37501C

Insurance

Core - Insurance Operations

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	5,558,972	6,911,405	8,205,961	8,922,792
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	5,558,972	6,911,405	8,205,961	N/A
Actual Expenditures (All Funds)	4,691,243	6,216,474	6,710,640	N/A
Unexpended (All Funds)	867,729	694,931	1,495,321	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	867,729	694,931	1,495,321	N/A
	(1)	(1)	(2)	(3)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount due to higher than average vacancies during administration transition.
- (3) Appropriation includes a \$1 E in PSD for consumer restitution payments.

CORE RECONCILIATION DETAIL

DIFP
INSURANCE OPERATIONS

5. CORE RECONCILIATION DETAIL

		Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES								
		PS	156.00	0	0	6,964,725	6,964,725	
		EE	0.00	0	0	1,958,066	1,958,066	
		PD	0.00	0	0	1	1	
		Total	156.00	0	0	8,922,792	8,922,792	
DEPARTMENT CORE ADJUSTMENTS								
1x Expenditures	1498 9908	EE	0.00	0	0	(2,355)	(2,355)	1X Expenditures - Implement SB930 FY2010
NET DEPARTMENT CHANGES			0.00	0	0	(2,355)	(2,355)	
DEPARTMENT CORE REQUEST								
		PS	156.00	0	0	6,964,725	6,964,725	
		EE	0.00	0	0	1,955,711	1,955,711	
		PD	0.00	0	0	1	1	
		Total	156.00	0	0	8,920,437	8,920,437	
GOVERNOR'S RECOMMENDED CORE								
		PS	156.00	0	0	6,964,725	6,964,725	
		EE	0.00	0	0	1,955,711	1,955,711	
		PD	0.00	0	0	1	1	
		Total	156.00	0	0	8,920,437	8,920,437	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	31,713	1.00	31,713	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	115,706	5.50	115,706	5.50	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	261,335	11.00	261,335	11.00	0	0.00
OFFICE SERVICES ASST	0	0.00	27,659	1.00	27,659	1.00	0	0.00
ACCOUNT CLERK II	0	0.00	103,997	4.00	103,997	4.00	0	0.00
ACCOUNTANT I	0	0.00	68,647	1.94	68,647	1.94	0	0.00
ACCOUNTING SPECIALIST I	0	0.00	38,200	0.97	38,200	0.97	0	0.00
BUDGET ANAL III	0	0.00	17,338	0.40	17,338	0.40	0	0.00
HUMAN RELATIONS OFCR I	0	0.00	37,544	0.90	37,544	0.90	0	0.00
RESEARCH ANAL II	0	0.00	72,447	2.00	72,447	2.00	0	0.00
RESEARCH ANAL III	0	0.00	121,057	3.00	121,057	3.00	0	0.00
RESEARCH ANAL IV	0	0.00	154,543	3.00	154,543	3.00	0	0.00
PUBLIC INFORMATION SPEC II	0	0.00	26,848	0.91	26,848	0.91	0	0.00
PUBLIC INFORMATION ADMSTR	0	0.00	46,678	0.91	46,678	0.91	0	0.00
PLANNER I	0	0.00	34,636	1.00	34,636	1.00	0	0.00
PLANNER II	0	0.00	77,402	2.00	77,402	2.00	0	0.00
LEGISLATIVE COORDINATOR	0	0.00	41,744	0.91	41,744	0.91	0	0.00
INVESTIGATOR II	0	0.00	255,737	7.00	255,737	7.00	0	0.00
INVESTIGATOR III	0	0.00	43,349	1.00	43,349	1.00	0	0.00
INSURANCE PRODUCT ANALYST II	0	0.00	307,694	10.00	307,694	10.00	0	0.00
INSURANCE PRODUCT ANALYST III	0	0.00	115,647	3.00	115,647	3.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	0	0.00	254,111	6.00	254,111	6.00	0	0.00
WORKERS COMPENSATION SPEC	0	0.00	74,568	2.00	74,568	2.00	0	0.00
INSURANCE FINANCIAL ANALYST II	0	0.00	115,652	3.00	115,652	3.00	0	0.00
CONSUMER SERVICES SPEC II	0	0.00	349,756	10.00	349,756	10.00	0	0.00
CONSUMER SERVICES COORDINATOR	0	0.00	44,221	1.00	44,221	1.00	0	0.00
INSURANCE LICENSING TECH I	0	0.00	215,153	9.00	215,153	9.00	0	0.00
INSURANCE LICENSING TECH II	0	0.00	144,214	6.00	144,214	6.00	0	0.00
TAX AUDITOR I	0	0.00	68,137	2.00	68,137	2.00	0	0.00
TAX AUDITOR II	0	0.00	142,837	4.00	142,837	4.00	0	0.00
PROF REG LICENSING/CERT SUPV	0	0.00	36,675	1.00	36,675	1.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	0	0.00	37,515	0.69	37,515	0.69	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								
CORE								
FISCAL & ADMINISTRATIVE MGR B2	0	0.00	56,575	0.97	56,575	0.97	0	0.00
HUMAN RESOURCES MGR B1	0	0.00	38,908	0.88	38,908	0.88	0	0.00
INVESTIGATION MGR B1	0	0.00	51,418	1.00	51,418	1.00	0	0.00
INSURANCE REGULATORY MGR B1	0	0.00	146,456	3.00	146,456	3.00	0	0.00
INSURANCE REGULATORY MGR B2	0	0.00	151,844	3.00	151,844	3.00	0	0.00
STATE DEPARTMENT DIRECTOR	0	0.00	102,504	0.91	102,504	0.91	0	0.00
DEPUTY STATE DEPT DIRECTOR	0	0.00	97,201	0.91	97,201	0.91	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	0	0.00	35,905	0.91	35,905	0.91	0	0.00
DIVISION DIRECTOR	0	0.00	352,741	3.91	352,741	3.91	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	125,564	3.00	125,564	3.00	0	0.00
PARALEGAL	0	0.00	32,099	1.00	32,099	1.00	0	0.00
LEGAL COUNSEL	0	0.00	188,062	4.00	188,062	4.00	0	0.00
CHIEF COUNSEL	0	0.00	91,006	0.88	91,006	0.88	0	0.00
SENIOR COUNSEL	0	0.00	422,601	7.00	422,601	7.00	0	0.00
ACTUARY	0	0.00	242,413	2.00	242,413	2.00	0	0.00
AUDIT MANAGER-FINANCIAL EXAM	0	0.00	189,686	2.00	189,686	2.00	0	0.00
CHIEF FINANCIAL EXAMINER	0	0.00	106,459	1.00	106,459	1.00	0	0.00
CHIEF MARKET CONDUCT EXAM	0	0.00	94,693	1.00	94,693	1.00	0	0.00
M C EXAMINER II	0	0.00	21,846	0.32	21,846	0.32	0	0.00
M C EXAMINER III	0	0.00	223,366	3.23	223,366	3.23	0	0.00
EXAMINER-IN-CHARGE MC	0	0.00	38,811	0.45	38,811	0.45	0	0.00
AUDIT MANAGER-MARKET CONDUCT	0	0.00	183,374	2.00	183,374	2.00	0	0.00
FINANCIAL EXAMINER III	0	0.00	374,119	5.10	374,119	5.10	0	0.00
EXAMINER-IN-CHARGE FINANCIAL	0	0.00	35,642	0.40	35,642	0.40	0	0.00
REINSURANCE EXAMINER	0	0.00	78,672	1.00	78,672	1.00	0	0.00
TOTAL - PS	0	0.00	6,964,725	156.00	6,964,725	156.00	0	0.00
TRAVEL, IN-STATE	95,365	0.00	154,831	0.00	154,831	0.00	0	0.00
TRAVEL, OUT-OF-STATE	81,947	0.00	372,115	0.00	372,115	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	0	0.00
SUPPLIES	206,329	0.00	238,883	0.00	238,883	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	139,271	0.00	219,801	0.00	219,801	0.00	0	0.00
COMMUNICATION SERV & SUPP	110,633	0.00	168,450	0.00	168,450	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE OPERATIONS								
CORE								
PROFESSIONAL SERVICES	215,315	0.00	475,082	0.00	475,082	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	1	0.00	1	0.00	0	0.00
M&R SERVICES	19,360	0.00	73,545	0.00	73,545	0.00	0	0.00
OFFICE EQUIPMENT	37,760	0.00	57,853	0.00	55,498	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	15,000	0.00	15,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	105,787	0.00	135,001	0.00	135,001	0.00	0	0.00
BUILDING LEASE PAYMENTS	2,328	0.00	25,001	0.00	25,001	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	984	0.00	17,501	0.00	17,501	0.00	0	0.00
MISCELLANEOUS EXPENSES	2,849	0.00	5,000	0.00	5,000	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL - EE	1,017,928	0.00	1,958,066	0.00	1,955,711	0.00	0	0.00
PROGRAM DISTRIBUTIONS	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL - PD	0	0.00	1	0.00	1	0.00	0	0.00
GRAND TOTAL	\$1,017,928	0.00	\$8,922,792	156.00	\$8,920,437	156.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,017,928	0.00	\$8,922,792	156.00	\$8,920,437	156.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Director's Office

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Director's Office provides oversight and management of all department activities. The Director's Office is responsible for policy decisions, regulation, legislation and communications. The Director's Office also includes legal and insurance receivership activities. The department's legal section provides counsel to the Director's Office and each division and section and represents the department directly before courts and the Administrative Hearing Commission. When a judge orders an insurance company into receivership, the director is responsible for either rehabilitating the company or liquidating it as provided by statute and court order.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapters 325, 354 and 374-385 RSMo.

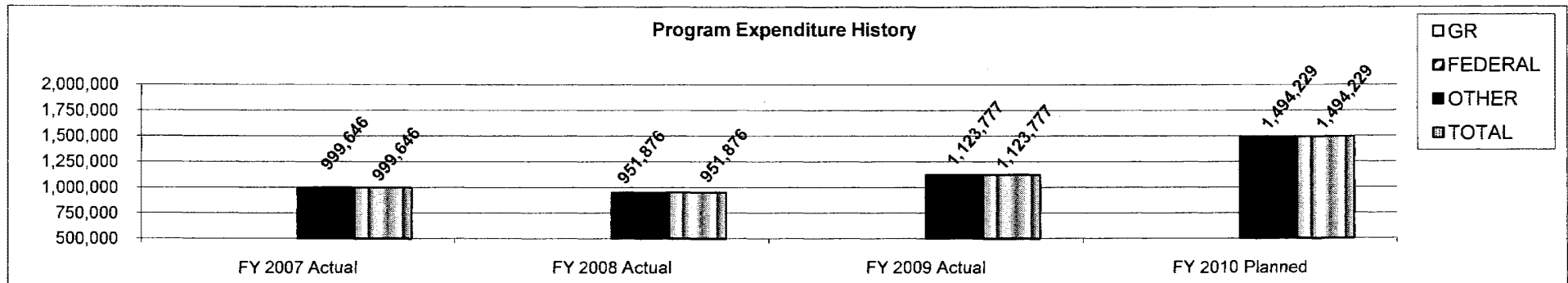
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

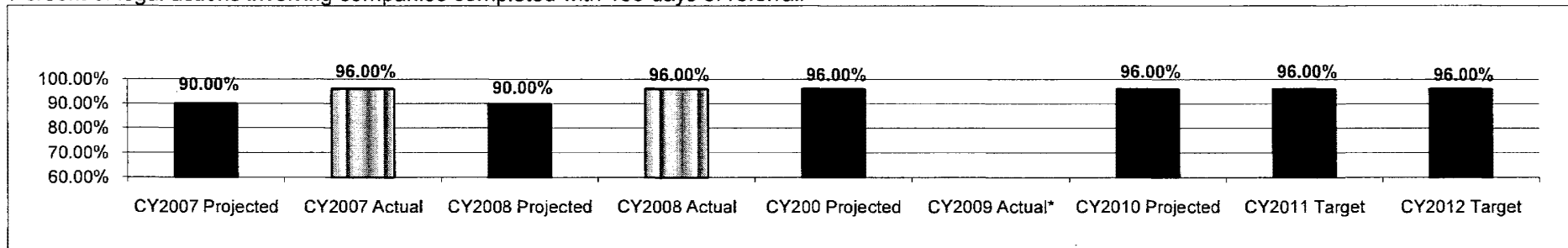
Department of Insurance, Financial Institutions & Professional Registration

Director's Office

Program is found in the following core budget(s): Insurance Operations

7a. Provide an effectiveness measure.

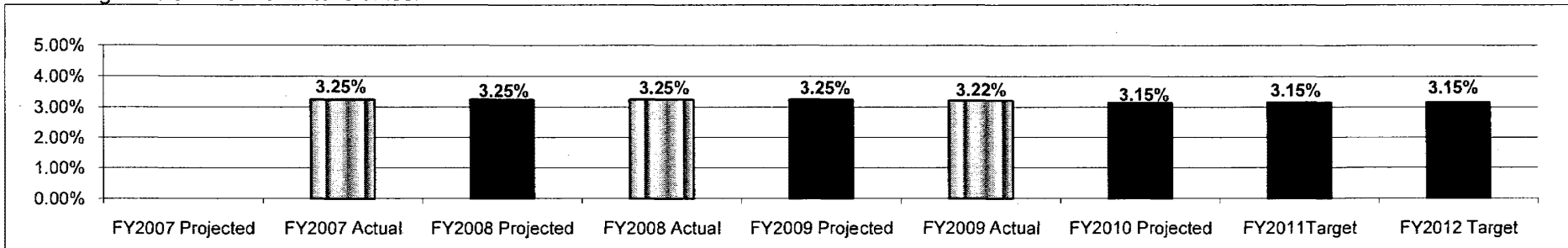
Percent of legal actions involving companies completed with 180 days of referral.



* Calendar year actuals will be provided with the governor's recommendations.

7b. Provide an efficiency measure.

Percentage of staff in the director's office.



This is a new measure that has not been projected prior to FY2008

7c. Provide the number of clients/individuals served, if applicable.

Not applicable

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Consumer Affairs Division

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Consumer Affairs Division answers questions from the public about insurance companies, contracts and citizen concerns through the department's consumer hotline (1-800-726-7390). The division also provides insurance education and outreach activities to Missouri citizens. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and agencies, licensed insurance agents and brokers, bail bond agents and public adjusters. The division investigates unlicensed insurance activities, reviews license applications and reviews reports of insurance fraud submitted by insurance companies and consumers.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

374.085 RSMo.

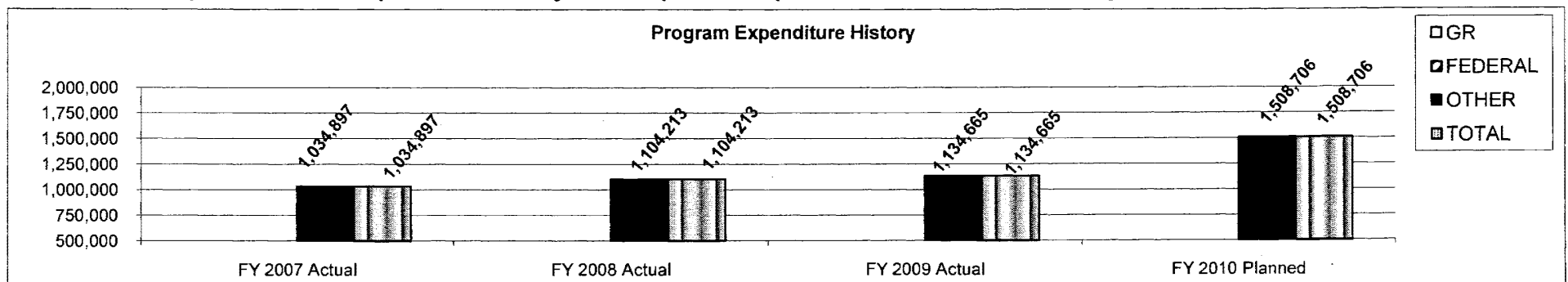
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

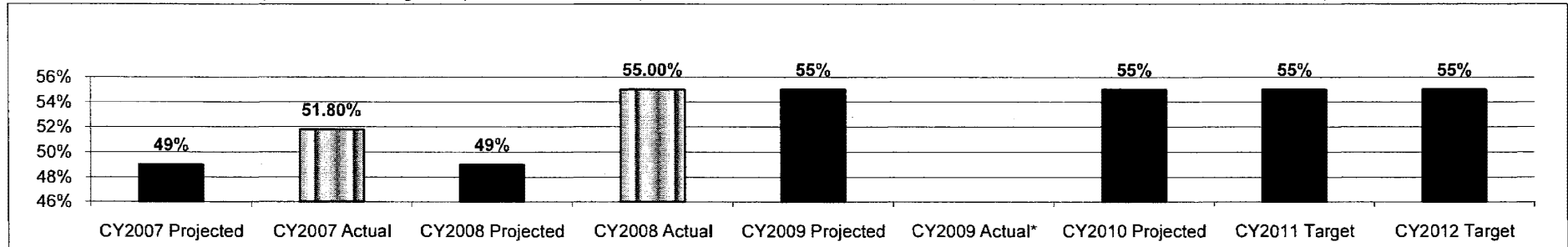
Department of Insurance, Financial Institutions & Professional Registration

Consumer Affairs Division

Program is found in the following core budget(s): Insurance Operations

7a. Provide an effectiveness measure.

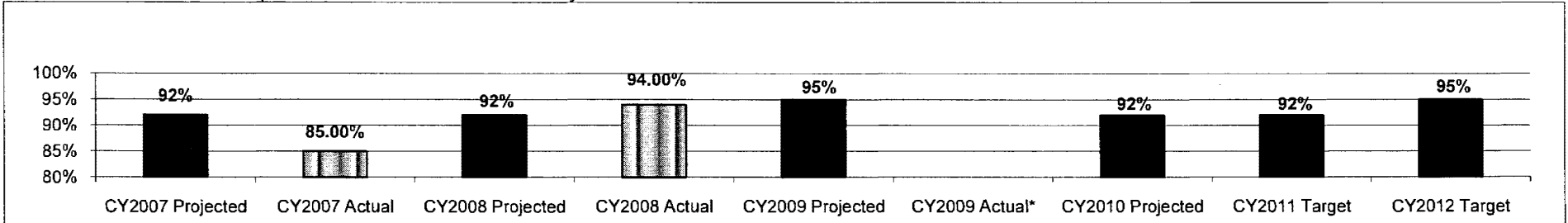
Percent of consumer complaints resulting in a positive outcome (required insurance companies and their agents to follow state statutes and regulations)



* Calendar year actuals will be provided with Governor's Recommendations.

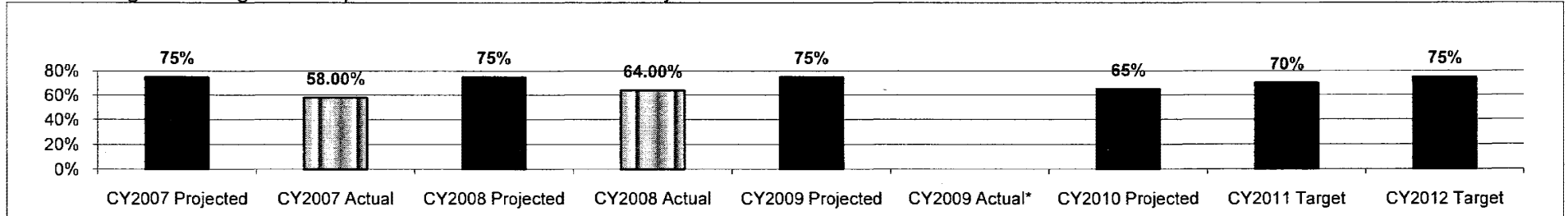
7b. Provide an efficiency measure.

Percent of consumer complaints closed in less than 60 days



* Calendar year actuals will be provided with Governor's Recommendations.

Percent of agent investigation complaints closed in less than 120 days



* Calendar year actuals will be provided with Governor's Recommendations.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Consumer Affairs Division

Program is found in the following core budget(s): Insurance Operations

7c. Provide the number of clients/individuals served, if applicable.

	CY2007		CY2008		CY2009*		CY2010	CY2011	CY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Consumer Complaints	3,800	3,840	3,800	3,807	3,800		4,000	4,000	4,000
Agent Investigations	600	812	600	1,048	800		1,000	1,000	1,000
Consumer Phone Calls	30,000	26,822	30,000	26,004	27,000		28,000	28,000	28,000
Written Inquiries	3,500	3,436	3,500	3,938	3,500		3,800	3,800	3,800
Walk-ins	150	79	150	90	100		100	100	100

* Calendar year actuals will be provided with Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

Not available.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	Insurance Operations	Insurance Examinations	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,342,367	2,344,398	4,686,765
TOTAL	2,342,367	2,344,398	4,686,765

1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapters 148, 287, 374, 375, 376 and 384 RSMo.

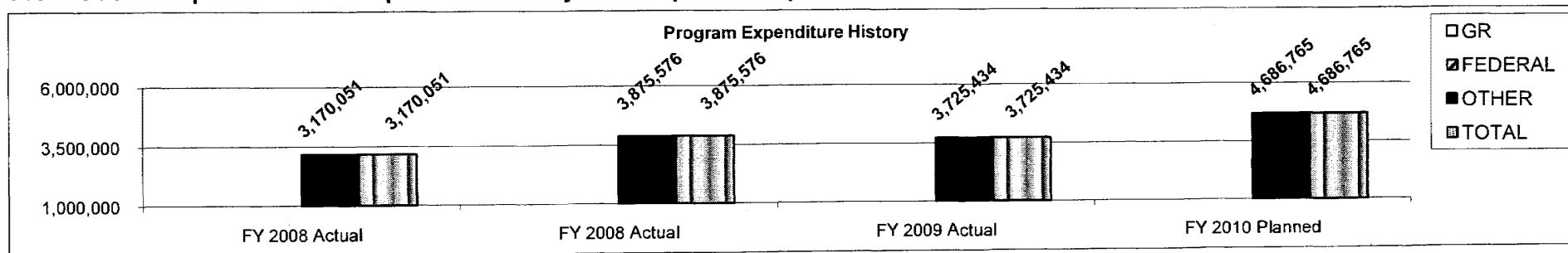
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

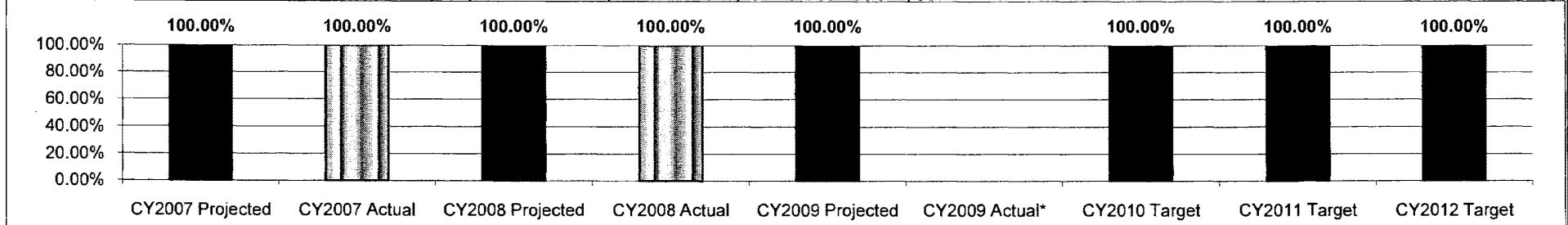
Department of Insurance, Financial Institutions & Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

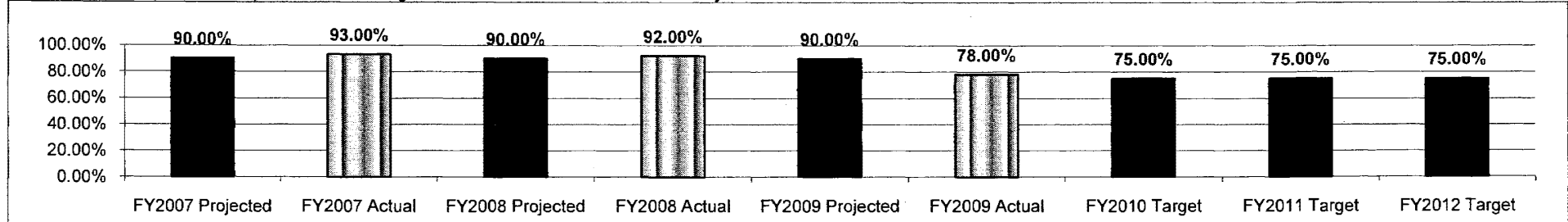
7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year



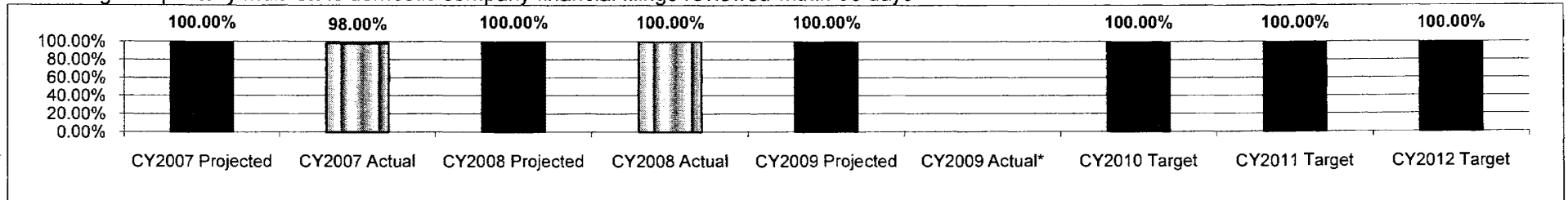
* Calendar year actuals will be provided with Governor's Recommendations.

Percent of domestic companies receiving a financial exam within a three-year timeframe



7b. Provide an efficiency measure.

Percentage of quarterly multi-state domestic company financial filings reviewed within 60 days



* Calendar year actuals will be provided with Governor's Recommendations.

PROGRAM DESCRIPTION

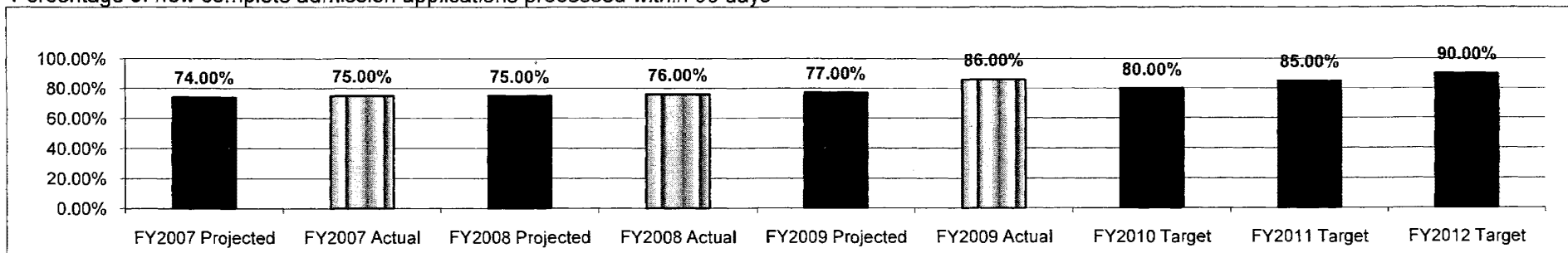
Department of Insurance, Financial Institutions & Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7b. Provide an efficiency measure. (cont.)

Percentage of new complete admission applications processed within 90 days



7c. Provide the number of clients/individuals served, if applicable.

	CY2007		CY2008		CY2009*		CY2009	CY2010	CY2011
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Target	Target
Number of Domestic Companies	236	227	236	221	236		220	220	220
Number of Licensed Companies	1,662	1,809	1,662	1,824	1,824		1,850	1,875	1,900
Number of Surplus Lines Brokers	816	1,127	816	1,267	1,200		1,300	1,350	1,400
Surplus Lines Tax Collected	23 mil	24.6 mil	23 mil	23.3 mil	23 mil		23 mil	23 mil	23 mil
Premium Tax Collected	179 mil	168 mil	175 mil	204 mil	175 mil		200 mil	200 mil	200 mil

* Calendar year actuals will be provided with Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration
Insurance Market Regulation Division
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,219,658	1,875,468	4,095,126
TOTAL	2,219,658	1,875,468	4,095,126

1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri HMOs and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State: Chapters 354, 374, 375, 379, 381, 385 and 447 RSMo.

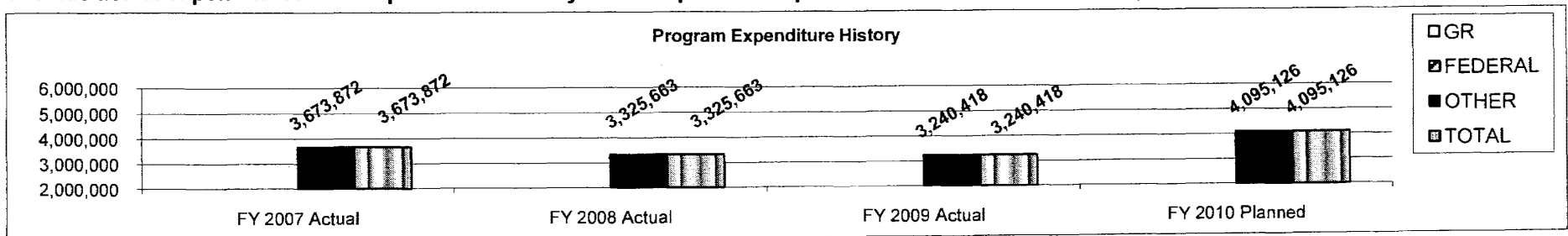
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

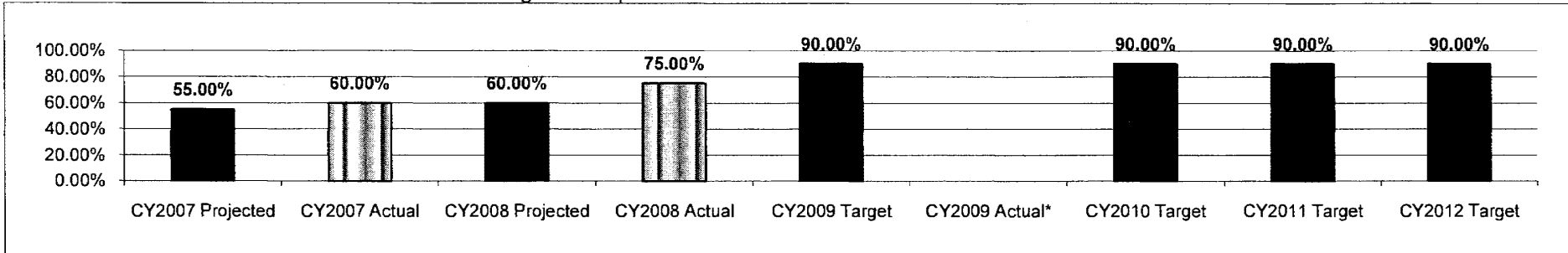
Department of Insurance, Financial Institutions & Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7a. Provide an effectiveness measure.

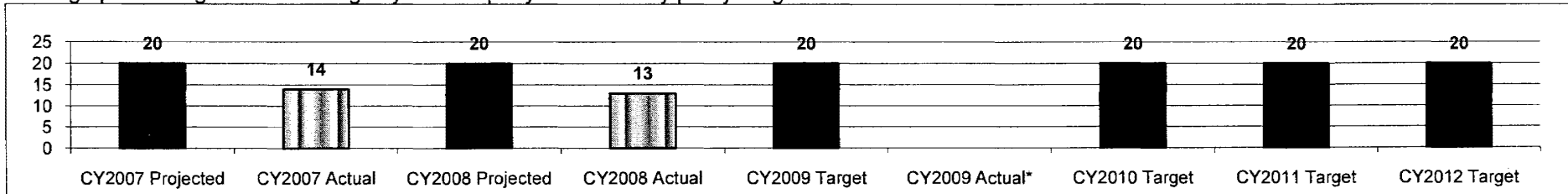
Percent of market conduct examinations that are targeted to specific issues



* Calendar year actuals will be provided with Governor's Recommendations.

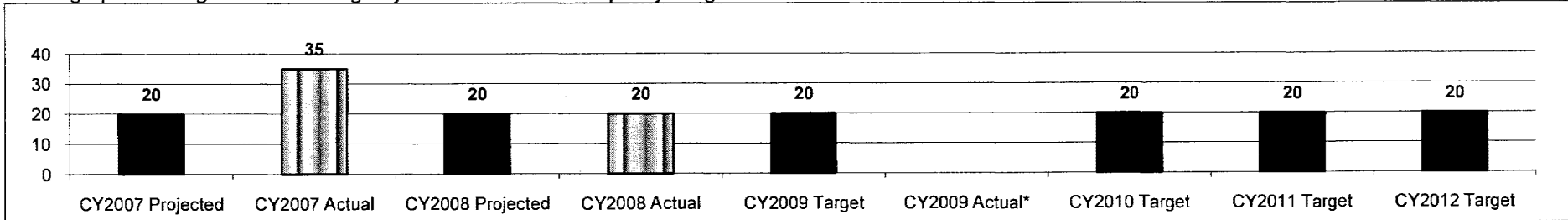
7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings



* Calendar year actuals will be provided with Governor's Recommendations.

Average processing time in working days for Life and Health policy filings



* Calendar year actuals will be provided with Governor's Recommendations.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7c. Provide the number of clients/individuals served, if applicable.

	CY2007		CY2008		CY2009*		CY2010	CY2011	CY20112
	<u>Proj.</u>	<u>Actual</u>	<u>Proj.</u>	<u>Actual</u>	<u>Proj.</u>	<u>Actual</u>	<u>Projected</u>	<u>Target</u>	<u>Target</u>
P&C filings received	9,000	8,800	9,000	6,398	7,000		8,000	8,000	8,000
L&H filings received	4,200	3,338	3,500	3,067	3,000		3,500	3,500	3,500

* Calendar year actuals will be provided with Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Resource Administration Division

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Resource Administration Division is responsible for the general operation and support within the department. The division prepares the department's annual budget request and strategic plan, monitors department revenues and expenditures, handles personnel and training for department employees and is responsible for monitoring all accounting, procurement and grant management for the department. The division is also responsible for the coordination of department information technology needs with the Information Technology Services Division within the Office of Administration. In addition to support activities, the division is responsible for the licensing and renewals of all insurance producers operating within the state.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 325, 374, 375, 384 RSMo and Article IX section 7 (state school fund deposits).

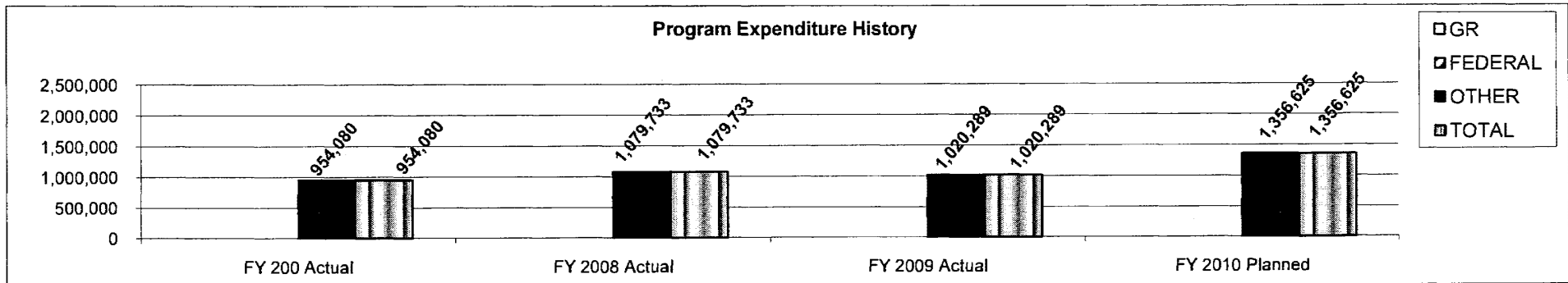
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

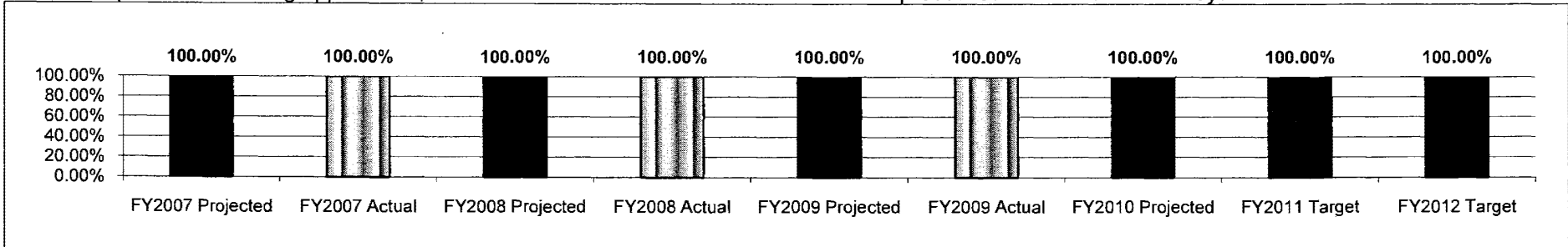
Department of Insurance, Financial Institutions & Professional Registration

Resource Administration Division

Program is found in the following core budget(s): Insurance Operations

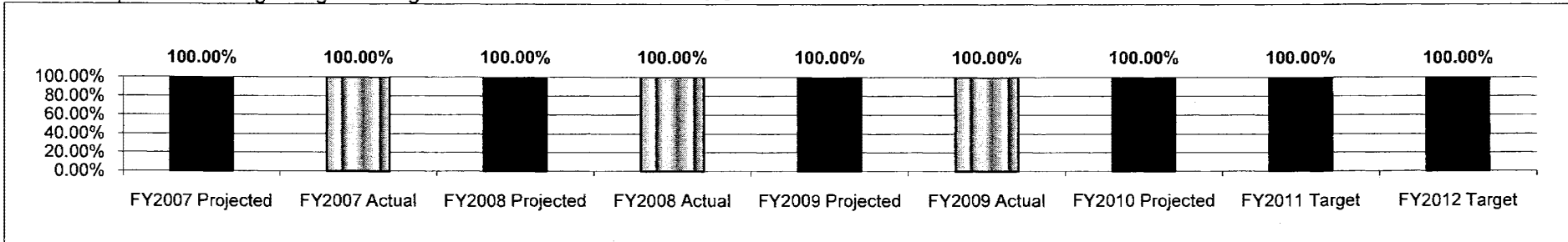
7a. Provide an effectiveness measure.

Percent of producer licensing applications, renewal and certifications and clearance letters processed within 5 business days

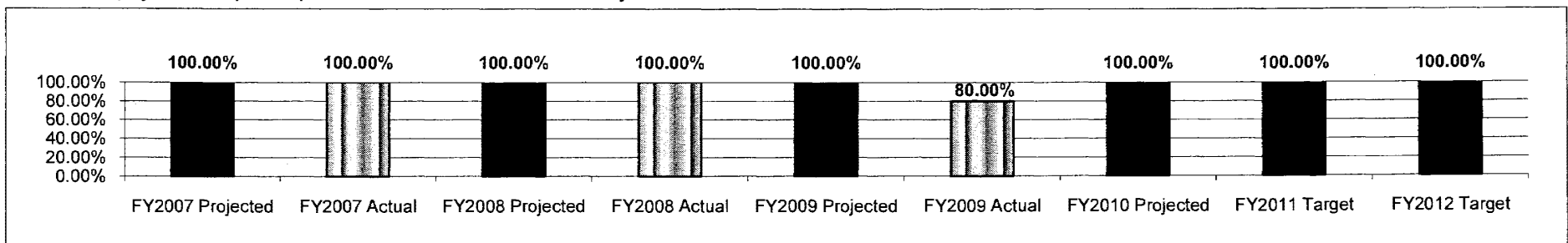


7b. Provide an efficiency measure.

Percent of phone calls regarding licensing issues returned within 24 hours



Percent of payment requests processed within 10 business days



* FY2009 decrease in processing was caused by excessive turnover in personnel.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Resource Administration Division

Program is found in the following core budget(s): Insurance Operations

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Target	Target	Target
New licensing applications	25,000	24,688	25,000	26,838	26,000	23,857	25,000	25,000	25,000
Renewal licensing applications	43,000	35,685	40,000	43,452	40,000	38,572	40,000	40,000	40,000
Certification/clearance letters	4,000	2,117*	2,000	855*	900	445*	300	300	300
Telephone inquiries to licensing	50,000	56,067	50,000	52,540	50,000	53,804	53,000	53,000	53,000
Number of checks processed	100,000	93,742	100,000	93,665	95,000	55,059**	55,000	55,000	55,000
Number of payments processed	3,000	2,833	3,000	2,744	3,000	2,424	2,500	2,500	2,500

*Reduction in certification and clearance letters from FY2006 to FY2009 due to increased utilization of NAIC's Producer Database (PDB) to verify licensure in other jurisdictions.

**Reduction in checks processed in FY2009 is a result of SERFF EFT being implemented.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Consumer Restitution Fund

Program is found in the following core budget(s): Insurance Operations

1. What does this program do?

The Consumer Restitution Fund was established for the purpose of preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

374.048 RSMo.

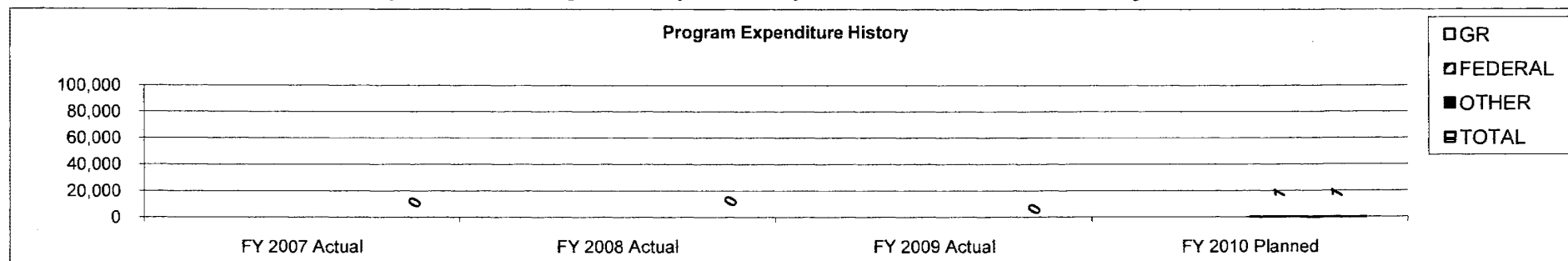
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Consumer Restitution Fund (0792)

7a. Provide an effectiveness measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

Not available

7b. Provide an efficiency measure.

Not available

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
INSURANCE EXAMINATIONS									
CORE									
PERSONAL SERVICES									
INSURANCE EXAMINERS FUND	3,100,488	43.27	3,418,090	44.50	3,418,090	44.50	0	0.00	
TOTAL - PS	3,100,488	43.27	3,418,090	44.50	3,418,090	44.50	0	0.00	
EXPENSE & EQUIPMENT									
INSURANCE EXAMINERS FUND	433,460	0.00	801,776	0.00	801,776	0.00	0	0.00	
TOTAL - EE	433,460	0.00	801,776	0.00	801,776	0.00	0	0.00	
TOTAL	3,533,948	43.27	4,219,866	44.50	4,219,866	44.50	0	0.00	
GRAND TOTAL	\$3,533,948	43.27	\$4,219,866	44.50	\$4,219,866	44.50	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37510C</u>				
Insurance									
Core - Insurance Examinations									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	3,418,090	3,418,090	PS	0	0	0	0
EE	0	0	801,776	801,776	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	4,219,866	4,219,866	Total	0	0	0	0
FTE					FTE				
	0.00	0.00	44.50	44.50		0.00	0.00	0.00	0.00
Est. Fringe	0	0	2,055,298	2,055,298	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: Insurance Examiners Fund (0552)					Other Funds:				
2. CORE DESCRIPTION									
<p>Core request for the Insurance Examiners Fund. This fund serves as a revolving fund to collect fees assessed and pay expenses of insurance company examinations. The department conducts both financial and market conduct examinations. Financial examinations ensure insurance companies have sufficient reserves to pay consumer claims and consumer access to affordable insurance coverage. Market conduct examinations of insurance companies serve to verify that policyholders and beneficiaries receive the full benefits from the contracts they and the insurer have agreed to. Since many of the insurance companies examined have physical locations outside of the state, department examiners must go to these locations to examine company records. The expenses of these examinations are billed to the companies examined. The costs assessed to the companies for examinations can be taken as credits against the company's premium tax payment</p>									
3. PROGRAM LISTING (list programs included in this core funding)									
<p>Insurance Company Regulation Division Insurance Market Regulation Division</p>									

CORE DECISION ITEM

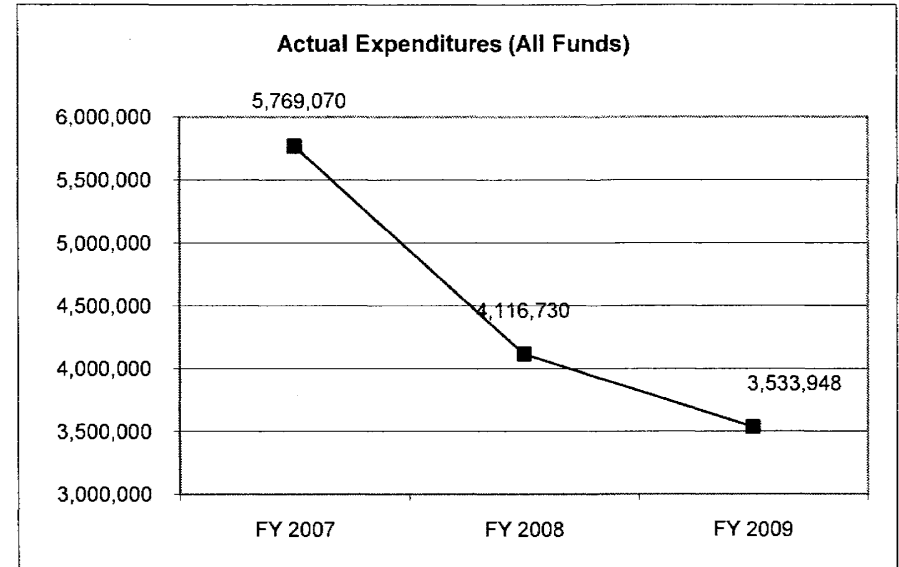
Department of Insurance, Financial Institutions & Professional Registration Budget Unit 37510C

Insurance

Core - Insurance Examinations

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	7,279,720	7,441,258	5,282,098	4,219,886
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	7,279,720	7,441,258	5,282,098	N/A
Actual Expenditures (All Funds)	5,769,070	4,116,730	3,533,948	N/A
Unexpended (All Funds)	1,510,650	3,324,528	1,748,150	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,510,650	3,324,528	1,748,150	N/A
	(1)	(2)	(2)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Examination expenses vary from year to year depending upon the location of those companies being examined.
- (2) Reductions in expenditures due to fund switch of staff and expenses to the Insurance Operations Core to implement SB66 (2007).

CORE RECONCILIATION DETAIL

DIFP

INSURANCE EXAMINATIONS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	44.50	0	0	3,418,090	3,418,090	
	EE	0.00	0	0	801,776	801,776	
	Total	44.50	0	0	4,219,866	4,219,866	
DEPARTMENT CORE REQUEST							
	PS	44.50	0	0	3,418,090	3,418,090	
	EE	0.00	0	0	801,776	801,776	
	Total	44.50	0	0	4,219,866	4,219,866	
GOVERNOR'S RECOMMENDED CORE							
	PS	44.50	0	0	3,418,090	3,418,090	
	EE	0.00	0	0	801,776	801,776	
	Total	44.50	0	0	4,219,866	4,219,866	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE EXAMINATIONS								
CORE								
INSURANCE FINANCIAL ANAL SPEC	5,744	0.13	0	0.00	0	0.00	0	0.00
INSURANCE FINANCIAL ANALYST II	71	0.00	0	0.00	0	0.00	0	0.00
INSURANCE REGULATORY MGR B2	568	0.01	0	0.00	0	0.00	0	0.00
SENIOR COUNSEL	1,950	0.04	0	0.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	1,658	0.02	0	0.00	0	0.00	0	0.00
AUDIT MANAGER-FINANCIAL EXAM	17,490	0.18	0	0.00	0	0.00	0	0.00
M C EXAMINER II	421,825	8.34	456,042	6.68	456,042	6.68	0	0.00
M C EXAMINER III	387,539	5.40	438,026	5.77	438,026	5.77	0	0.00
EXAMINER-IN-CHARGE MC	564,182	6.62	737,399	8.55	737,399	8.55	0	0.00
AUDIT MANAGER-MARKET CONDUCT	5,082	0.06	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER I	100,133	1.94	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER II	88,984	1.54	0	0.00	0	0.00	0	0.00
FINANCIAL EXAMINER III	975,274	13.04	1,109,422	15.90	1,109,422	15.90	0	0.00
EXAMINER-IN-CHARGE FINANCIAL	529,988	5.95	677,201	7.60	677,201	7.60	0	0.00
TOTAL - PS	3,100,488	43.27	3,418,090	44.50	3,418,090	44.50	0	0.00
TRAVEL, IN-STATE	175,375	0.00	206,064	0.00	206,064	0.00	0	0.00
TRAVEL, OUT-OF-STATE	193,670	0.00	497,135	0.00	497,135	0.00	0	0.00
SUPPLIES	6,009	0.00	18,844	0.00	18,844	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	1	0.00	1	0.00	0	0.00
COMMUNICATION SERV & SUPP	32,376	0.00	51,545	0.00	51,545	0.00	0	0.00
PROFESSIONAL SERVICES	1,840	0.00	19,987	0.00	19,987	0.00	0	0.00
M&R SERVICES	323	0.00	5,000	0.00	5,000	0.00	0	0.00
OFFICE EQUIPMENT	11,102	0.00	1,197	0.00	1,197	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1	0.00	1	0.00	0	0.00
PROPERTY & IMPROVEMENTS	12,765	0.00	1	0.00	1	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	1,000	0.00	1,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	0	0.00	1,000	0.00	1,000	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE EXAMINATIONS								
CORE								
REBILLABLE EXPENSES	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL - EE	433,460	0.00	801,776	0.00	801,776	0.00	0	0.00
GRAND TOTAL	\$3,533,948	43.27	\$4,219,866	44.50	\$4,219,866	44.50	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$3,533,948	43.27	\$4,219,866	44.50	\$4,219,866	44.50		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	Insurance Operations	Insurance Examinations	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,342,367	2,344,398	4,686,765
TOTAL	2,342,367	2,344,398	4,686,765

1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for premium taxes and admission fees due Missouri and for insurance companies' deposits held to benefit policyholders.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapters 148, 287, 374, 375, 376 and 384 RSMo.

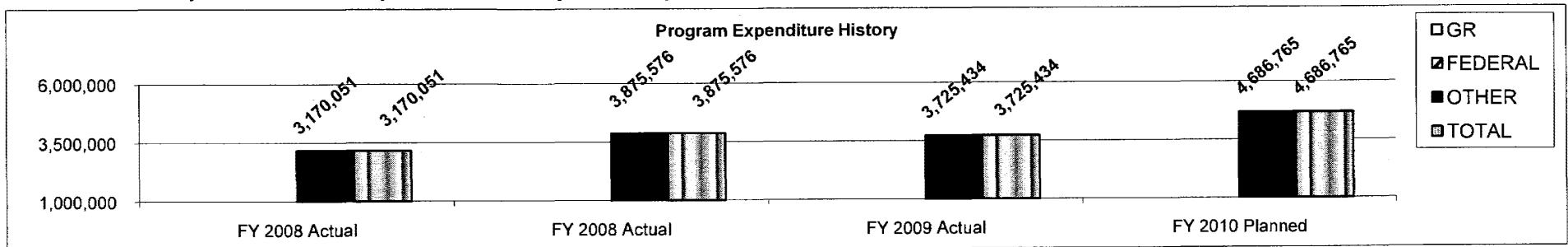
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

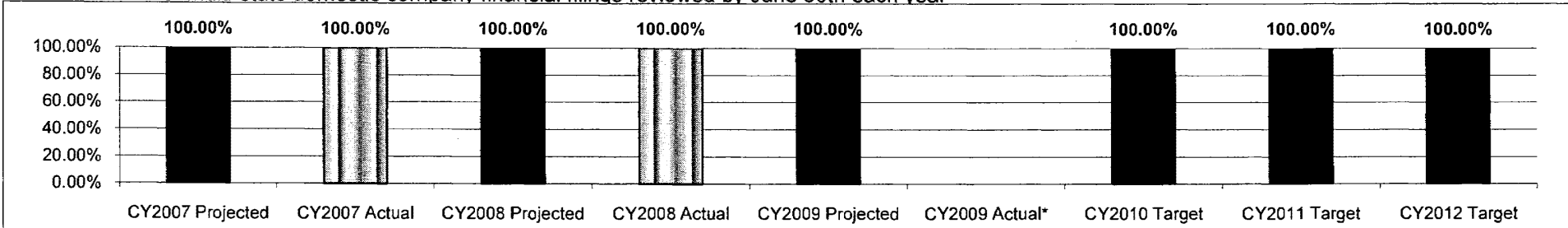
Department of Insurance, Financial Institutions & Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

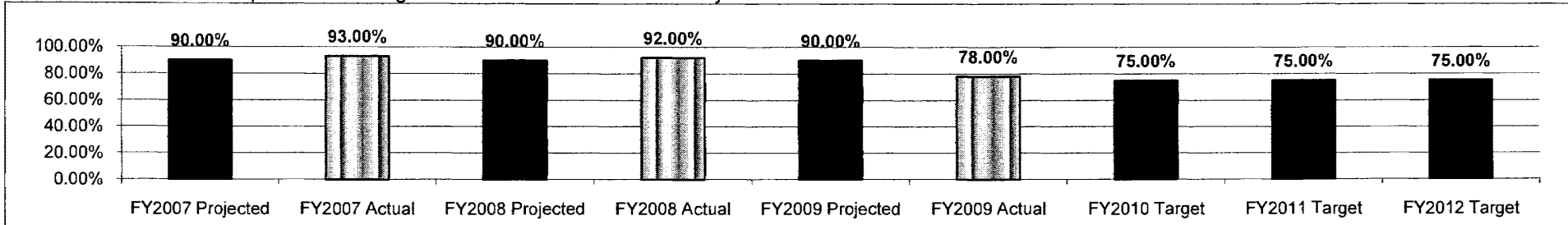
7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year



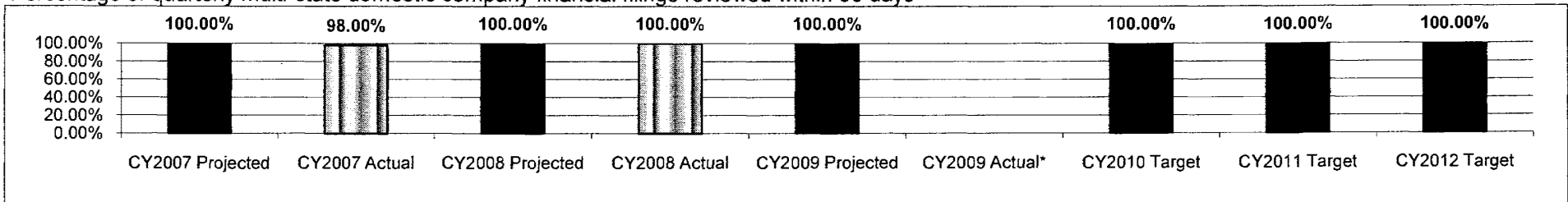
* Calendar year actuals will be provided with Governor's Recommendations.

Percent of domestic companies receiving a financial exam within a three-year timeframe



7b. Provide an efficiency measure.

Percentage of quarterly multi-state domestic company financial filings reviewed within 60 days



* Calendar year actuals will be provided with Governor's Recommendations.

PROGRAM DESCRIPTION

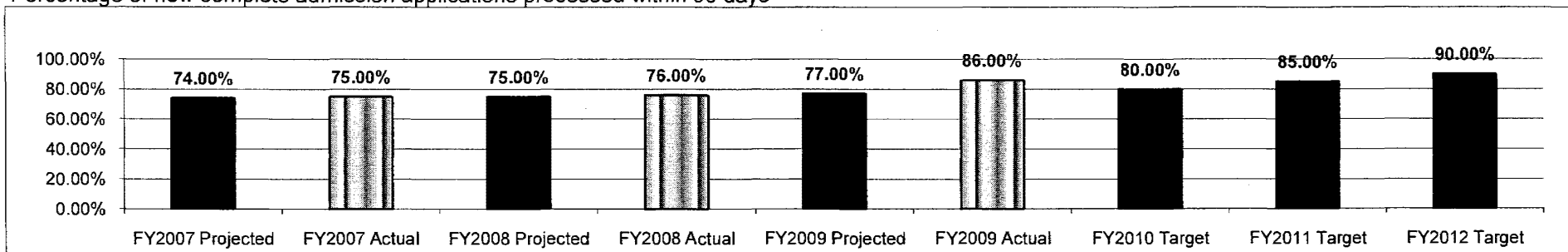
Department of Insurance, Financial Institutions & Professional Registration

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7b. Provide an efficiency measure. (cont.)

Percentage of new complete admission applications processed within 90 days



7c. Provide the number of clients/individuals served, if applicable.

	CY2007		CY2008		CY2009*		CY2009	CY2010	CY2011
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Target	Target
Number of Domestic Companies	236	227	236	221	236		220	220	220
Number of Licensed Companies	1,662	1,809	1,662	1,824	1,824		1,850	1,875	1,900
Number of Surplus Lines Brokers	816	1,127	816	1,267	1,200		1,300	1,350	1,400
Surplus Lines Tax Collected	23 mil	24.6 mil	23 mil	23.3 mil	23 mil		23 mil	23 mil	23 mil
Premium Tax Collected	179 mil	168 mil	175 mil	204 mil	175 mil		200 mil	200 mil	200 mil

* Calendar year actuals will be provided with Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

	Insurance Operations	Insurance Exam	Total
GR	0	0	0
FEDERAL	0	0	0
OTHER	2,219,658	1,875,468	4,095,126
TOTAL	2,219,658	1,875,468	4,095,126

1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri HMOs and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State: Chapters 354, 374, 375, 379, 381, 385 and 447 RSMo.

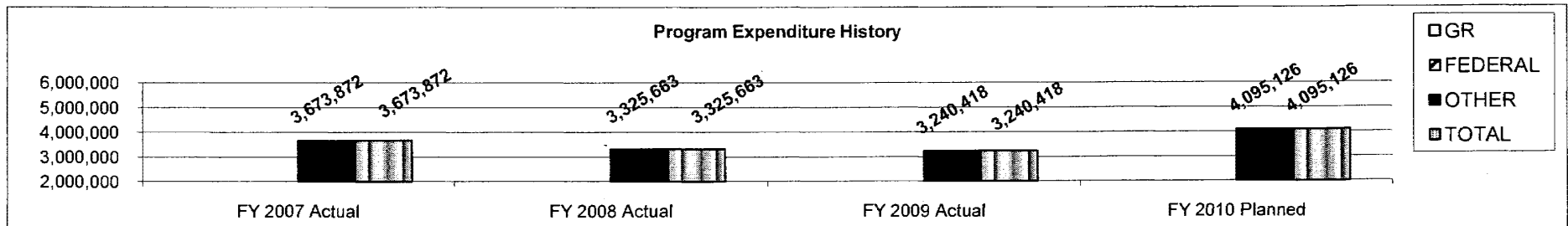
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

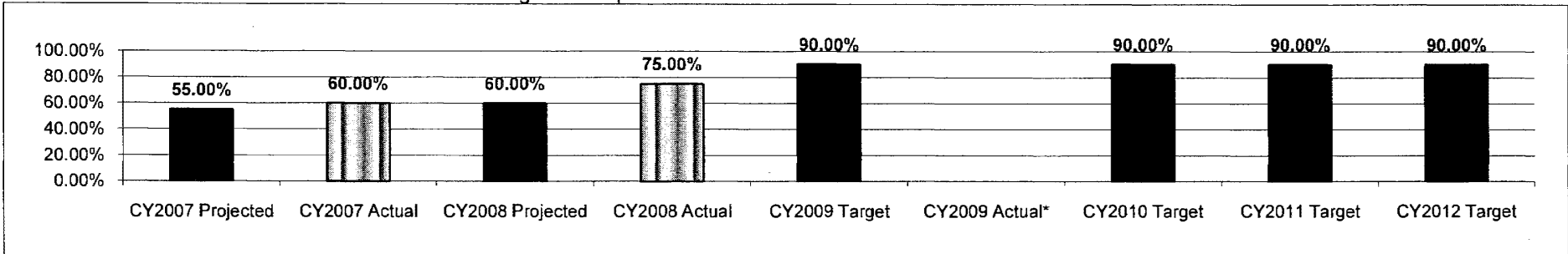
Department of Insurance, Financial Institutions & Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7a. Provide an effectiveness measure.

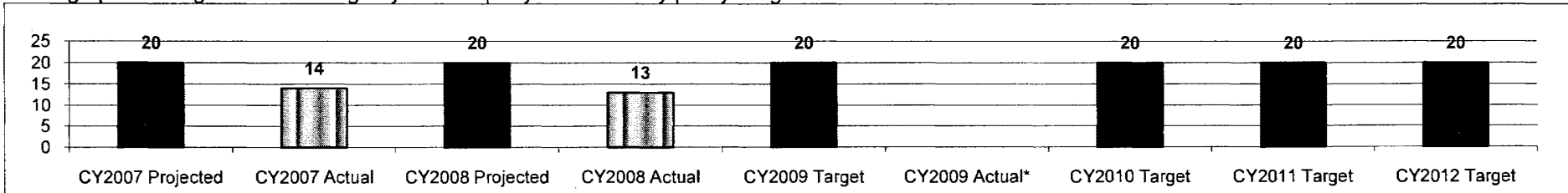
Percent of market conduct examinations that are targeted to specific issues



* Calendar year actuals will be provided with Governor's Recommendations.

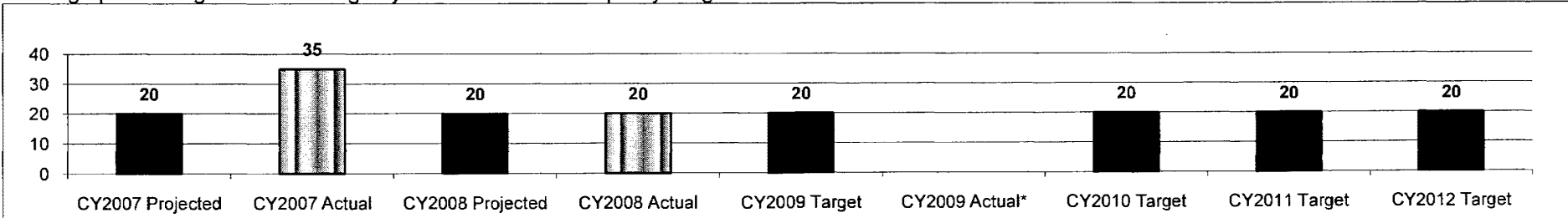
7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings



* Calendar year actuals will be provided with Governor's Recommendations.

Average processing time in working days for Life and Health policy filings



* Calendar year actuals will be provided with Governor's Recommendations.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

7c. Provide the number of clients/individuals served, if applicable.

	CY2007		CY2008		CY2009*		CY2010	CY2011	CY20112
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Target	Target
P&C filings received	9,000	8,800	9,000	6,398	7,000		8,000	8,000	8,000
L&H filings received	4,200	3,338	3,500	3,067	3,000		3,500	3,500	3,500

* Calendar year actuals will be provided with Governor's Recommendations.

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
INSURANCE REFUNDS									
CORE									
PROGRAM-SPECIFIC									
INSURANCE EXAMINERS FUND	75	0.00	1	0.00	1	0.00	0	0.00	
INSURANCE DEDICATED FUND	14,670	0.00	75,000	0.00	75,000	0.00	0	0.00	
TOTAL - PD	14,745	0.00	75,001	0.00	75,001	0.00	0	0.00	
TOTAL	14,745	0.00	75,001	0.00	75,001	0.00	0	0.00	
GRAND TOTAL	\$14,745	0.00	\$75,001	0.00	\$75,001	0.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	37520C
Insurance		
Core - Insurance Refunds		

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	75,001	75,001 E
TRF	0	0	0	0
Total	0	0	75,001	75,001 E
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Insurance Examiners Fund (0552)
Insurance Dedicated Fund (0566)
Notes: An "E" is requested for the \$75,001 Other Funds

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

This core request is needed to refund incorrect or overpayment of insurance fees received from individuals and insurance companies. When any incorrect or overpayment of fees is received, a refund is issued from the appropriate insurance fund using this appropriation. This appropriation is an estimated appropriation. The estimated appropriation is required because the department cannot project the amount or number of refunds that will occur during a fiscal year.

3. PROGRAM LISTING (list programs included in this core funding)

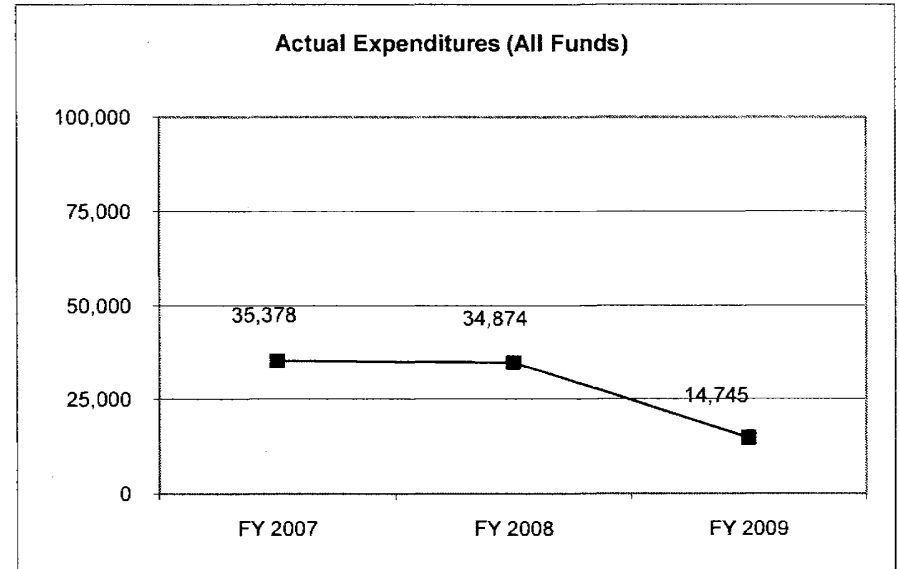
Insurance Refunds

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration **Budget Unit** 37520C
Insurance
Core - Insurance Refunds

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.	
Appropriation (All Funds)	75,001	75,001	75,001	75,001	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	75,001	75,001	75,001	N/A	
Actual Expenditures (All Funds)	35,378	34,874	14,745	N/A	
Unexpended (All Funds)	39,623	40,127	60,256	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	39,623	40,127	60,256	N/A	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

CORE RECONCILIATION DETAIL

DIFP
INSURANCE REFUNDS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PD	0.00	0	0	75,001	75,001	
	Total	0.00	0	0	75,001	75,001	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	0	75,001	75,001	
	Total	0.00	0	0	75,001	75,001	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	0	75,001	75,001	
	Total	0.00	0	0	75,001	75,001	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INSURANCE REFUNDS								
CORE								
REFUNDS	14,745	0.00	75,001	0.00	75,001	0.00	0	0.00
TOTAL - PD	14,745	0.00	75,001	0.00	75,001	0.00	0	0.00
GRAND TOTAL	\$14,745	0.00	\$75,001	0.00	\$75,001	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$14,745	0.00	\$75,001	0.00	\$75,001	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Insurance Refunds

Program is found in the following core budget(s): Insurance Refunds

1. What does this program do?

Individuals and companies sometimes submit incorrect insurance fee amounts to the department. According to Section 374.150 RSMo., all fees due the state under the provisions of the insurance laws shall be deposited to the credit of department funds unless otherwise provided. The department deposits fees upon receipt, and if it is determined that an incorrect or overpayment has occurred then a refund is generated.

An estimated appropriation is needed as the amount of refunds that will occur during a fiscal year is unknown.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

374.150 RSMo.

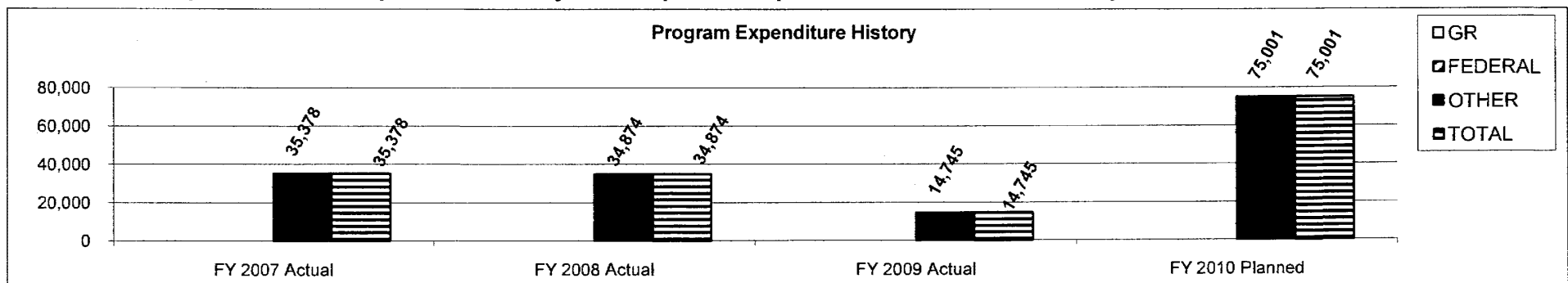
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566) and Insurance Examiners Fund (0552)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Insurance Refunds

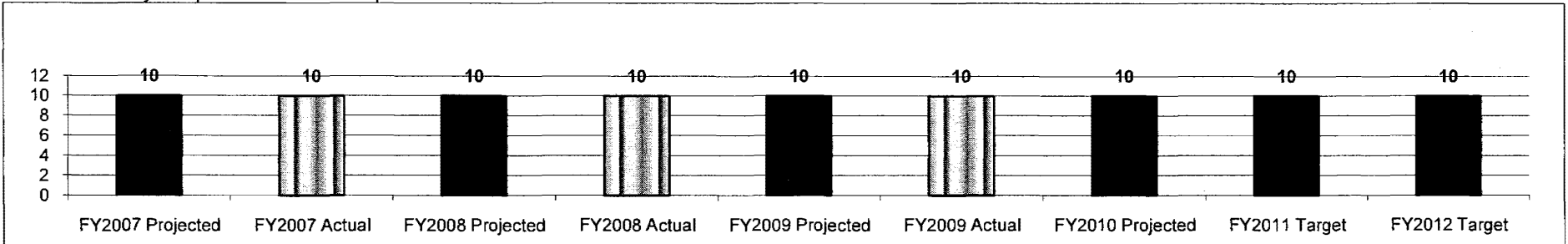
Program is found in the following core budget(s): Insurance Refunds

7a. Provide an effectiveness measure.

None available

7b. Provide an efficiency measure.

Number of days to process refund request



7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Refunds processed	500	471	500	426	450	451	450	450	450

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
HEALTH INSURANCE COUNSELING								
CORE								
PROGRAM-SPECIFIC								
FEDERAL - MDI	1,090,562	0.00	700,000	0.00	700,000	0.00	0	0.00
INSURANCE DEDICATED FUND	150,000	0.00	200,000	0.00	200,000	0.00	0	0.00
TOTAL - PD	1,240,562	0.00	900,000	0.00	900,000	0.00	0	0.00
TOTAL	1,240,562	0.00	900,000	0.00	900,000	0.00	0	0.00
GRAND TOTAL	\$1,240,562	0.00	\$900,000	0.00	\$900,000	0.00	\$0	0.00

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CORE DECISION ITEM

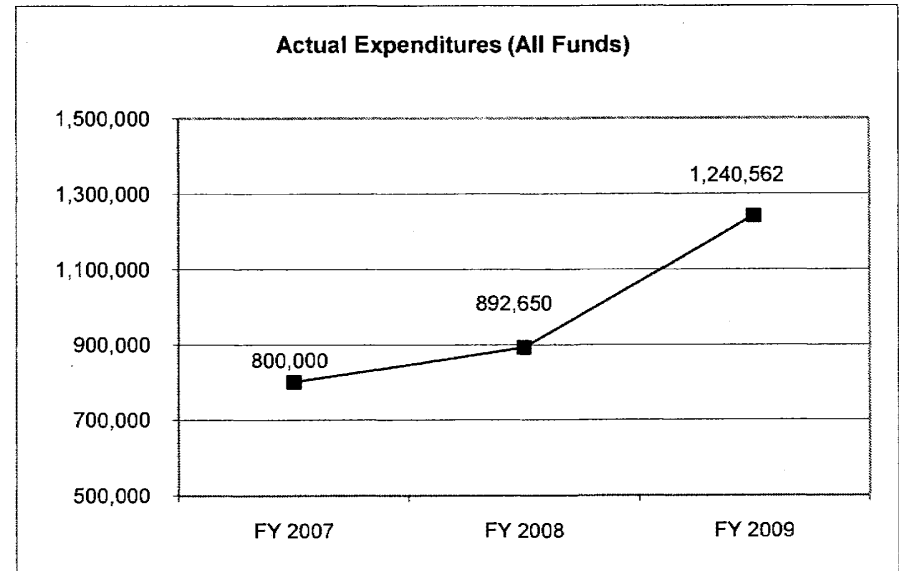
Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>37540C</u>				
Insurance									
Core - Health Insurance Counseling									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	700,000	200,000	900,000 E	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	700,000	200,000	900,000 E	Total	0	0	0	0
FTE					FTE				
	0.00	0.00	0.00	0.00		0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Insurance Dedicated Fund (0566) An "E" is included on the Federal budget line as funding may fluctuate from year to year.					Other Funds:				
2. CORE DESCRIPTION									
<p>The CLAIM (Community Leaders Assisting the Insured of Missouri) program provides counseling and educational activities to seniors on health insurance coverage and Medicare benefits. The department contracts with Primaris to administer the CLAIM program. The program recruits sponsors and volunteers, develops and conducts volunteer training, publicizes the program and maintains a toll-free number, 1-800-390-3330, and website, www.missouricclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, extension offices or senior centers serve as cosponsors and provide space, supplies and local publicity for the program. The program currently has approximately 49 sponsors and has locations throughout the state where counseling is provided.</p>									
3. PROGRAM LISTING (list programs included in this core funding)									
Health Insurance Counseling									

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration Budget Unit 37540C
Insurance
Core - Health Insurance Counseling

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	800,000	900,000	1,290,562	900,000 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	800,000	900,000	1,290,562	N/A
Actual Expenditures (All Funds)	800,000	892,650	1,240,562	N/A
Unexpended (All Funds)	0	7,350	50,000	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	7,350	0	N/A
Other	0	0	50,000	N/A
			(1)	(2)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Original Federal appropriation of \$700,000 E was increased by \$390,562.
(2) An "E" is included on the Federal budget line as funding may fluctuate from year to year.

CORE RECONCILIATION DETAIL

DIFP

HEALTH INSURANCE COUNSELING

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PD	0.00	0	700,000	200,000	900,000	
	Total	0.00	0	700,000	200,000	900,000	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	700,000	200,000	900,000	
	Total	0.00	0	700,000	200,000	900,000	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	700,000	200,000	900,000	
	Total	0.00	0	700,000	200,000	900,000	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
HEALTH INSURANCE COUNSELING								
CORE								
PROGRAM DISTRIBUTIONS	1,240,562	0.00	900,000	0.00	900,000	0.00	0	0.00
TOTAL - PD	1,240,562	0.00	900,000	0.00	900,000	0.00	0	0.00
GRAND TOTAL	\$1,240,562	0.00	\$900,000	0.00	\$900,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$1,090,562	0.00	\$700,000	0.00	\$700,000	0.00		0.00
OTHER FUNDS	\$150,000	0.00	\$200,000	0.00	\$200,000	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Health Insurance Counseling

Program is found in the following core budget(s): Health Insurance Counseling

1. What does this program do?

The CLAIM (Community Leaders Assisting the Insured of Missouri) program provides counseling and educational activities to seniors on health insurance coverage and Medicare benefits. The department contracts with Primaris to administer the CLAIM program. The program recruits sponsors and volunteers, develops and conducts volunteer training, publicizes the program and maintains a toll-free number, 1-800-390-3330, and website, www.missouricclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, extension offices or senior centers serve as cosponsors and provide space, supplies and local publicity for the program. The program currently has approximately 49 sponsors and has locations throughout the state where counseling is provided.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Federal: State Health Insurance Assistance Program; Federal CFDA - 93.779

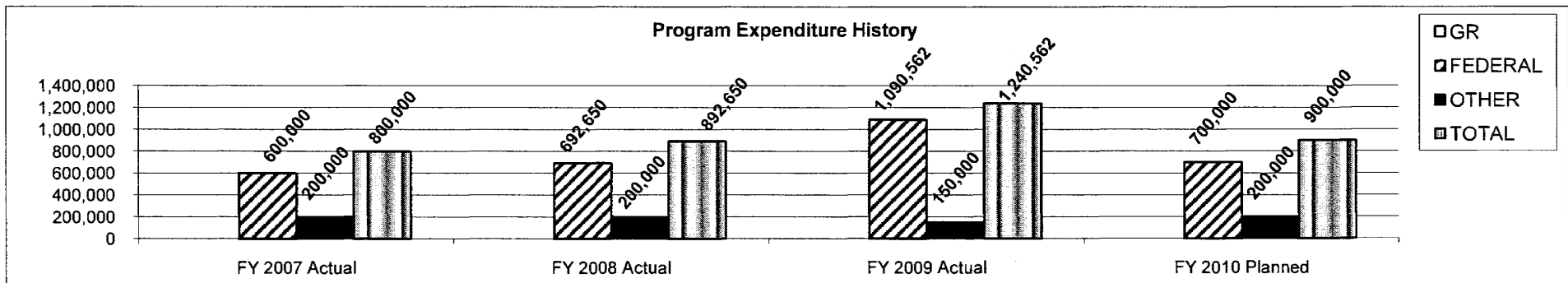
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

PROGRAM DESCRIPTION

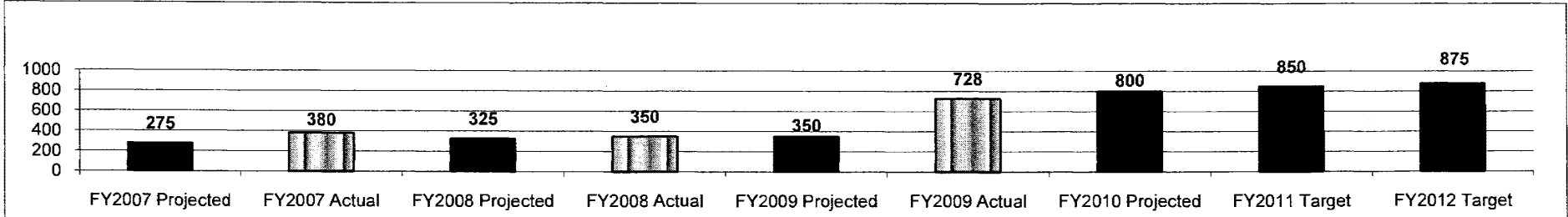
Department of Insurance, Financial Institutions & Professional Registration

Health Insurance Counseling

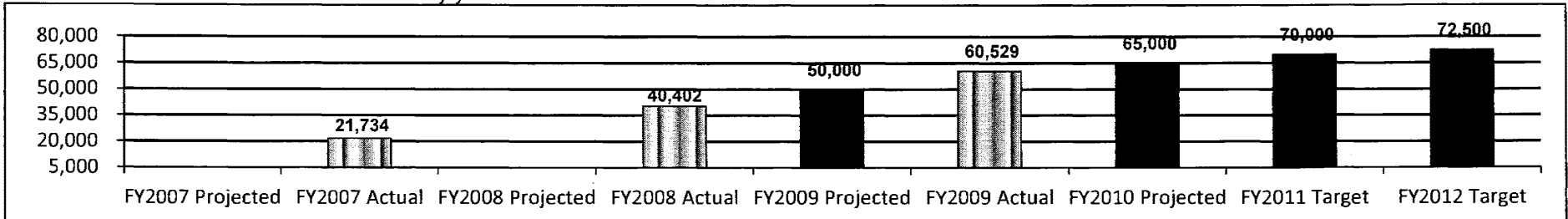
Program is found in the following core budget(s): Health Insurance Counseling

7a. Provide an effectiveness measure.

Number of educational outreach events held



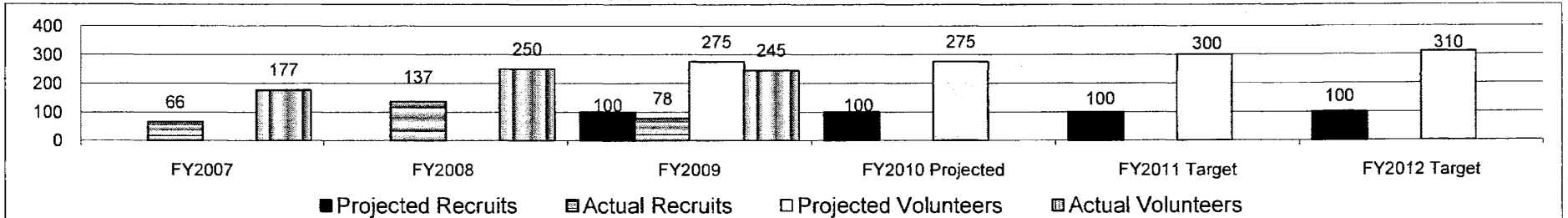
Total number of attendees for events held by year.



FY2007 and FY2008 were not projected for this measure.

7b. Provide an efficiency measure.

Number of Recruited Volunteers and Trained Active Volunteers



FY2007 and FY2008 were not projected for this measure.

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Health Insurance Counseling

Program is found in the following core budget(s): Health Insurance Counseling

7c. Provide the number of clients/individuals served, if applicable.

	FY2007*		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Seniors counseled	15,000	11,459	15,000	16,250	16,250	19,652	20,500	21,000	21,500

*FY2007 decrease was due to volunteer turnover. This has been addressed by revamping volunteer recruitment and increasing training.

7d. Provide a customer satisfaction measure, if available.

CLAIM conducts random surveys to measure customer satisfaction with the counseling process.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Excellent or above average rating	75%	80%	80%	87%	87%	87%	87%	89%	89%

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
CREDIT UNIONS									
CORE									
PERSONAL SERVICES									
DIVISION OF CREDIT UNIONS	897,304	15.32	1,126,071	15.50	1,126,071	15.50	0	0.00	
TOTAL - PS	897,304	15.32	1,126,071	15.50	1,126,071	15.50	0	0.00	
EXPENSE & EQUIPMENT									
DIVISION OF CREDIT UNIONS	123,230	0.00	123,775	0.00	123,775	0.00	0	0.00	
TOTAL - EE	123,230	0.00	123,775	0.00	123,775	0.00	0	0.00	
TOTAL	1,020,534	15.32	1,249,846	15.50	1,249,846	15.50	0	0.00	
Credit Union Examiner Request - 1375001									
PERSONAL SERVICES									
DIVISION OF CREDIT UNIONS	0	0.00	0	0.00	52,164	1.00	0	0.00	
TOTAL - PS	0	0.00	0	0.00	52,164	1.00	0	0.00	
EXPENSE & EQUIPMENT									
DIVISION OF CREDIT UNIONS	0	0.00	0	0.00	10,660	0.00	0	0.00	
TOTAL - EE	0	0.00	0	0.00	10,660	0.00	0	0.00	
TOTAL	0	0.00	0	0.00	62,824	1.00	0	0.00	
GRAND TOTAL	\$1,020,534	15.32	\$1,249,846	15.50	\$1,312,670	16.50	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	42490C
Division of Credit Unions		
Core - Credit Unions		

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	1,126,071	1,126,071
EE	0	0	123,775	123,775
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	1,249,846	1,249,846
FTE	0.00	0.00	15.50	15.50

Est. Fringe	0	0	677,106	677,106
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Credit Unions Fund (0548)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Division of Credit Unions is the regulatory agency responsible for the examination, supervision, chartering, merger and liquidation of all state-chartered credit unions. The division also responds to consumer requests or complaints about credit union services or operations. The division is an accredited agency through the National Association of State Credit Union Supervisors, and all member deposits are insured by the National Credit Union Administration, an agency of the federal government. The division is statutorily required to conduct examinations at least once every 18 months on qualifying state-chartered credit unions. Examinations are conducted to ensure the safety and soundness of credit unions and to ensure compliance with applicable rules, regulations and statutes. The division proactively performs off-site monitoring on an ongoing basis to assist in identifying increasing risk. Credit unions incurring problems, whether financial, operational or in compliance areas, receive increased attention which may come in the form of enforcement actions. The Division of Credit Unions currently regulates 140 credit unions with assets exceeding \$9.3 billion. Missouri is ranked seventh in the nation in the number of state-chartered credit unions. There are approximately 1.2 million members of Missouri credit unions.

3. PROGRAM LISTING (list programs included in this core funding)

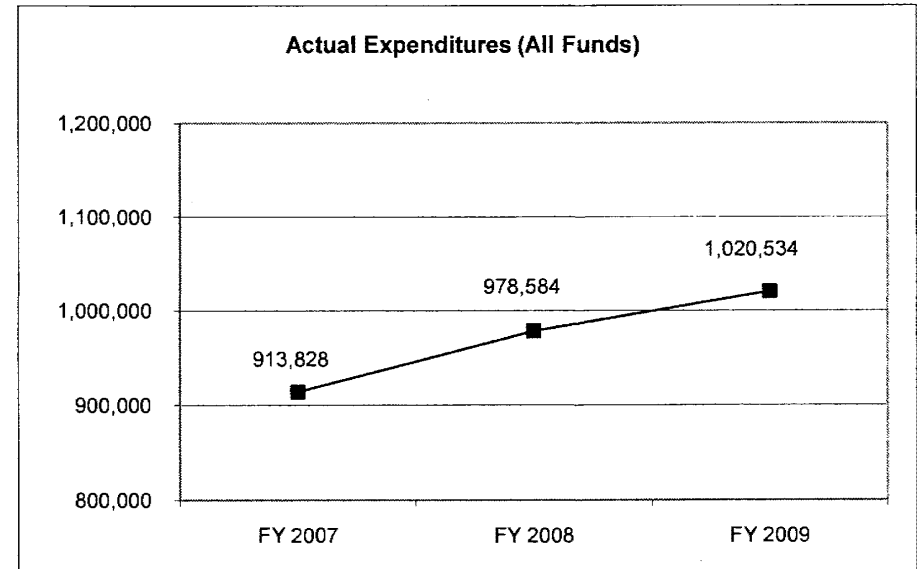
Division of Credit Unions

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration **Budget Unit** 42490C
Division of Credit Unions
Core - Credit Unions

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	1,203,687	1,235,154	1,268,496	1,249,846
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,203,687	1,235,154	1,268,496	N/A
Actual Expenditures (All Funds)	913,828	978,584	1,020,534	N/A
Unexpended (All Funds)	289,859	256,570	247,962	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	289,859	256,570	247,962	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session)
- (2) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session)
- (3) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session)

CORE RECONCILIATION DETAIL

DIFP

CREDIT UNIONS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	15.50	0	0	1,126,071	1,126,071	
	EE	0.00	0	0	123,775	123,775	
	Total	15.50	0	0	1,249,846	1,249,846	
DEPARTMENT CORE REQUEST							
	PS	15.50	0	0	1,126,071	1,126,071	
	EE	0.00	0	0	123,775	123,775	
	Total	15.50	0	0	1,249,846	1,249,846	
GOVERNOR'S RECOMMENDED CORE							
	PS	15.50	0	0	1,126,071	1,126,071	
	EE	0.00	0	0	123,775	123,775	
	Total	15.50	0	0	1,249,846	1,249,846	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
CREDIT UNIONS								
CORE								
DESIGNATED PRINCIPAL ASST DIV	6,039	0.19	0	0.00	0	0.00	0	0.00
COMMISSION MEMBER	0	0.00	18,536	0.00	18,536	0.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	11,907	0.54	17,781	0.50	17,781	0.50	0	0.00
EXECUTIVE II	40,997	1.00	49,534	1.00	49,534	1.00	0	0.00
FINANCIAL EXAM ASST II	100,028	2.42	202,836	4.00	202,836	4.00	0	0.00
FINANCIAL EXAMINER	204,112	4.00	64,654	1.00	64,654	1.00	0	0.00
SENIOR FINANCIAL EXAMINER	0	0.00	74,147	1.00	74,147	1.00	0	0.00
FINANCIAL EXAMINER SPEC	225,748	3.10	356,764	4.00	356,764	4.00	0	0.00
DIVISION DIRECTOR	91,874	0.96	95,168	1.00	95,168	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	193,833	2.81	246,651	3.00	246,651	3.00	0	0.00
COMMISSION MEMBER	600	0.00	0	0.00	0	0.00	0	0.00
MISCELLANEOUS PROFESSIONAL	22,166	0.30	0	0.00	0	0.00	0	0.00
TOTAL - PS	897,304	15.32	1,126,071	15.50	1,126,071	15.50	0	0.00
TRAVEL, IN-STATE	62,212	0.00	56,782	0.00	56,782	0.00	0	0.00
TRAVEL, OUT-OF-STATE	5,593	0.00	9,252	0.00	9,252	0.00	0	0.00
SUPPLIES	15,231	0.00	17,594	0.00	17,594	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	14,976	0.00	10,188	0.00	10,188	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	10,794	0.00	10,794	0.00	0	0.00
PROFESSIONAL SERVICES	98	0.00	5,557	0.00	5,557	0.00	0	0.00
M&R SERVICES	340	0.00	498	0.00	498	0.00	0	0.00
OFFICE EQUIPMENT	2,695	0.00	3,198	0.00	3,198	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1,407	0.00	1,407	0.00	0	0.00
BUILDING LEASE PAYMENTS	31	0.00	1	0.00	1	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	100	0.00	100	0.00	0	0.00
MISCELLANEOUS EXPENSES	748	0.00	1,900	0.00	1,900	0.00	0	0.00
REBILLABLE EXPENSES	21,306	0.00	6,504	0.00	6,504	0.00	0	0.00
TOTAL - EE	123,230	0.00	123,775	0.00	123,775	0.00	0	0.00
GRAND TOTAL	\$1,020,534	15.32	\$1,249,846	15.50	\$1,249,846	15.50	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,020,534	15.32	\$1,249,846	15.50	\$1,249,846	15.50		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Division of Credit Unions

Program is found in the following core budget(s): Credit Unions

1. What does this program do?

The Division of Credit Unions is responsible for the regulation of state-chartered credit unions in Missouri to effectively safeguard the interests of depositors and shareholders of these organizations. The division performs examinations of credit unions to ensure compliance with applicable laws and regulations. The division also responds to consumer requests or complaints about credit union services and operations. The entire cost of the division is reimbursed to the state through fees and assessments paid by the credit unions. The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). All deposits in Missouri credit unions are insured up to \$100,000 by the National Credit Union Administration (NCUA), an agency of the federal government. The division currently regulates 140 credit unions with assets exceeding 9.3 billion. Missouri is currently ranked seventh in the nation in the number of state-chartered credit unions. There are approximately 1.2 million members of Missouri credit unions.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 370 RSMo.

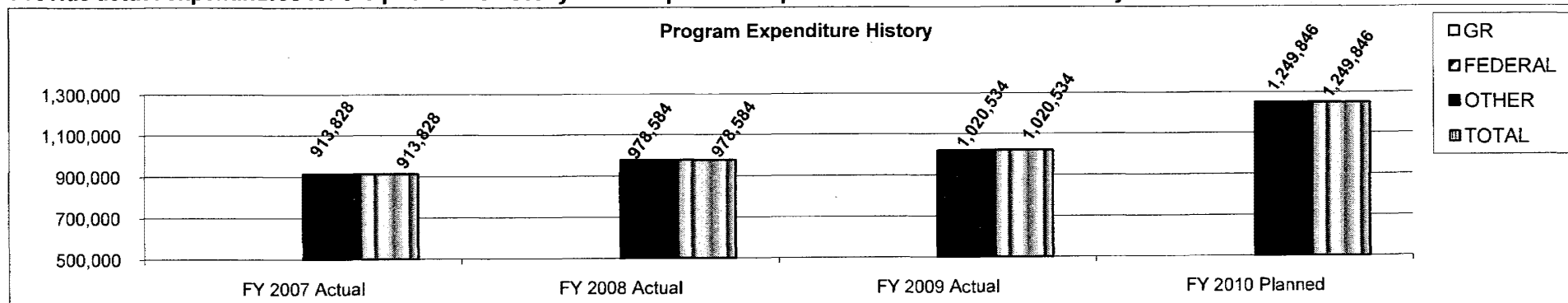
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Division of Credit Unions Fund (0548)

PROGRAM DESCRIPTION

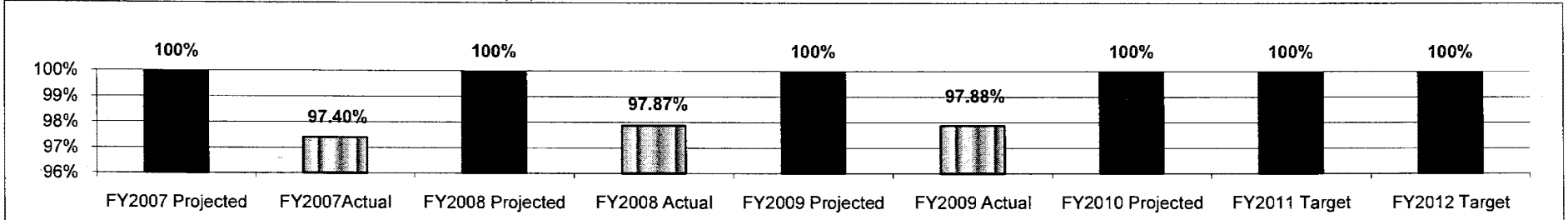
Department of Insurance, Financial Institutions & Professional Registration

Division of Credit Unions

Program is found in the following core budget(s): Credit Unions

7a. Provide an effectiveness measure.

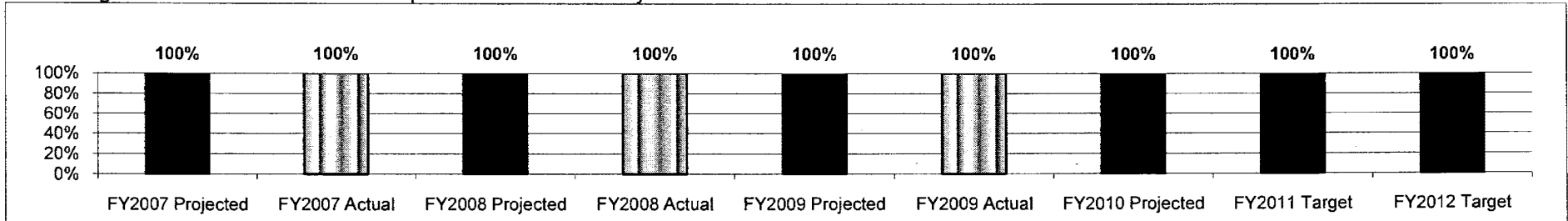
Percentage of Missouri credit unions rated with a 1, 2, or 3*



*A credit union's performance is measured by the CAMEL ratings. The CAMEL rating system (1 - 5) is based upon evaluation of critical elements of a credit union's operations. Credit unions rated at a CAMEL rate of 4 or 5 are considered to be performing poorly.

7b. Provide an efficiency measure.

Percentage of credit union examinations processed within 30 days



7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Missouri Credit Union Members	1,300,000	1,183,720	1,200,000	1,186,371	1,200,000	1,192,374	1,200,000	1,200,000	1,200,000

7d. Provide a customer satisfaction measure, if available.

The Division of Credit Unions surveys each credit union after completion of an examination to determine their overall satisfaction with the division.

	FY2007		FY2008		FY2009		FY2009	FY2010	FY2011
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
% reporting satisfaction	89%	88.9%	90%	90.2%	92%	93%	92%	92%	92%

NEW DECISION ITEM
RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	42490C
Division of Credit Unions		
Credit Union Examiner Staff Request	DI# 1375001	

1. AMOUNT OF REQUEST

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	52,164	52,164
EE	0	0	10,660	10,660
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	62,824	62,824
 FTE	 0.00	 0.00	 1.00	 1.00

Est. Fringe	0	0	31,366	31,366
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Credit Unions Fund (0548)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
 FTE	 0.00	 0.00	 0.00	 0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

<input type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input checked="" type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Division of Credit Unions is responsible for the supervision of 140 credit unions. Credit unions are examined in a period ranging from 12 to 18 months. The assets under the division's supervision have grown from \$4.1 billion as of December 2000 to over \$9 billion as of June 2009. The national economy in calendar years 2008 and 2009 have placed a large degree of stress on all financial institutions, including credit unions. In addition, increased federal compliance requirements have added a significant amount of efforts to credit unions and to credit union examiners. Due to increases in the size and complexity of credit unions, the financial stress inherent in the financial sectors of the economy and the increased emphasis on compliance, the division is in need of an extra examiner to ease workload.

NEW DECISION ITEM

RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42490C</u>
Division of Credit Unions	
Credit Union Examiner Staff Request	DI# 1375001

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Examination staff has not been increased in many years. A .5 FTE was appropriated in FY 1999, otherwise the division has been working with the same number of examiners since 1974. At this time, 10 field examiners are responsible for over \$9 billion in regulated assets. An additional examiner FTE will ease the workload and allow the division to maintain its schedule of examinations.

Travel and training expenses for credit union examiners average \$9,130 per examiner.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/L07702 Financial Examiner					52,164	1.0	52,164 0	1.0 0.0	
Total PS	0	0.0	0	0.0	52,164	1.0	52,164	1.0	0
580/Office Equipment					1,200		1,200		1,200
190/Office Supplies					180		180		
340/Communication Expense					150		150		
320/Professional Development					1,500		1,500		
140/Travel					7,630		7,630		
Total EE	0		0		10,660		10,660		1,200
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	62,824	1.0	62,824	1.0	1,200

NEW DECISION ITEM
RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit		42490C		
Division of Credit Unions									
Credit Union Examiner Staff Request				DI# 1375001					
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0		
							0		
							0		
Total EE	0		0		0		0		0
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

NEW DECISION ITEM

RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42490C</u>
Division of Credit Unions	
Credit Union Examiner Staff Request	DI# 1375001

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

As detailed below, if additional staff are not added, the examiner work load will reach unmanageable levels over the next three years. (Target Examiner Work Load is 24)

Fiscal Year	# of Examiners	Examiner Work Load
FY2005	10	18
FY2006	10	21
FY2007	10	24
FY2008	10	27
FY2009	10	27
FY2010	10	27
FY2011	10	27

6c. Provide the number of clients/individuals served, if applicable.

N/A

6b. Provide an efficiency measure.

Frequency of member calls or emails

Fiscal Year	Member calls/emails handled
FY2005	89% Actual
FY2006	91.0% Actual
FY2007	94.0% Actual
FY2008	96.0% Actual
FY2009	100.0% Actual
FY2010	100.0% Projected
FY2011	100.0% Projected

6d. Provide a customer satisfaction measure, if available.

Fiscal Year Number of Positive Surveys

FY2006	N/A
FY2007	89 % Actual
FY2008	90.2% Actual
FY2009	92% Actual
FY2010	92% Projected
FY2011	92% Projected

NEW DECISION ITEM
RANK: 6 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42490C</u>
Division of Credit Unions	
Credit Union Examiner Staff Request	DI# <u>1375001</u>

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Identify problems in Missouri state chartered credit unions by increasing compliance examinations, in turn protecting consumers.

Provide follow-up and guidance to assist credit unions in returning to safe and sound status.

Provide credit union examiners with continuing education and training on the examination process.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
CREDIT UNIONS								
Credit Union Examiner Request - 1375001								
FINANCIAL EXAMINER	0	0.00	0	0.00	52,164	1.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	52,164	1.00	0	0.00
TRAVEL, IN-STATE	0	0.00	0	0.00	7,630	0.00	0	0.00
SUPPLIES	0	0.00	0	0.00	180	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	1,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	150	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	1,200	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	10,660	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$62,824	1.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$62,824	1.00		0.00

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE								
CORE								
PERSONAL SERVICES								
DIVISION OF FINANCE	5,152,203	91.38	6,216,626	106.15	6,216,626	106.15	0	0.00
TOTAL - PS	5,152,203	91.38	6,216,626	106.15	6,216,626	106.15	0	0.00
EXPENSE & EQUIPMENT								
DIVISION OF FINANCE	706,766	0.00	985,260	0.00	868,918	0.00	0	0.00
TOTAL - EE	706,766	0.00	985,260	0.00	868,918	0.00	0	0.00
PROGRAM-SPECIFIC								
DIVISION OF FINANCE	2,050	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL - PD	2,050	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL	5,861,019	91.38	7,202,886	106.15	7,086,544	106.15	0	0.00
GRAND TOTAL	\$5,861,019	91.38	\$7,202,886	106.15	\$7,086,544	106.15	\$0	0.00

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CORE DECISION ITEM

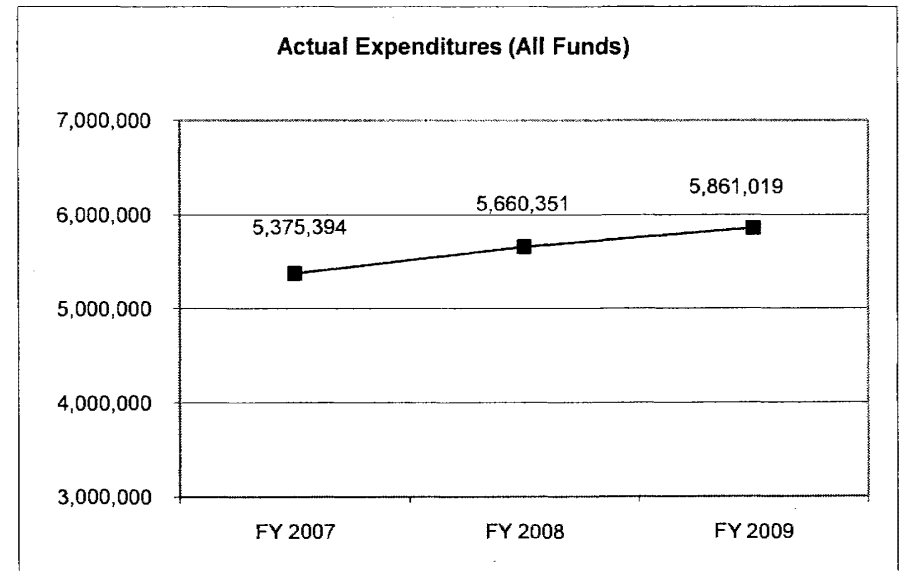
Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>42510C</u>				
Division of Finance									
Core - Finance									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	6,216,626	6,216,626	PS	0	0	0	0
EE	0	0	869,918	869,918 E	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	7,086,544	7,086,544	Total	0	0	0	0
FTE	0.00	0.00	106.15	106.15	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	3,738,057	3,738,057	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: Division of Finance Fund (0550)					Other Funds:				
Notes: An "E" is requested for \$50,000 E&E for out-of-state examinations.					Notes:				
2. CORE DESCRIPTION									
<p>The Division of Finance is responsible for the regulation of state-chartered financial institutions in Missouri to ensure a sound banking system and protect depositor's funds. The health and vitality of Missouri's banking industry is critical to the state's economic well-being. Bank failures have a negative impact on a state's economy and threaten the public's confidence in the banking system. It is important that Missouri citizens have confidence that the money deposited in the state's financial institutions is safe. The Division of Finance helps to ensure the safety and soundness of Missouri's financial institutions through the chartering and regulation of state chartered banks, trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit service organizations, money order companies and residential mortgage brokers. Statutes require that each state bank, trust company, and savings and loan association be examined for safety and soundness at least every 18 months. Consumer credit companies as well as banks are examined periodically for compliance with statutes and regulations governing finance charges, credit insurance fees, etc.</p>									
3. PROGRAM LISTING (list programs included in this core funding)									
Bank and Trust Company Regulation Consumer Credit Licensing and Regulation									

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration Budget Unit 42510C
 Division of Finance
 Core - Finance

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	6,146,439	6,305,277	6,599,834	7,202,886
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	6,146,439	6,305,277	6,599,834	N/A
Actual Expenditures (All Funds)	5,375,394	5,660,351	5,861,019	N/A
Unexpended (All Funds)	771,045	644,926	738,815	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	771,045	644,926	738,815	N/A
	(1)	(2)	(3)	(4)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount is primarily personal service appropriation due to staff turnover
- (2) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session)
- (3) Unexpended amount is primarily personal service appropriation due to staff turnover and gradual implementation of HB 379/SB 318 (2005 Session)
- (4) Includes a \$50,000 estimated appropriation for out-of-state examinations

CORE RECONCILIATION DETAIL

DIFP

FINANCE

5. CORE RECONCILIATION DETAIL

			Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES									
			PS	106.15	0	0	6,216,626	6,216,626	
			EE	0.00	0	0	985,260	985,260	
			PD	0.00	0	0	1,000	1,000	
			Total	106.15	0	0	7,202,886	7,202,886	
DEPARTMENT CORE ADJUSTMENTS									
1x Expenditures	1499 2196		EE	0.00	0	0	(84,740)	(84,740)	1X Expenditures - Implement SAFE Act FY2010
1x Expenditures	1500 2196		EE	0.00	0	0	(21,068)	(21,068)	1X Expenditures - Bank Examination Staff Request FY2010
1x Expenditures	1501 2196		EE	0.00	0	0	(10,534)	(10,534)	1X Expenditures - Consumer Credit Staff Request FY2010
NET DEPARTMENT CHANGES				0.00	0	0	(116,342)	(116,342)	
DEPARTMENT CORE REQUEST									
			PS	106.15	0	0	6,216,626	6,216,626	
			EE	0.00	0	0	868,918	868,918	
			PD	0.00	0	0	1,000	1,000	
			Total	106.15	0	0	7,086,544	7,086,544	
GOVERNOR'S RECOMMENDED CORE									
			PS	106.15	0	0	6,216,626	6,216,626	
			EE	0.00	0	0	868,918	868,918	
			PD	0.00	0	0	1,000	1,000	
			Total	106.15	0	0	7,086,544	7,086,544	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE								
CORE								
LEGAL COUNSEL	0	0.00	0	0.00	70,000	1.00	0	0.00
CLERK I	0	0.00	12,681	0.50	0	0.00	0	0.00
ADMINISTRATIVE SECRETARY	6,355	0.17	0	0.00	76,255	2.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	131,031	4.95	192,939	7.00	192,939	7.00	0	0.00
SENIOR ACCOUNTING CLERK	11,421	0.46	27,563	1.00	0	0.00	0	0.00
ACCOUNTANT II	41,163	1.00	43,349	1.00	43,349	1.00	0	0.00
ASSISTANT BANK EXAMINER	373,304	10.77	307,032	8.00	422,169	11.00	0	0.00
SENIOR ASSISTANT BANK EXAMINER	305,670	7.15	470,722	10.00	342,111	7.00	0	0.00
BANK EXAMINER	583,126	10.75	839,575	15.00	939,570	15.00	0	0.00
SENIOR BANK EXAMINER	1,195,379	17.27	1,361,540	19.00	1,218,220	17.00	0	0.00
REVIEW EXAMINER	310,460	4.00	310,837	4.00	310,837	4.00	0	0.00
SENIOR TRUST EXAMINER	196,334	3.00	214,980	3.00	214,980	3.00	0	0.00
TRUST SUPERVISOR	79,624	1.00	79,720	1.00	73,000	1.00	0	0.00
DISTRICT SUPERVISOR	415,025	5.00	436,388	5.00	433,200	5.00	0	0.00
SUPERVISOR OF SAVING AND LOAN	82,803	0.96	86,513	1.00	0	0.00	0	0.00
REPORT ANALYST	32,938	1.00	38,379	1.00	38,379	1.00	0	0.00
ASSISTANT BANK EXAMINER II	182,603	4.92	239,667	6.00	399,450	10.00	0	0.00
SENIOR ASST CONS. CREDIT EXAM	106,562	2.50	235,361	5.00	146,619	3.00	0	0.00
CONSUMER CREDIT EXAMINER	114,020	2.10	125,276	2.00	121,276	2.00	0	0.00
SR CONSUMER CREDIT EXAMINER	335,458	5.04	358,300	5.00	429,960	6.00	0	0.00
ASST CONSUMER CREDIT EXAM II	20,082	0.54	0	0.00	0	0.00	0	0.00
CONSUMER CREDIT SPECIALIST	0	0.00	62,638	1.00	42,664	1.00	0	0.00
IT EXAMINER	18,950	0.38	0	0.00	50,533	1.00	0	0.00
SUPERVISOR OF CONSUMER CREDIT	7,030	0.08	0	0.00	84,361	1.00	0	0.00
SUPVSR OF MORTGAGE LICENSING	0	0.00	0	0.00	80,000	1.00	0	0.00
DIVISION DIRECTOR	91,215	0.89	102,650	1.00	100,450	1.00	0	0.00
DEPUTY DIVISION DIRECTOR	84,624	0.88	96,450	1.00	96,000	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	162,091	1.83	177,061	2.00	0	0.00	0	0.00
CHIEF EXAMINER	7,725	0.08	0	0.00	92,700	1.00	0	0.00
CHIEF COUNSEL	66,410	0.75	158,723	2.00	85,000	1.00	0	0.00
FISCAL AND ADMINISTRATIVE MNGR	4,145	0.08	0	0.00	49,743	1.00	0	0.00
COMMISSION MEMBER	0	0.00	2,365	0.00	2,365	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE								
CORE								
BOARD MEMBER	0	0.00	4,611	0.15	4,611	0.15	0	0.00
MISCELLANEOUS PROFESSIONAL	71,310	1.08	90,951	1.50	55,885	1.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	115,345	2.75	140,355	3.00	0	0.00	0	0.00
TOTAL - PS	5,152,203	91.38	6,216,626	106.15	6,216,626	106.15	0	0.00
TRAVEL, IN-STATE	371,595	0.00	459,100	0.00	471,980	0.00	0	0.00
TRAVEL, OUT-OF-STATE	106,690	0.00	121,650	0.00	131,046	0.00	0	0.00
SUPPLIES	51,676	0.00	51,521	0.00	51,521	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	93,254	0.00	107,400	0.00	103,894	0.00	0	0.00
COMMUNICATION SERV & SUPP	25,361	0.00	45,190	0.00	39,190	0.00	0	0.00
PROFESSIONAL SERVICES	37,320	0.00	120,645	0.00	46,645	0.00	0	0.00
M&R SERVICES	2,848	0.00	4,610	0.00	3,175	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	1	0.00	1	0.00	0	0.00
OFFICE EQUIPMENT	11,836	0.00	64,762	0.00	10,420	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	230	0.00	0	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	1,069	0.00	1	0.00	1	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	91	0.00	500	0.00	100	0.00	0	0.00
MISCELLANEOUS EXPENSES	756	0.00	5,130	0.00	5,805	0.00	0	0.00
REBILLABLE EXPENSES	4,040	0.00	3,750	0.00	4,140	0.00	0	0.00
TOTAL - EE	706,766	0.00	985,260	0.00	868,918	0.00	0	0.00
REFUNDS	2,050	0.00	1,000	0.00	1,000	0.00	0	0.00
TOTAL - PD	2,050	0.00	1,000	0.00	1,000	0.00	0	0.00
GRAND TOTAL	\$5,861,019	91.38	\$7,202,886	106.15	\$7,086,544	106.15	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$5,861,019	91.38	\$7,202,886	106.15	\$7,086,544	106.15		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

1. What does this program do?

This program is responsible for the chartering, regulation and licensing of Missouri state-chartered banks and trust companies. As of June 30, 2008, Missouri ranked 5th in the nation in the number of state-chartered banks with 285 banks and 7 nondeposit trust companies regulated by the division. Assets in Missouri state-chartered banks totaled \$78 billion on June 30, 2009. The 7 nondeposit trust companies held a combined total of nearly \$10 billion in trust assets as of year end 2008.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapters 361, 362, 369 and 443 RSMo.

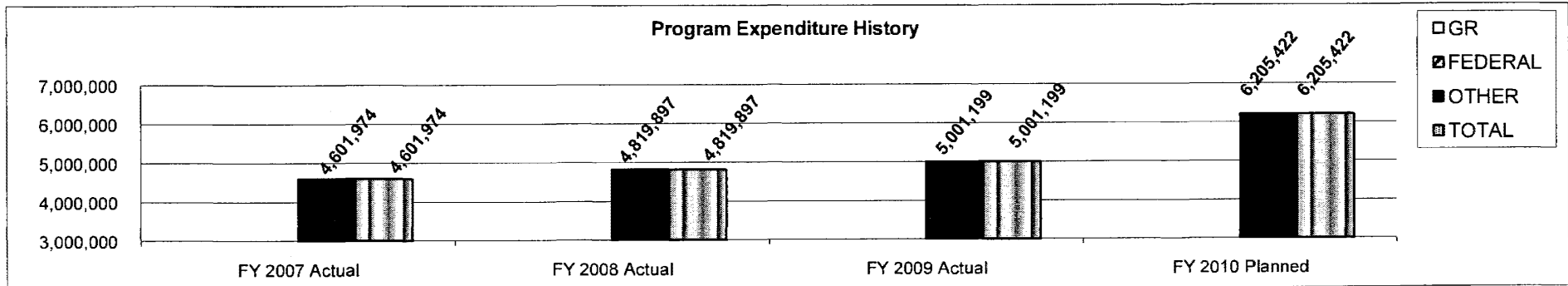
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

PROGRAM DESCRIPTION

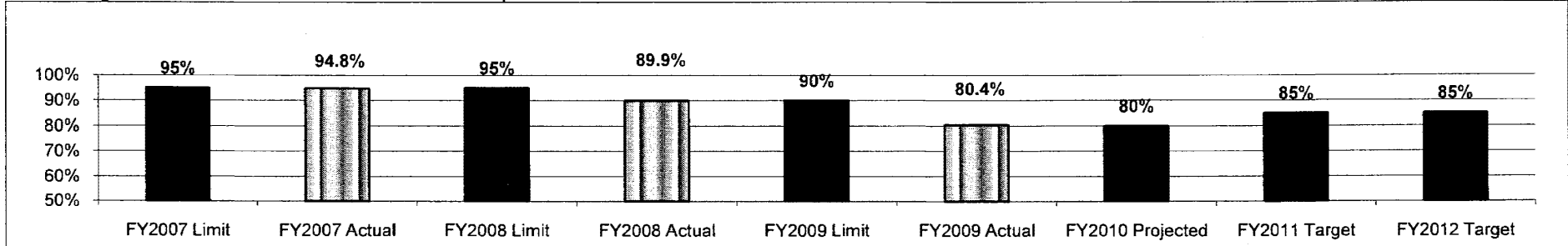
Department of Insurance, Financial Institutions & Professional Registration

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

7a. Provide an effectiveness measure.

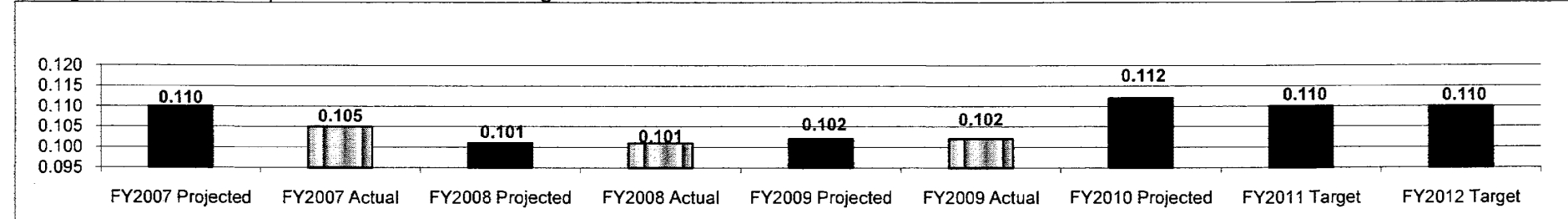
Percentage of Missouri institutions that are in non-problem status*



*A problem bank is defined as having a composite CAMELS rating of 3, 4 or 5.

7b. Provide an efficiency measure.

Average assessment rate per thousand in assets charged to Missouri institutions



7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Projected	Projected
State-chartered Banks	300	293	292	290	290	285	284	282	280

7d. Provide a customer satisfaction measure, if available.

The Division of Finance has contracted with MU to conduct a post-examination survey of customer satisfaction on a overall rating scale of 1(poor) to 5 (excellent).

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Target	Target	Target
MU Survey Results	4.00	4.35	4.00	4.09	4.00	4.13	4.00	4.00	4.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

1. What does this program do?

This program is responsible for the licensing and regulation of various consumer credit outlets which include finance companies, pay day loan companies, consumer installment lender companies and title loan companies.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapters 364, 365, 367 and 408 RSMo.

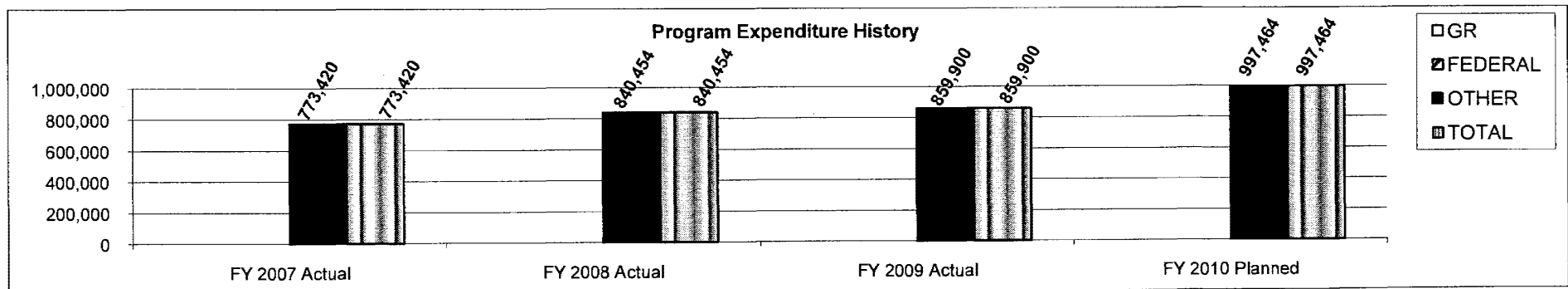
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

PROGRAM DESCRIPTION

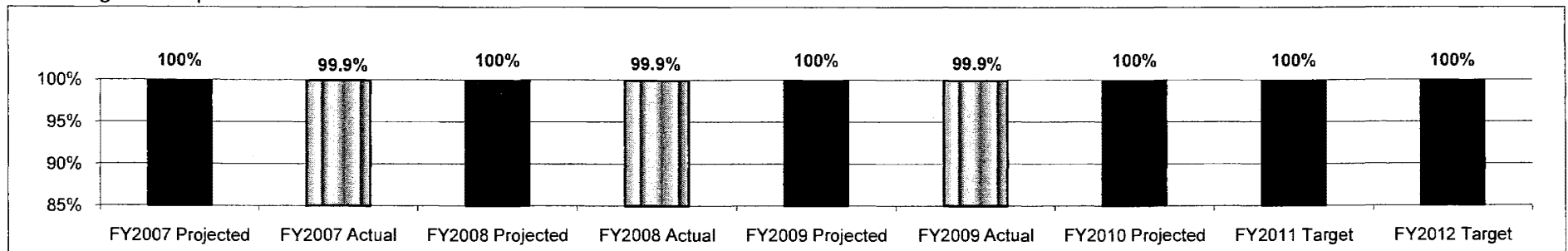
Department of Insurance, Financial Institutions & Professional Registration

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

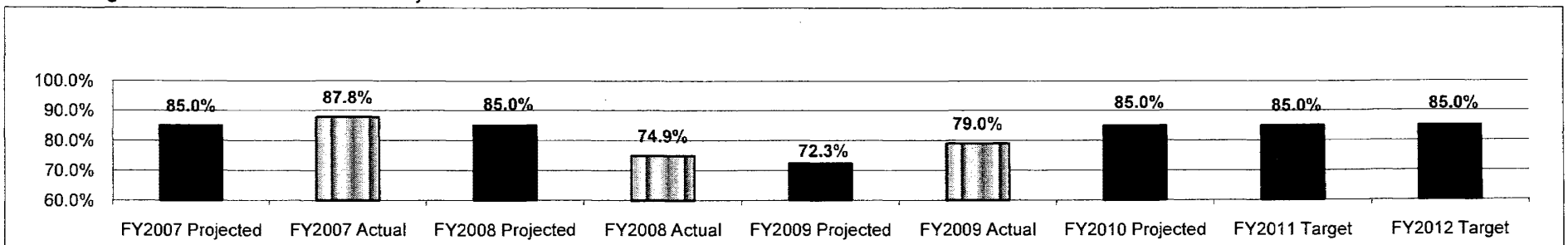
7a. Provide an effectiveness measure.

Percentage of compliant licensees



7b. Provide an efficiency measure.

Percentage of licensees examined each year



7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Projected	Projected	Projected
Licenses	2,932	2,803	2,878	2,968	3,043	2,767	2,800	2,825	2,850

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
S&L FUND TRANSFER								
CORE								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	29,521	0.00	39,400	0.00	39,400	0.00	0	0.00
TOTAL - TRF	29,521	0.00	39,400	0.00	39,400	0.00	0	0.00
TOTAL	29,521	0.00	39,400	0.00	39,400	0.00	0	0.00
GRAND TOTAL	\$29,521	0.00	\$39,400	0.00	\$39,400	0.00	\$0	0.00

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CORE DECISION ITEM

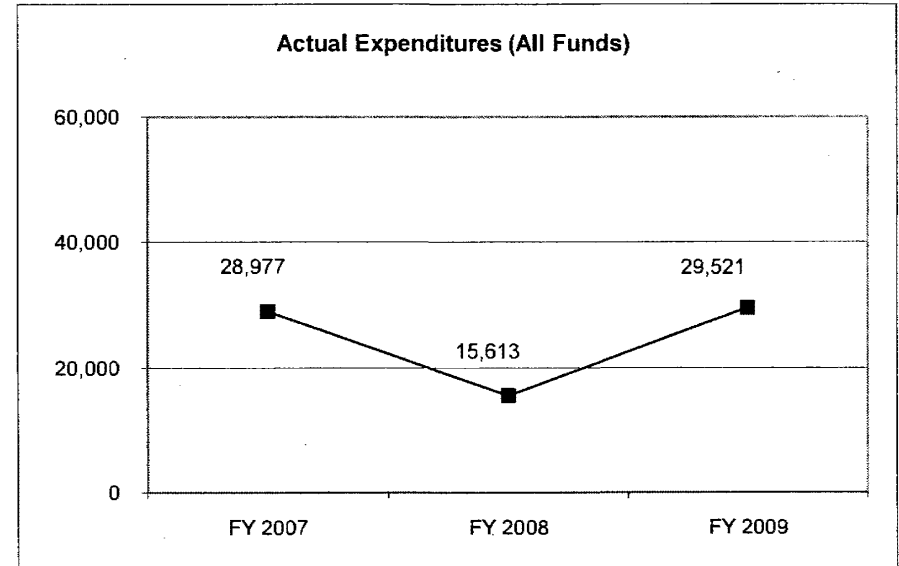
Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>42520C</u>				
Division of Finance									
Core - Division of Savings and Loan Supervision Fund Transfer to Finance Fund									
1. CORE FINANCIAL SUMMARY									
	FY 2011 Budget Request					FY 2011 Governor's Recommendation			
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	39,400	39,400	TRF	0	0		0
Total	0	0	39,400	39,400	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Division of Savings & Loan Supervision Fund (0549)				Other Funds:				
Notes:	An "E" is requested to allow for the transfer of funds of actual costs of supervision.				Notes:				
2. CORE DESCRIPTION									
This transfer provides funds to the Division of Finance Fund from the Savings & Loan Supervision Fund to meet the salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.									
3. PROGRAM LISTING (list programs included in this core funding)									
Savings & Loan Supervision Transfer									

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration **Budget Unit** 42520C
Division of Finance
Core - Division of Savings and Loan Supervision Fund Transfer to Finance Fund

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.	
Appropriation (All Funds)	39,400	39,400	39,400	39,400	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	39,400	39,400	39,400	N/A	
Actual Expenditures (All Funds)	28,977	15,613	29,521	N/A	
Unexpended (All Funds)	10,423	23,787	9,879	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	10,423	23,787	9,879	N/A	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

CORE RECONCILIATION DETAIL

DIFP

S&L FUND TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	39,400	39,400	
	Total	0.00	0	0	39,400	39,400	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	39,400	39,400	
	Total	0.00	0	0	39,400	39,400	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	39,400	39,400	
	Total	0.00	0	0	39,400	39,400	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
S&L FUND TRANSFER								
CORE								
TRANSFERS OUT	29,521	0.00	39,400	0.00	39,400	0.00	0	0.00
TOTAL - TRF	29,521	0.00	39,400	0.00	39,400	0.00	0	0.00
GRAND TOTAL	\$29,521	0.00	\$39,400	0.00	\$39,400	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$29,521	0.00	\$39,400	0.00	\$39,400	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Savings & Loan Supervision Fund Transfer to Finance Fund

Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to Finance Fund

1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Division of Savings & Loan Supervision fund to meet salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 369, RSMo.

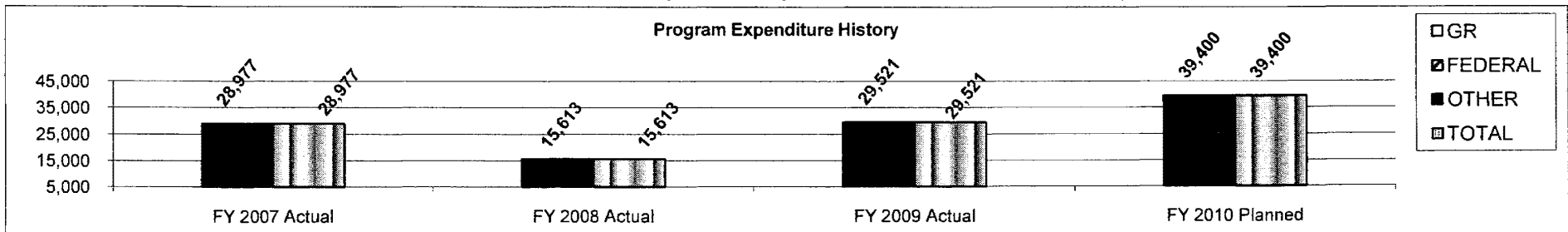
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
RESIDENTIAL MORTGAGE FUND TRF								
CORE								
FUND TRANSFERS								
RESIDENTIAL MORTGAGE LICENSING	197,623	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF	197,623	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL	197,623	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$197,623	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit <u>42550C</u>				
Division of Finance									
Core - Residential Mortgage Licensing Fund Transfer to Finance Fund									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	150,000	150,000	TRF	0	0		0
Total	<u>0</u>	<u>0</u>	<u>150,000</u>	<u>150,000</u>	Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Residential Mortgage Licensing Fund (0261)				Other Funds:				
Notes:	An "E" is requested to allow for the transfer of funds for actual costs of administering the law.				Notes:				
2. CORE DESCRIPTION									
This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.									
3. PROGRAM LISTING (list programs included in this core funding)									
Residential Mortgage Licensing Fund Transfer									

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration

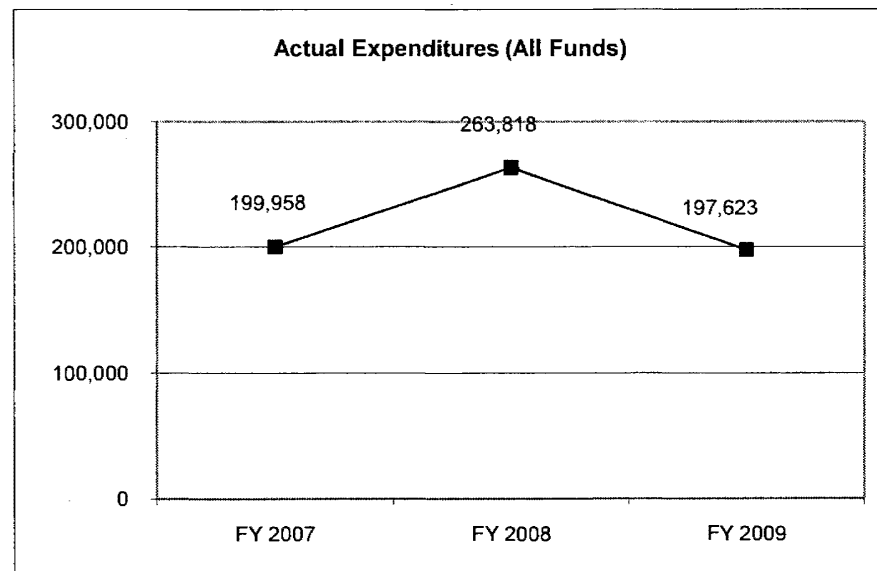
Budget Unit 42550C

Division of Finance

Core - Residential Mortgage Licensing Fund Transfer to Finance Fund

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	199,960	270,000	198,000	150,000 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	199,960	270,000	198,000	N/A
Actual Expenditures (All Funds)	199,958	263,818	197,623	N/A
Unexpended (All Funds)	2	6,182	377	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	2	6,182	377	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Original appropriation of \$150,000 E was increased by \$49,960
- (2) Original appropriation of \$150,000 E was increased by \$120,000
- (3) Original appropriation of \$150,000 E was increased by \$48,000

CORE RECONCILIATION DETAIL

DIFP

RESIDENTAL MORTGAGE FUND TRF

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	150,000	150,000	
	Total	0.00	0	0	150,000	150,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	150,000	150,000	
	Total	0.00	0	0	150,000	150,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	150,000	150,000	
	Total	0.00	0	0	150,000	150,000	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
RESIDENTIAL MORTGAGE FUND TRF								
CORE								
TRANSFERS OUT	197,623	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - TRF	197,623	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$197,623	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$197,623	0.00	\$150,000	0.00	\$150,000	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Residential Mortgage Licensing Fund Transfer

Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund

1. What does this program do?

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

443.845, RSMo.

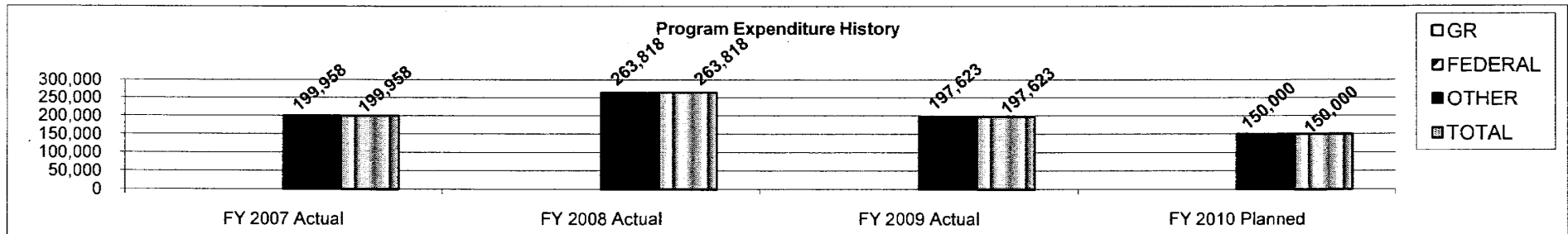
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Residential Mortgage Licensing Fund (0261)

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP**DECISION ITEM SUMMARY**

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
S&L FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
DIV SAVINGS & LOAN SUPERVISION	0	0.00	6,909	0.00	6,909	0.00	0	0.00
TOTAL - TRF	0	0.00	6,909	0.00	6,909	0.00	0	0.00
TOTAL	0	0.00	6,909	0.00	6,909	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$6,909	0.00	\$6,909	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration Budget Unit 42540C
 Division of Finance
 Core - Division of Savings & Loan Supervision Fund Transfer to General Revenue

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	6,909	6,909 E
Total	0	0	6,909	6,909 E
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Savings & Loan Supervision Fund (0549)
 Notes: An "E" is requested due to the unknown amount of the transfer.

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:
 Notes:

2. CORE DESCRIPTION

In accordance with Section 369.324 RSMo., any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year which exceeds five percent of the amount assessed to the savings and loan associations shall be transferred to general revenue. This transfer is necessary to meet the requirements of the statute.

3. PROGRAM LISTING (list programs included in this core funding)

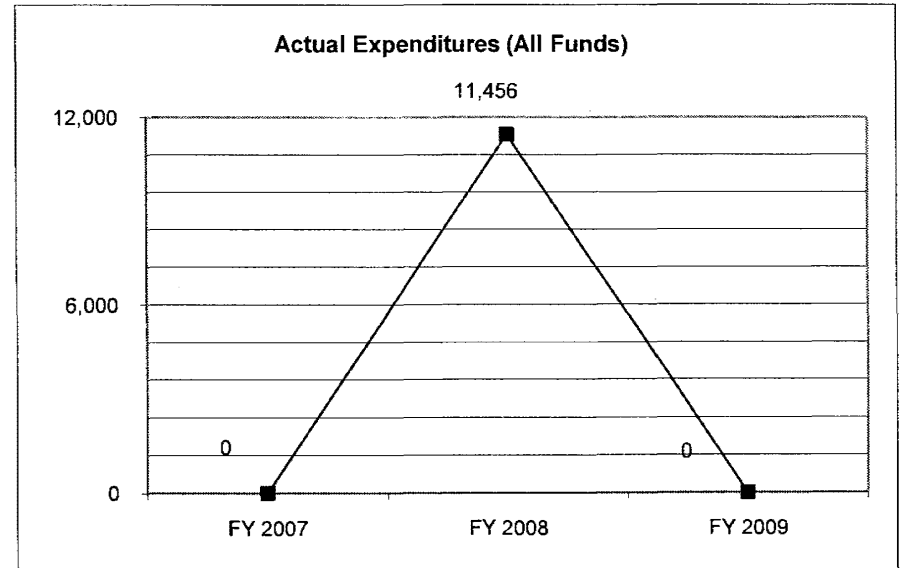
Division of Savings & Loan Supervision Fund Transfer to General Revenue

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration Budget Unit 42540C
 Division of Finance
 Core - Division of Savings & Loan Supervision Fund Transfer to General Revenue

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	6,909	11,909	6,909	6,909 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	6,909	11,909	6,909	N/A
Actual Expenditures (All Funds)	0	11,456	0	N/A
Unexpended (All Funds)	6,909	453	6,909	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	6,909	453	6,909	N/A
		(1)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Original appropriation of \$6,909 E was increased by \$5,000

CORE RECONCILIATION DETAIL

DIFP

S&L FUND TRANSFER TO GR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	6,909	6,909	
	Total	0.00	0	0	6,909	6,909	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	6,909	6,909	
	Total	0.00	0	0	6,909	6,909	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	6,909	6,909	
	Total	0.00	0	0	6,909	6,909	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
S&L FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	0	0.00	6,909	0.00	6,909	0.00	0	0.00
TOTAL - TRF	0	0.00	6,909	0.00	6,909	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$6,909	0.00	\$6,909	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$6,909	0.00	\$6,909	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Division of Savings & Loan Supervision Fund Transfer to General Revenue

Program is found in the following core budget(s): Division of Savings & Loan Supervision Fund Transfer to General Revenue

1. What does this program do?

Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year that exceeds five (5) percent of the amount assessed the saving and loan associations shall be transferred to General Revenue.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

369.324, RSMo.

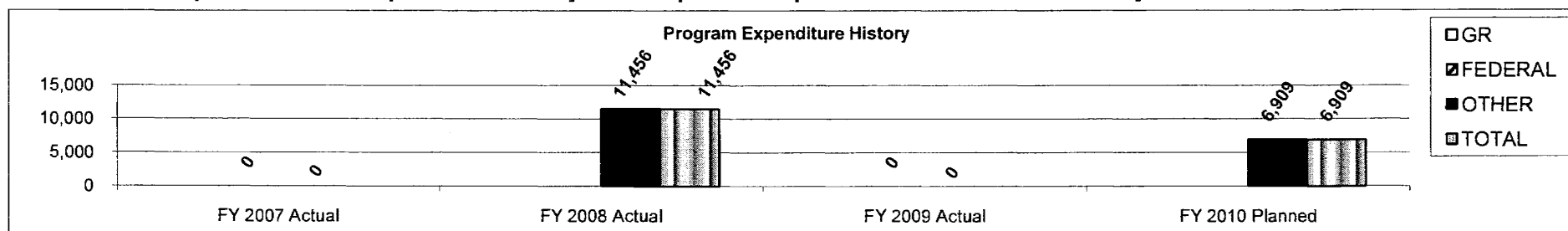
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Savings and Loan Supervision Fund (0549)

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
FINANCE FUND TRANSFER TO GR									
CORE									
FUND TRANSFERS									
DIVISION OF FINANCE	472,214	0.00	500,000	0.00	500,000	0.00	0	0.00	
TOTAL - TRF	472,214	0.00	500,000	0.00	500,000	0.00	0	0.00	
TOTAL	472,214	0.00	500,000	0.00	500,000	0.00	0	0.00	
GRAND TOTAL	\$472,214	0.00	\$500,000	0.00	\$500,000	0.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration

Budget Unit 42530C

Division of Finance

Core - Division of Finance Fund Transfer to General Revenue

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	500,000	500,000
Total	0	0	500,000	500,000 E
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Division of Finance Fund (0550)
Notes: An "E" is requested to allow for the transfer of funds for actual costs of services provided by General Revenue funded state agencies to the Division of Finance.

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:
Notes:

2. CORE DESCRIPTION

Per 361.170.1. RSMo., the division shall transfer to General Revenue an amount not to exceed fifteen percent of the estimated expense assessment to banks and trust companies to pay the costs of rent and other supporting services such as the costs related to the division's services from the state auditor and attorney general.

3. PROGRAM LISTING (list programs included in this core funding)

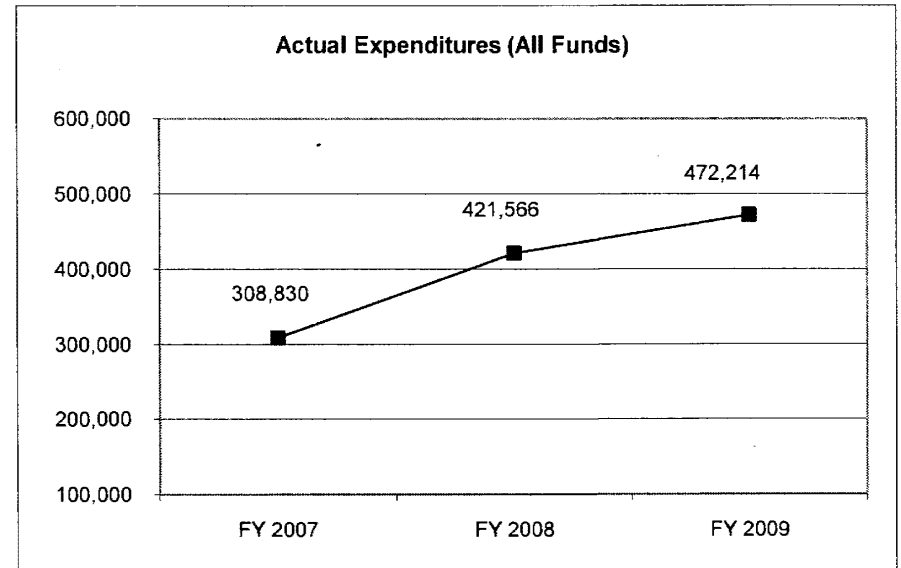
Finance Fund Transfer to General Revenue

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration **Budget Unit** 42530C
Division of Finance
Core - Division of Finance Fund Transfer to General Revenue

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.	
Appropriation (All Funds)	500,000	500,000	500,000	500,000	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	500,000	500,000	500,000	N/A	
Actual Expenditures (All Funds)	308,830	421,566	472,214	N/A	
Unexpended (All Funds)	191,170	78,434	27,786	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	191,170	78,434	27,786	N/A	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

CORE RECONCILIATION DETAIL

DIFP

FINANCE FUND TRANSFER TO GR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	500,000	500,000	
	Total	0.00	0	0	500,000	500,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	500,000	500,000	
	Total	0.00	0	0	500,000	500,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	500,000	500,000	
	Total	0.00	0	0	500,000	500,000	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
FINANCE FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	472,214	0.00	500,000	0.00	500,000	0.00	0	0.00
TOTAL - TRF	472,214	0.00	500,000	0.00	500,000	0.00	0	0.00
GRAND TOTAL	\$472,214	0.00	\$500,000	0.00	\$500,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$472,214	0.00	\$500,000	0.00	\$500,000	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

Finance Fund Transfer to General Revenue

Program is found in the following core budget(s): Division of Finance Fund Transfer to General Revenue

1. What does this program do?

This transfer provides funds to general revenue funded state agencies (i.e., Attorney General and State Auditor) to pay for the costs of supportive services provided to the Division of Finance.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

361.170, RSMo.

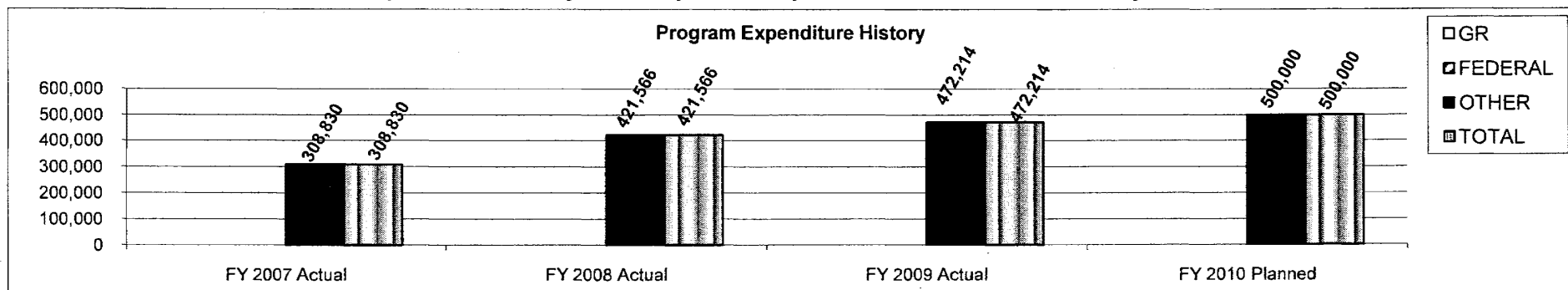
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Division of Finance Fund (0550)

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION								
CORE								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	2,865,182	86.76	3,131,878	81.50	3,156,838	82.50	0	0.00
TOTAL - PS	2,865,182	86.76	3,131,878	81.50	3,156,838	82.50	0	0.00
EXPENSE & EQUIPMENT								
PROFESSIONAL REGISTRATION FEES	872,476	0.00	1,146,907	0.00	1,144,552	0.00	0	0.00
TOTAL - EE	872,476	0.00	1,146,907	0.00	1,144,552	0.00	0	0.00
PROGRAM-SPECIFIC								
PROFESSIONAL REGISTRATION FEES	57,495	0.00	35,000	0.00	35,000	0.00	0	0.00
TOTAL - PD	57,495	0.00	35,000	0.00	35,000	0.00	0	0.00
TOTAL	3,795,153	86.76	4,313,785	81.50	4,336,390	82.50	0	0.00
Implementation of SB1 (2009) - 1375002								
PERSONAL SERVICES								
PROFESSIONAL REGISTRATION FEES	0	0.00	0	0.00	232,802	6.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	232,802	6.00	0	0.00
TOTAL	0	0.00	0	0.00	232,802	6.00	0	0.00
GRAND TOTAL	\$3,795,153	86.76	\$4,313,785	81.50	\$4,569,192	88.50	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42640C				
Professional Registration									
Core - Professional Registration Administration									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	3,156,838	3,156,838	PS	0	0	0	0
EE	0	0	1,144,552	1,144,552 E	EE	0	0	0	0
PSD	0	0	35,000	35,000 E	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	4,336,390	4,336,390	Total	0	0	0	0
FTE 0.00 0.00 82.50 82.50					FTE 0.00 0.00 0.00 0.00				
Est. Fringe	0	0	1,898,207	1,898,207	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				
Other Funds: Professional Registration Fees Fund (0689)					Other Funds:				
Notes: \$88,000 E in expense and equipment for testing services and \$35,000 E in PSD for refunds.					Notes:				
2. CORE DESCRIPTION									
<p>The core program request is necessary to ensure that the Division of Professional Registration Administration can continue to provide the accounting, budgeting, cash receiving, building maintenance and other various services to the boards and commissions which regulate professions within the State of Missouri. In addition, this core program request is necessary to ensure the continued high quality of service provided by boxers, wrestlers, martial arts, judges, referees, timekeepers, announcers, promoters, athlete agents, dietitians, endowed care cemeteries, geologists, hearing instrument specialists, interior designers, interpreters, marital and family therapists, massage therapists, occupational therapists, private investigators, professional counselors, psychologists, real estate appraisers, respiratory therapists, social workers, tattoo artists, branders and body piercers licensed in Missouri. Note: The core appropriation for Division/Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology and Barbers, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.</p>									
<p>Core Reallocation The FTE count and personal service appropriation for the Professional Registration Administration Core has increased due to a reallocation of one FTE and \$24,960 in personal service from the Missouri Board of Healing Arts Core. The reallocation is necessary to assist PR administration with the current workload of providing services to the various boards within this core. PR administration continues to see an increase in the number of phone calls, correspondence and license applications received. The Board of Healing Arts FTE was an Office Support Assistant whose duties have been distributed to other board staff.</p>									

CORE DECISION ITEM

Department of Insurance, Financial Institutions & Professional Registration Budget Unit 42640C

Professional Registration

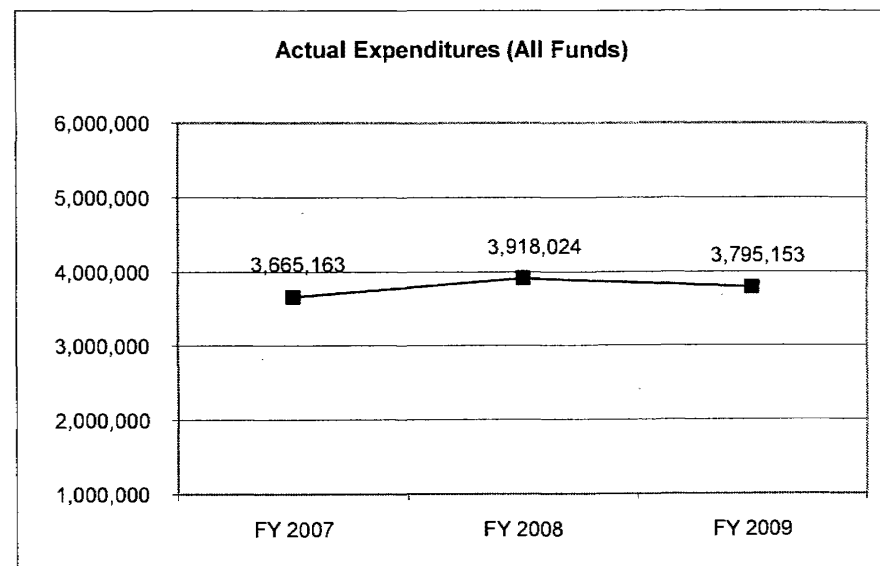
Core - Professional Registration Administration

3. PROGRAM LISTING (list programs included in this core funding)

Professional Registration Administration	Board of Geologist Registration	State Board of Podiatric Medicine (PS Only)
Office of Athletics	Board of Hearing Instrument Specialists	Committee for Professional Counselors
Office of Athlete Agents	Interior Design Council	State Committee of Psychologists
State Board of Chiropractic Examiners (PS Only)	State Committee of Interpreters	Missouri Real Estate Appraisers Commission
State Board of Cosmetology & Barbers (PS Only)	Committee for Marital & Family Therapists	Board for Respiratory Care
Committee for Dietitians	State Board of Therapeutic Massage	State Committee for Social Workers
State Board of Embalmers & Funeral Directors (PS Only)	Occupational Therapy	Office of Tattooing, Body Piercing & Branding
Endowed Care Cemeteries	State Board of Optometry (PS Only)	Board of Veterinary Medicine (PS Only)
Board of Private Investigator Examiners	Missouri Acupuncture Advisory Committee	

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	4,155,109	4,205,681	4,324,298	4,313,785
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	4,155,109	4,205,681	4,324,298	N/A
Actual Expenditures (All Funds)	3,665,163	3,918,024	3,795,153	N/A
Unexpended (All Funds)	489,946	287,657	529,145	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	489,946	287,657	529,145	N/A
	(1)	(2)	(3)	(4)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Original appropriation of \$88,000 E for testing services was increased by \$40,000 and original appropriation of \$35,000 E for refunds was increased by \$60,000. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Original appropriation of \$88,000 E for testing services was increased by \$40,000 and original appropriation of \$35,000 E for refunds was increased by \$60,000. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Original appropriation of \$88,000 E for testing services was increased by \$7,000 and original appropriation of \$35,000 E for refunds was increased by \$22,496. Unexpended amount is due to staff turnover and less than anticipated expenditures.

(4) Includes estimated appropriations of \$88,000 E for testing services and \$35,000 E for refunds.

CORE RECONCILIATION DETAIL

DIFP

PR ADMINISTRATION

5. CORE RECONCILIATION DETAIL

			Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES									
			PS	81.50	0	0	3,131,878	3,131,878	
			EE	0.00	0	0	1,146,907	1,146,907	
			PD	0.00	0	0	35,000	35,000	
			Total	81.50	0	0	4,313,785	4,313,785	
DEPARTMENT CORE ADJUSTMENTS									
1x Expenditures	1502	2207	EE	0.00	0	0	(2,355)	(2,355)	1X Expenditures - Embalmers Staff Request FY2010
Core Reallocation	543	1032	PS	1.00	0	0	24,960	24,960	FTE / PS approp reallocation from Healing Arts
NET DEPARTMENT CHANGES				1.00	0	0	22,605	22,605	
DEPARTMENT CORE REQUEST									
			PS	82.50	0	0	3,156,838	3,156,838	
			EE	0.00	0	0	1,144,552	1,144,552	
			PD	0.00	0	0	35,000	35,000	
			Total	82.50	0	0	4,336,390	4,336,390	
GOVERNOR'S RECOMMENDED CORE									
			PS	82.50	0	0	3,156,838	3,156,838	
			EE	0.00	0	0	1,144,552	1,144,552	
			PD	0.00	0	0	35,000	35,000	
			Total	82.50	0	0	4,336,390	4,336,390	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION								
CORE								
OFFICE SUPPORT ASST (CLERICAL)	0	0.00	23,793	1.00	23,796	1.00	0	0.00
SR OFC SUPPORT ASST (CLERICAL)	0	0.00	51,170	2.00	25,380	1.00	0	0.00
ADMIN OFFICE SUPPORT ASSISTANT	0	0.00	205,028	7.00	210,624	7.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	0	0.00	149,744	6.00	174,704	7.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	0	0.00	25,799	1.00	51,589	2.00	0	0.00
ACCOUNT CLERK II	0	0.00	49,862	2.00	50,340	2.00	0	0.00
ACCOUNTANT II	0	0.00	37,973	1.00	37,973	1.00	0	0.00
BUDGET ANAL II	0	0.00	36,112	1.00	36,612	1.00	0	0.00
BUDGET ANAL III	0	0.00	54,363	1.00	54,363	1.00	0	0.00
PERSONNEL OFCR I	0	0.00	53,291	1.00	53,292	1.00	0	0.00
EXECUTIVE I	0	0.00	109,003	3.00	109,003	3.00	0	0.00
EXECUTIVE II	0	0.00	36,608	1.00	36,612	1.00	0	0.00
PERSONNEL CLERK	0	0.00	29,167	1.00	29,167	1.00	0	0.00
INVESTIGATOR II	0	0.00	149,856	3.50	149,856	3.50	0	0.00
INVESTIGATOR III	0	0.00	47,180	1.00	47,180	1.00	0	0.00
GRAPHIC ARTS SPEC I	0	0.00	26,523	1.00	26,523	1.00	0	0.00
COMBATIVE SPORTS ADMINISTRATOR	0	0.00	52,200	1.00	52,200	1.00	0	0.00
INSPECTOR (PROF REGISTRATION)	0	0.00	317,000	11.00	317,000	11.00	0	0.00
INSP SUPV (PROF REGISTRATION)	0	0.00	31,172	1.00	31,176	1.00	0	0.00
FUNERAL ESTABLISHMENT INSP	0	0.00	40,937	1.00	40,968	1.00	0	0.00
PROF REG LIC TECH I	0	0.00	193,676	7.00	193,676	7.00	0	0.00
PROF REG LIC TECH II	0	0.00	316,933	11.50	316,933	11.50	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	0	0.00	54,363	1.00	54,363	1.00	0	0.00
DIVISION DIRECTOR	0	0.00	88,861	1.00	94,760	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	0	0.00	117,365	3.00	150,000	3.00	0	0.00
LEGAL COUNSEL	0	0.00	119,478	2.00	119,478	2.00	0	0.00
BOARD MEMBER	0	0.00	144,568	0.00	144,568	0.00	0	0.00
STUDENT WORKER	0	0.00	6,620	0.00	6,620	0.00	0	0.00
CLERK	0	0.00	14,685	0.00	14,685	0.00	0	0.00
INSPECTOR	0	0.00	62,830	0.00	62,830	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	0	0.00	485,718	8.50	440,567	8.50	0	0.00
TOTAL - PS	0	0.00	3,131,878	81.50	3,156,838	82.50	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION								
CORE								
TRAVEL, IN-STATE	87,403	0.00	107,040	0.00	124,300	0.00	0	0.00
TRAVEL, OUT-OF-STATE	54,880	0.00	101,900	0.00	94,900	0.00	0	0.00
FUEL & UTILITIES	0	0.00	1	0.00	1	0.00	0	0.00
SUPPLIES	134,059	0.00	185,909	0.00	175,840	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	121,756	0.00	122,362	0.00	107,662	0.00	0	0.00
COMMUNICATION SERV & SUPP	48,381	0.00	51,517	0.00	52,767	0.00	0	0.00
PROFESSIONAL SERVICES	299,967	0.00	373,148	0.00	406,043	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	50	0.00	0	0.00	0	0.00
M&R SERVICES	18,552	0.00	36,475	0.00	30,475	0.00	0	0.00
MOTORIZED EQUIPMENT	31,558	0.00	34,000	0.00	34,000	0.00	0	0.00
OFFICE EQUIPMENT	17,000	0.00	39,730	0.00	32,670	0.00	0	0.00
OTHER EQUIPMENT	10,974	0.00	1,250	0.00	50	0.00	0	0.00
PROPERTY & IMPROVEMENTS	2,549	0.00	25,050	0.00	25,050	0.00	0	0.00
BUILDING LEASE PAYMENTS	14,742	0.00	20,350	0.00	17,250	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	2,288	0.00	8,700	0.00	3,819	0.00	0	0.00
MISCELLANEOUS EXPENSES	28,367	0.00	39,425	0.00	39,725	0.00	0	0.00
TOTAL - EE	872,476	0.00	1,146,907	0.00	1,144,552	0.00	0	0.00
REFUNDS	57,495	0.00	35,000	0.00	35,000	0.00	0	0.00
TOTAL - PD	57,495	0.00	35,000	0.00	35,000	0.00	0	0.00
GRAND TOTAL	\$929,971	0.00	\$4,313,785	81.50	\$4,336,390	82.50	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$929,971	0.00	\$4,313,785	81.50	\$4,336,390	82.50		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Professional Registration Administration

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

Professional Registration Administration provides the accounting, budgeting, cash receiving, building maintenance and other various services to the agencies which regulate professions within the State of Missouri.

Note: The core appropriation for Professional Registration Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology & Barber, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

620.105-620.154 RSMo

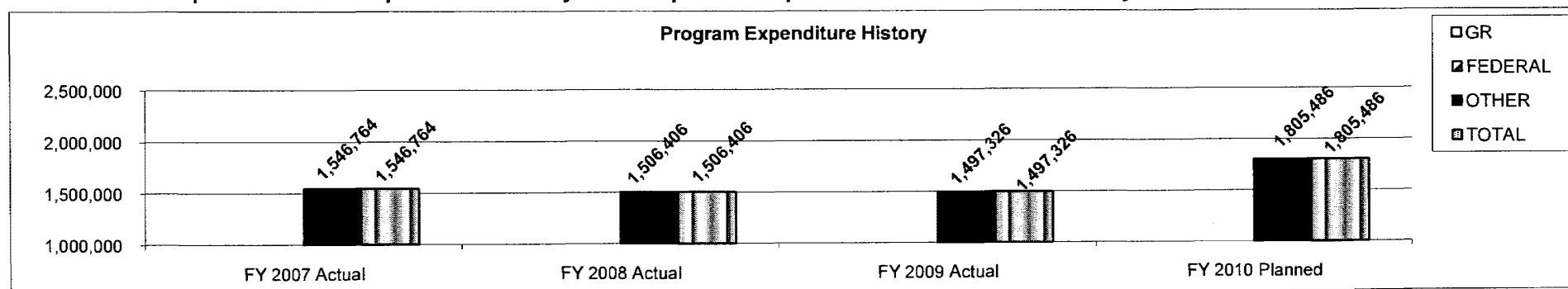
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Professional Registration Fee Fund (0689)

PROGRAM DESCRIPTION

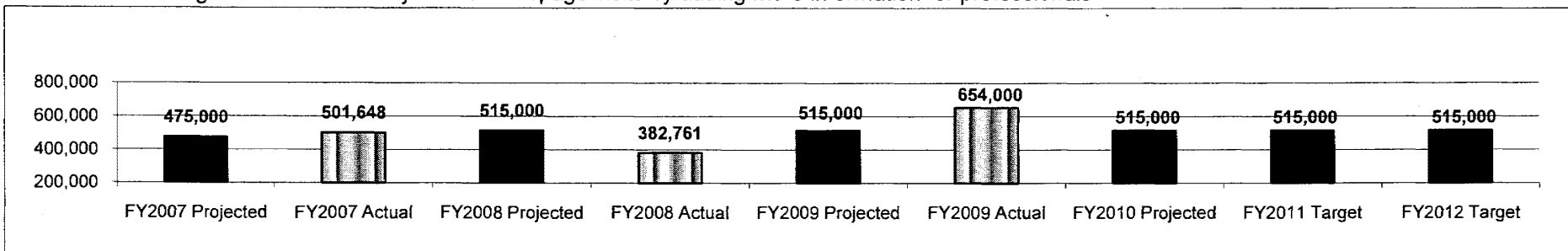
Department of Insurance, Financial Institutions and Professional Registration

Professional Registration Administration

Program is found in the following core budget(s): Professional Registration Administration

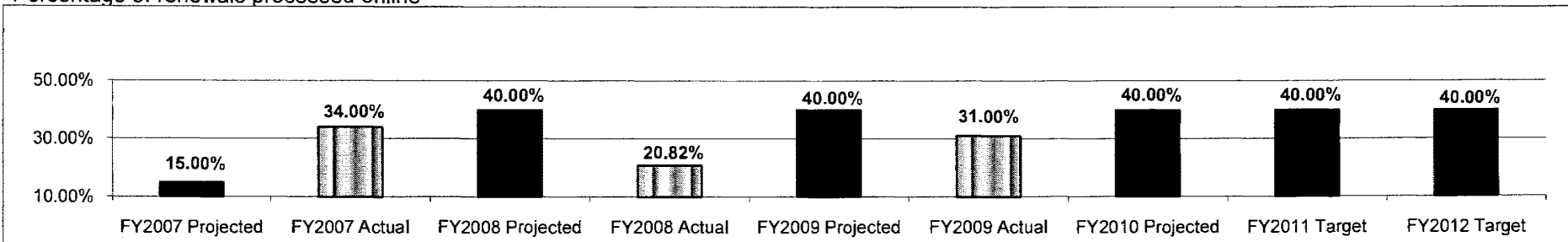
7a. Provide an effectiveness measure.

Increase the average number of monthly division webpage visits by adding more information for professionals



7b. Provide an efficiency measure.

Percentage of renewals processed online



7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Licensed Professionals	420,000	395,567	434,682	402,097	402,097	415,057	402,097	402,097	402,097
Board Members	226	226	226	226	226	233	233	233	233
Division Employees	213	212.5	212.5	212.5	212.5	217.0	218.0	224.5	224.5
Renewals Processed	208,176	202,557	197,670	189,982	197,670	219,986	200,000	200,000	200,000

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Acupuncturist Advisory Committee

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Acupuncturist Advisory Committee was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating acupuncturists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.475-324.635, RSMo

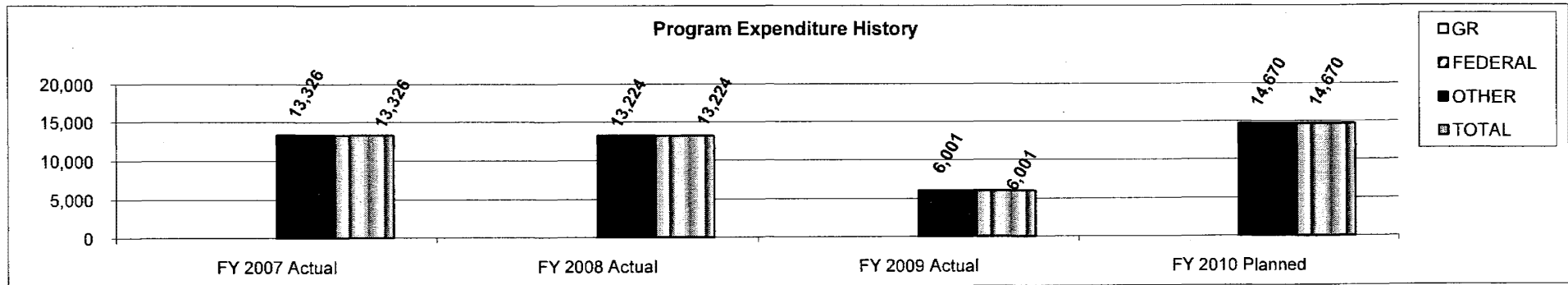
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

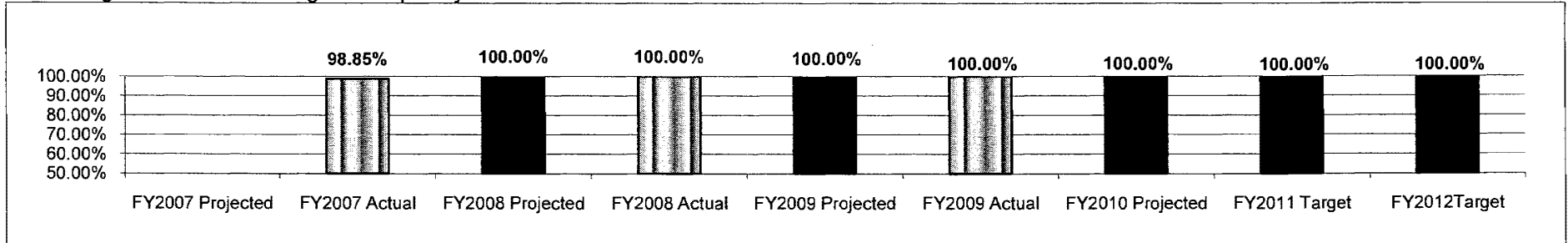
Acupuncturist Fund (882)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Acupuncturist Advisory Committee
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



*The reporting for this measure began at the end of FY07.

Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received		12	10	11	9	13	13	5	5
Licensed Professionals		87	94	83	76	96	96	96	96

*The reporting for this measure began at the end of FY08.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Office of Athlete Agents

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Uniform Athlete Agents Act was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating athlete agents in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

436.218-436.272 RSMo

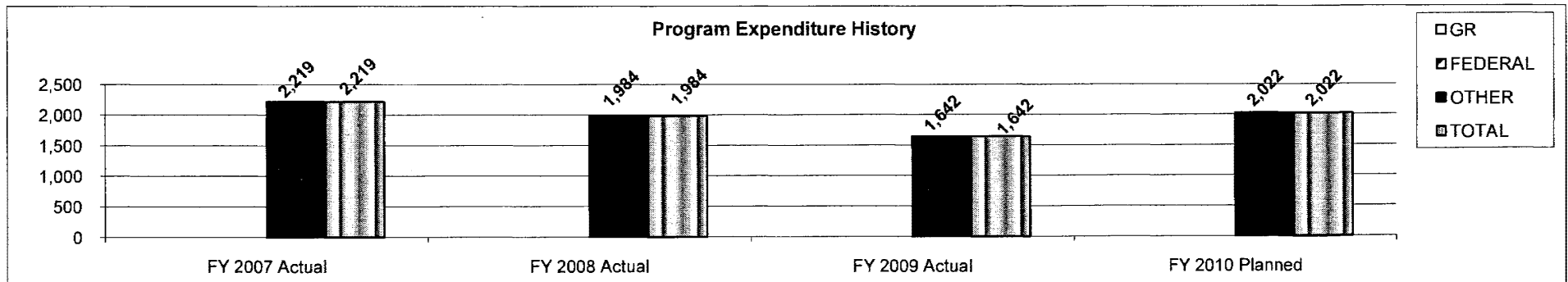
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Athlete Agent Fund (0774)

PROGRAM DESCRIPTION

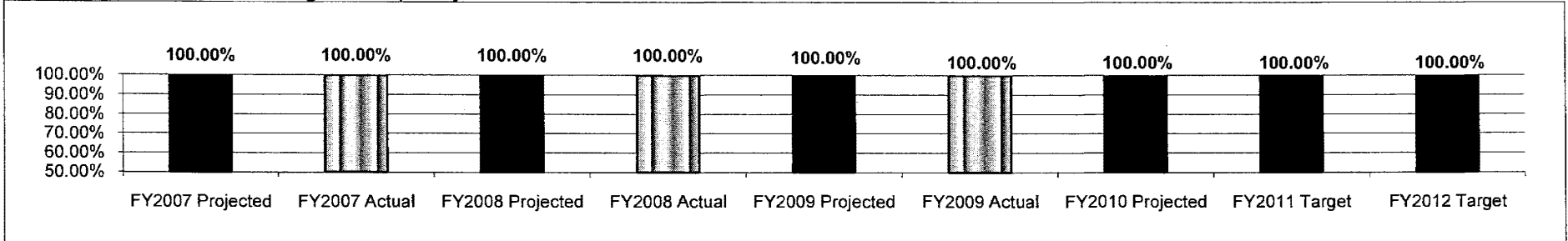
Department of Insurance, Financial Institutions and Professional Registration

Office of Athlete Agents

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	5	8	8	16	16	22	44	44	44
Licensed Professionals	34	37	39	43	46	64	65	65	65

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Athletics was established to protect the interests of the citizens of the State of Missouri by licensing and regulating contestants, seconds, promoters, referees, judges, announcers, timekeepers and physicians involved in professional boxing, professional wrestling, professional kickboxing or professional full-contact karate contests in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

317.001-317.021 RSMo

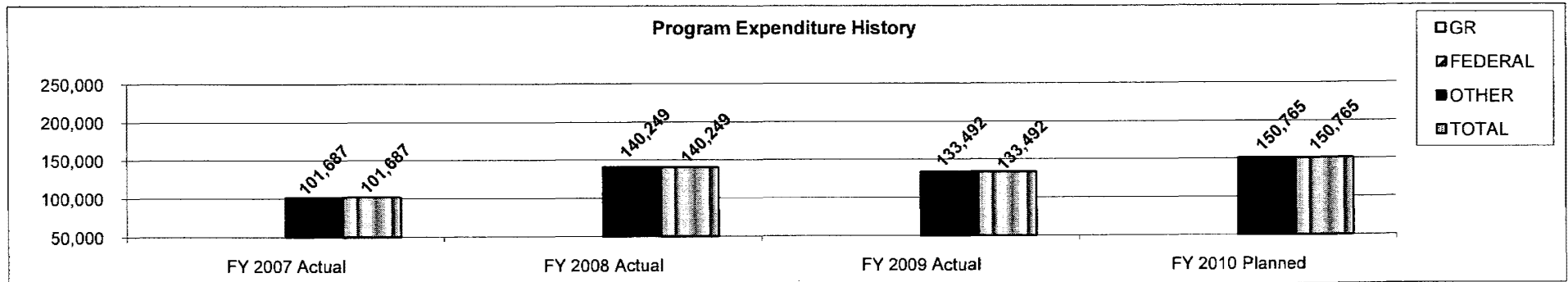
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Office of Athletics (0693)

PROGRAM DESCRIPTION

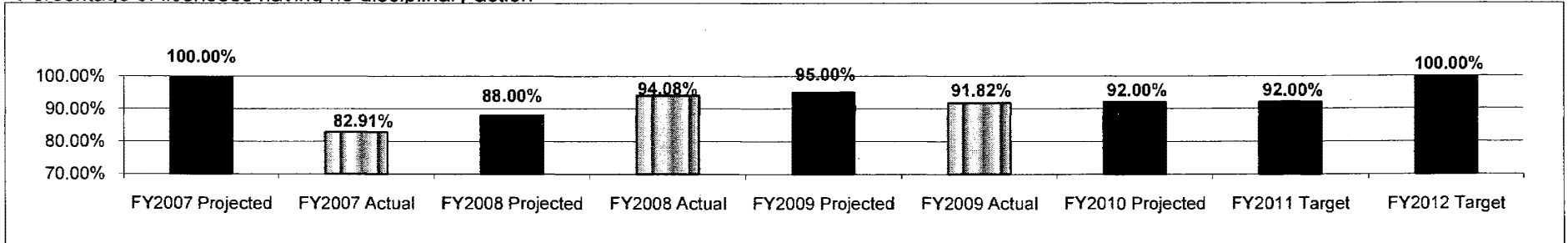
Department of Insurance, Financial Institutions and Professional Registration

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	500	475	912	804	1,006	659	600	600	600
Licensed Professionals	1,300	1,135	1,572	2,027	1,006	1,552	1,174	1,174	1,174

Note: FY08 and FY09 actual licensee counts are reflective of the renewal period ending on June 30, 2009. Typically athletic contestants do not renew their license until they actually participate in an event.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

	Chiropractic	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	149,567	70,618	220,185
TOTAL	149,567	70,618	220,185

1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.010-331.100 RSMo

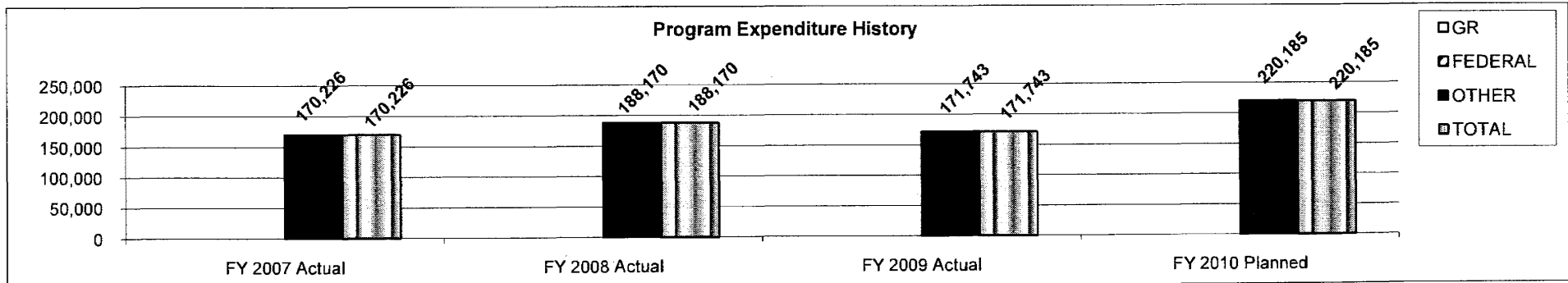
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

State Board of Chiropractic Examiners Fund (0630)

PROGRAM DESCRIPTION

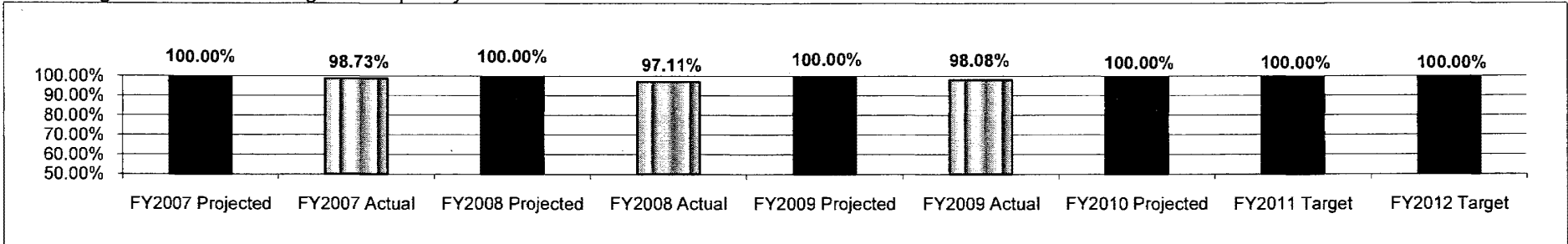
Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	145	126	130	142	130	127	157	157	157
Licensed Professionals	2,287	2,053	2,070	2,217	1,880	2,087	2,068	2,095	2,070

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

	Cosmetology Barber	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	292,273	661,083	953,356
TOTAL	292,273	661,083	953,356

1. What does this program do?

Senate Bill 280 (93rd General Assembly) merged the Board of Cosmetology and the Board of Barber Examiners into the new Board of Cosmetology and Barber Examiners to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

328.010-328.160, 329.010-329.265 RSMo

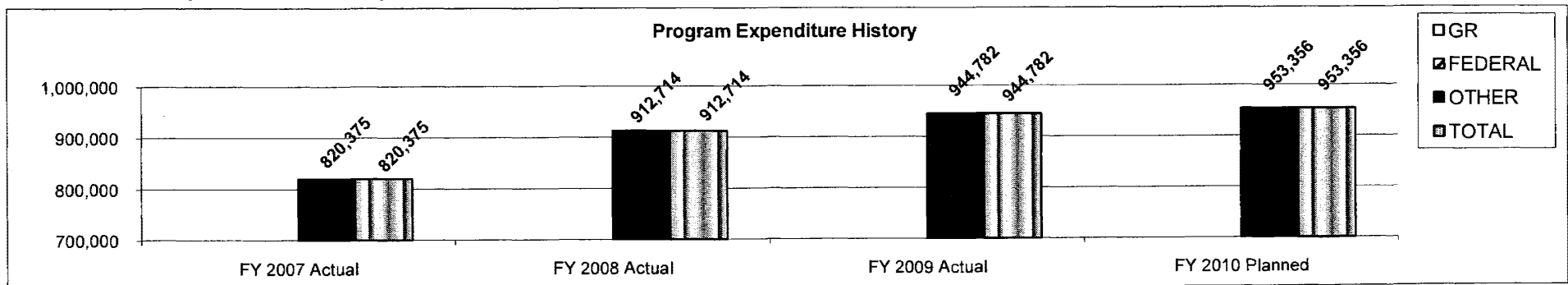
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

PROGRAM DESCRIPTION

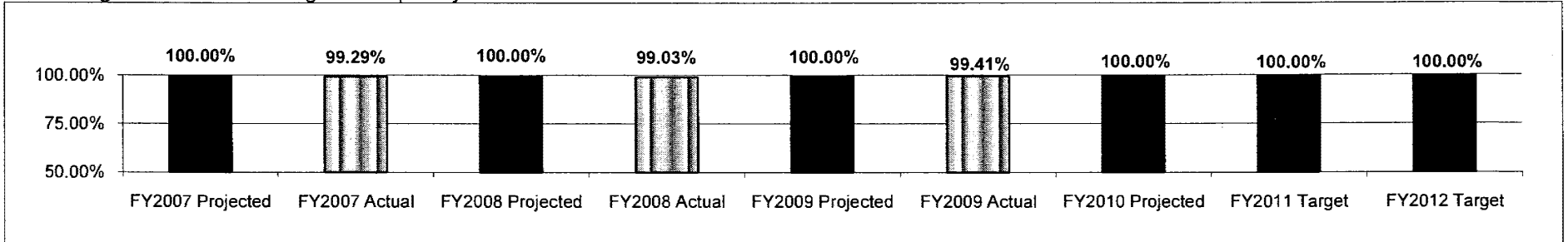
Department of Insurance, Financial Institutions and Professional Registration

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	9,972	8,993	9,662	9,848	10,833	10,074	10,603	10,928	10,928
Licensed Professionals	72,456	81,776	81,776	75,921	77,862	80,504	80,100	80,200	80,200

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Committee for Dietitians

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Dietitians was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating dietitians in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.200-324.228 RSMo

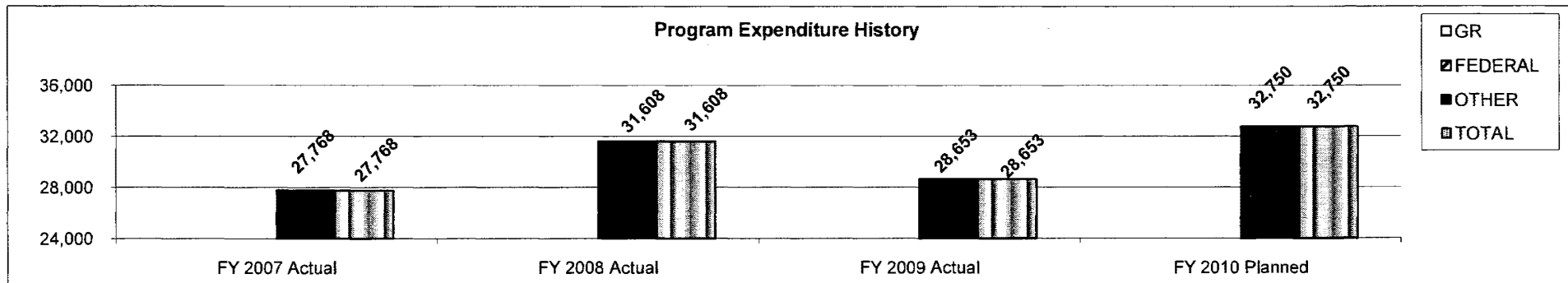
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Dietitian Fund (0857)

PROGRAM DESCRIPTION

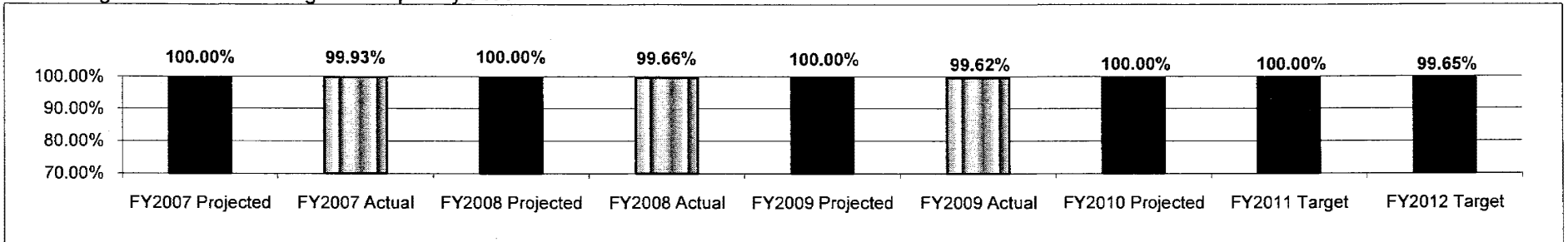
Department of Insurance, Financial Institutions and Professional Registration

Committee for Dietitians

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	100	142	100	132	122	110	120	125	130
Licensed Professionals	1,400	1,494	1,485	1,457	1,472	1,599	1,588	1,650	1,725

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

	Emb & FDs	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	145,393	190,514	335,907
TOTAL	145,393	190,514	335,907

1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the State of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers and preneed providers in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.011-331.261 RSMo

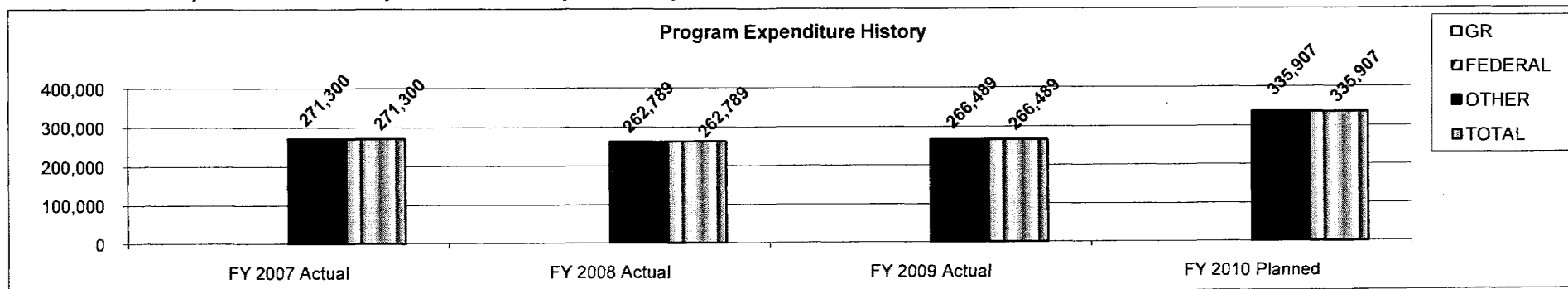
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

PROGRAM DESCRIPTION

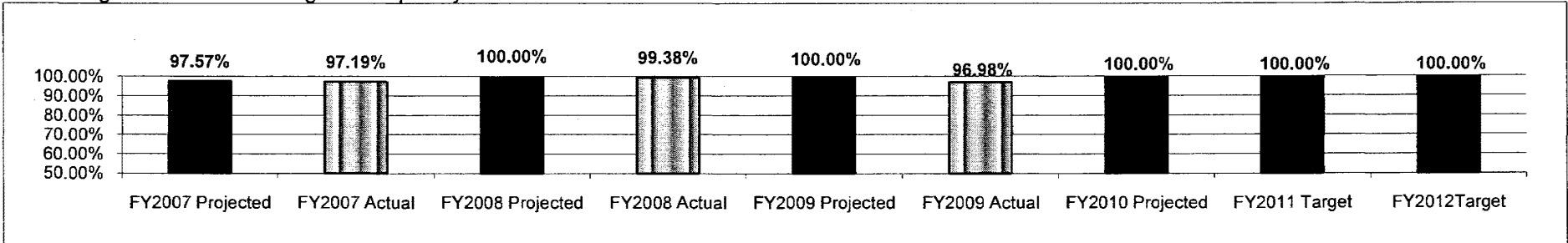
Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	304	297	327	297	319	241	319	571	571
Licensed Professionals	5,100	5,235	5,100	5,250	6,183	5,137	6,183	6,183	6,183

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Office of Endowed Care Cemeteries

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Endowed Care Cemeteries was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating endowed care cemeteries in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

214.270-214.516 RSMo

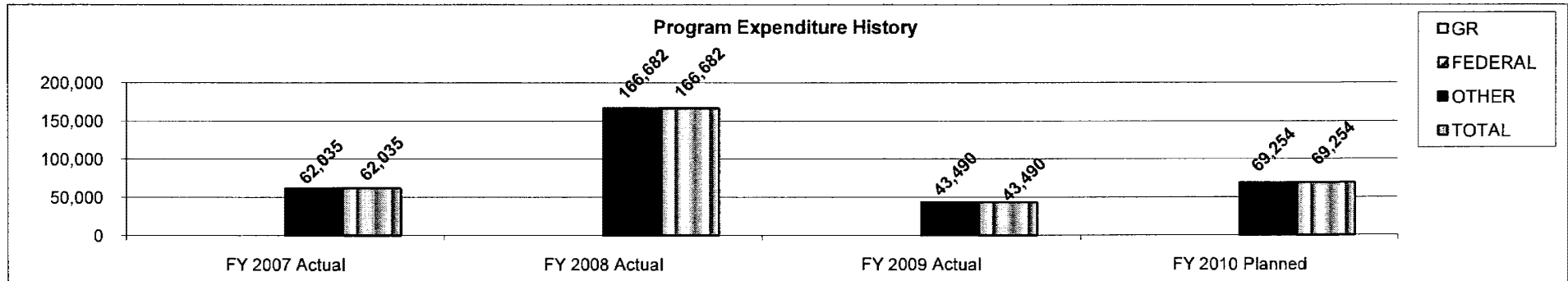
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Endowed Care Cemetery Audit Fund (0562)

PROGRAM DESCRIPTION

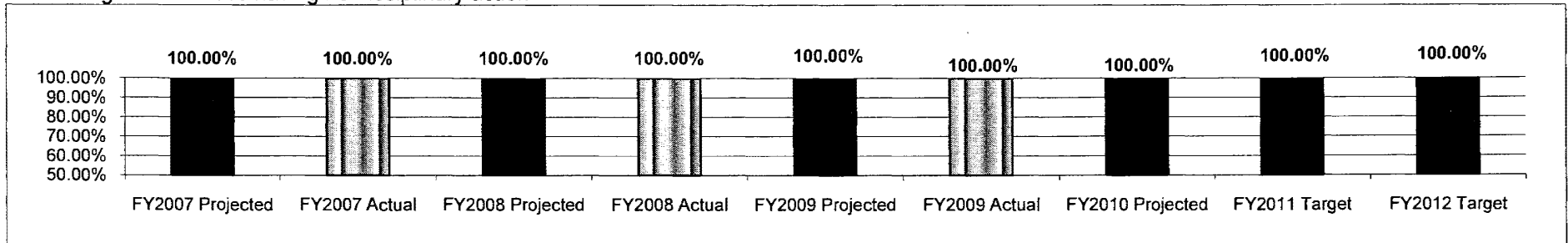
Department of Insurance, Financial Institutions and Professional Registration

Office of Endowed Care Cemeteries

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

Note: Due to the increased number of audits being conducted annually, beginning FY10, the division's anticipates the number of licensees having no disciplinary action will decrease.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	2	2	2	12	12	5	5	5	5
Licensed Professionals	148	144	150	146	150	142	154	154	154

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Board of Geologist Registration

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board of Geologists Registration was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating geologists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

256.010-256.453 RSMo

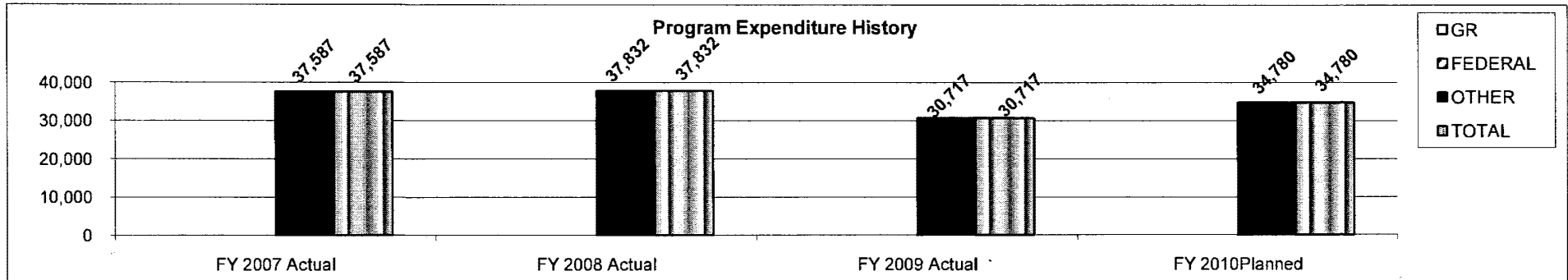
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

The Board of Geologists Registration Fund (0263)

PROGRAM DESCRIPTION

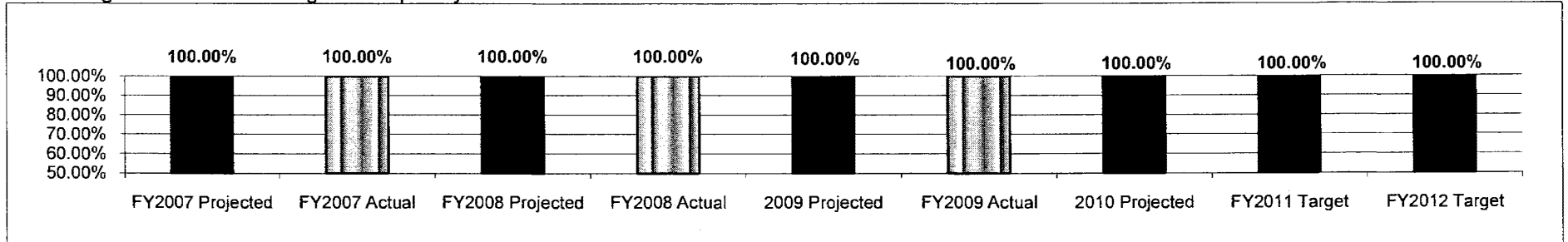
Department of Insurance, Financial Institutions and Professional Registration

Board of Geologist Registration

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	30	45	45	29	30	37	30	30	30
Licensed Professionals	800	847	879	843	840	874	870	880	890

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Hearing Instrument Specialists
Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Examiners for Hearing Instrument Specialists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating hearing instrument specialists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

346.007-345.250 RSMo

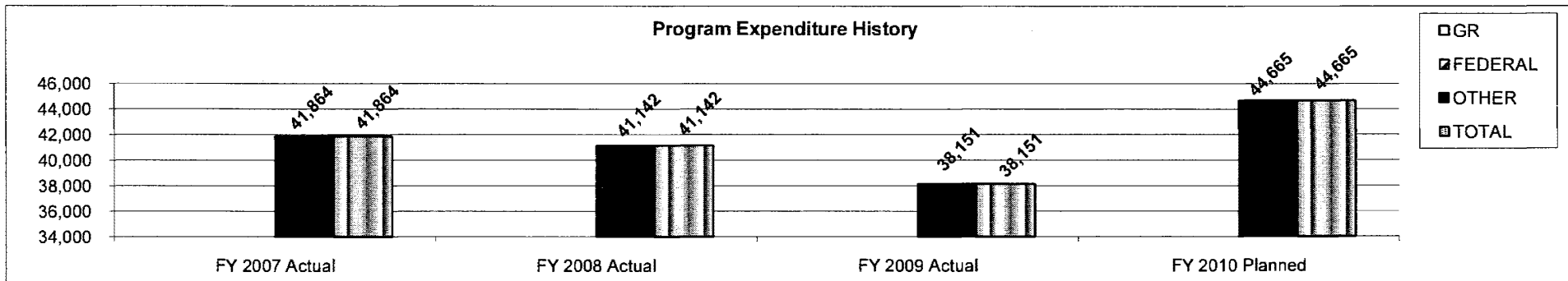
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

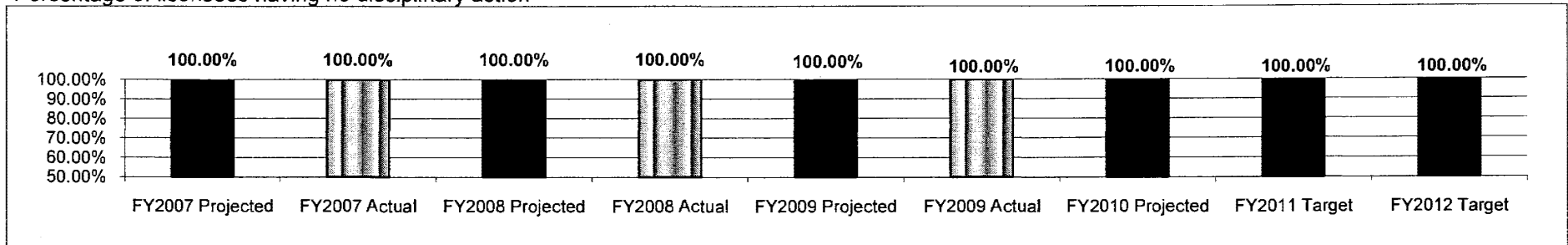
Hearing Instrument Specialists Fund (0247)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Hearing Instrument Specialists
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	75	86	75	98	48	53	40	40	40
Licensed Professionals	472	504	445	268	270	282	286	286	286

NOTE: The decrease in licensees in FY08 was due to HB780 and SB380 (2007) which removed dual licensure requirements for audiologists.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Interior Design Council

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Interior Design Council was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interior designers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.400-324.439 RSMo

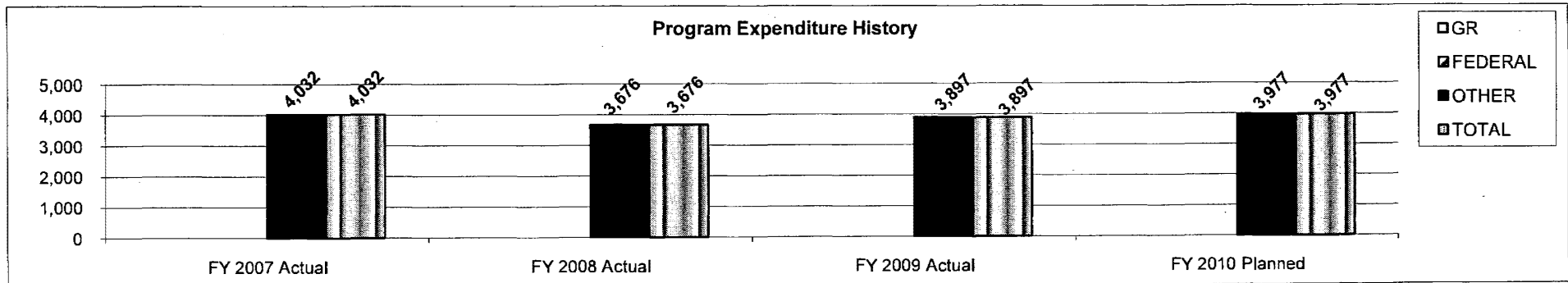
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Interior Design Council (0877)

PROGRAM DESCRIPTION

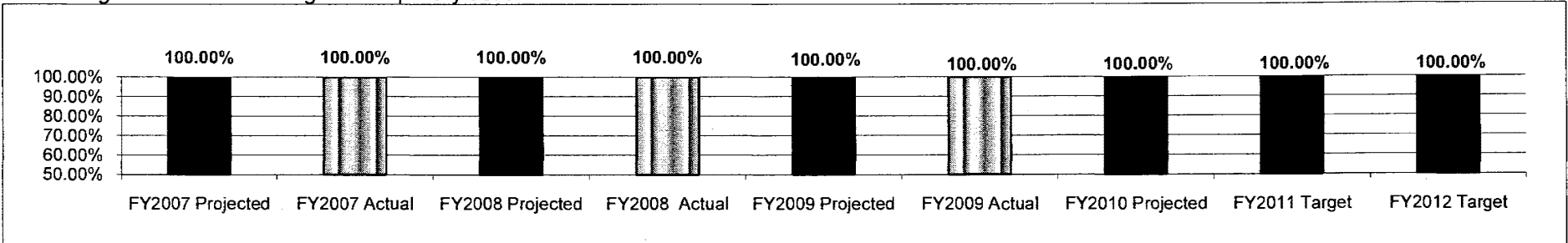
Department of Insurance, Financial Institutions and Professional Registration

Interior Design Council

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	15	7	10	11	9	6	8	8	8
Licensed Professionals	110	88	108	102	104	98	110	115	120

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Committee of Interpreters

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Interpreters was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interpreters in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

209.319-209.339 RSMo

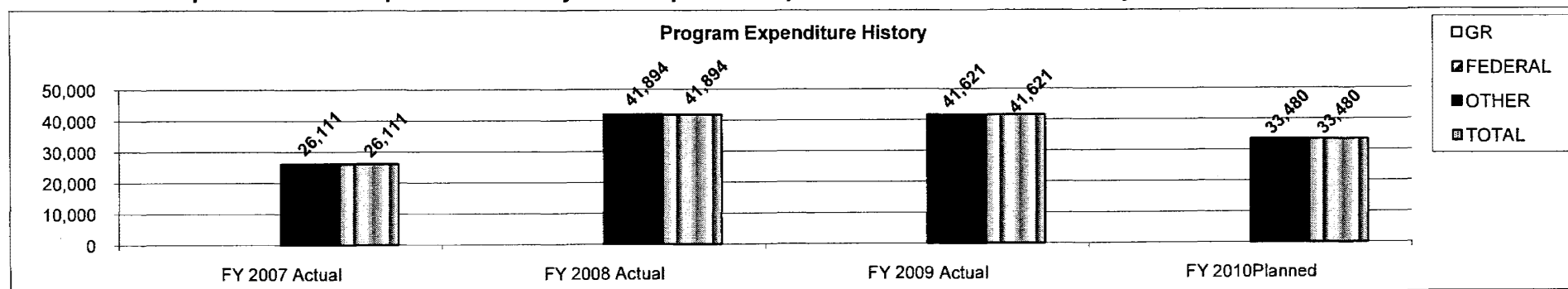
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Committee of Interpreters Fund (0256)

PROGRAM DESCRIPTION

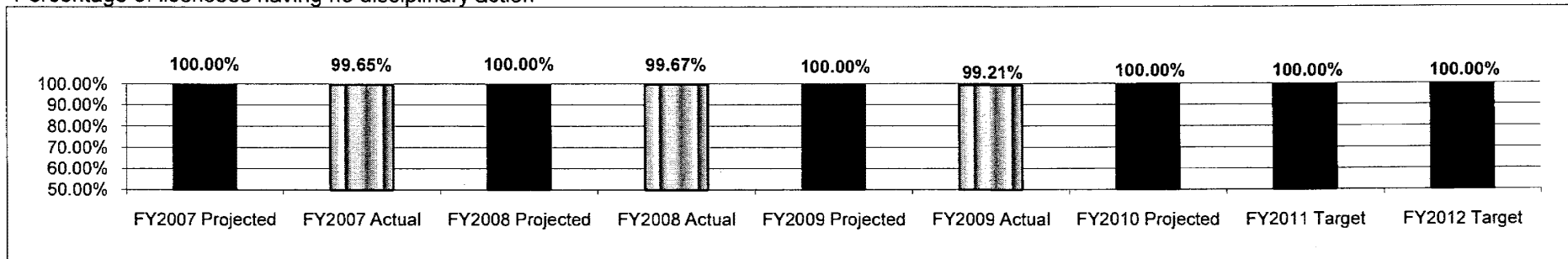
Department of Insurance, Financial Institutions and Professional Registration

State Committee of Interpreters

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	85	75	80	91	95	64	75	75	75
Licensed Professionals	560	597	625	604	618	632	600	600	600

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Committee for Marital & Family Therapists

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee for Marital and Family Therapists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating marital and family therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

337.700-337.750 RSMo

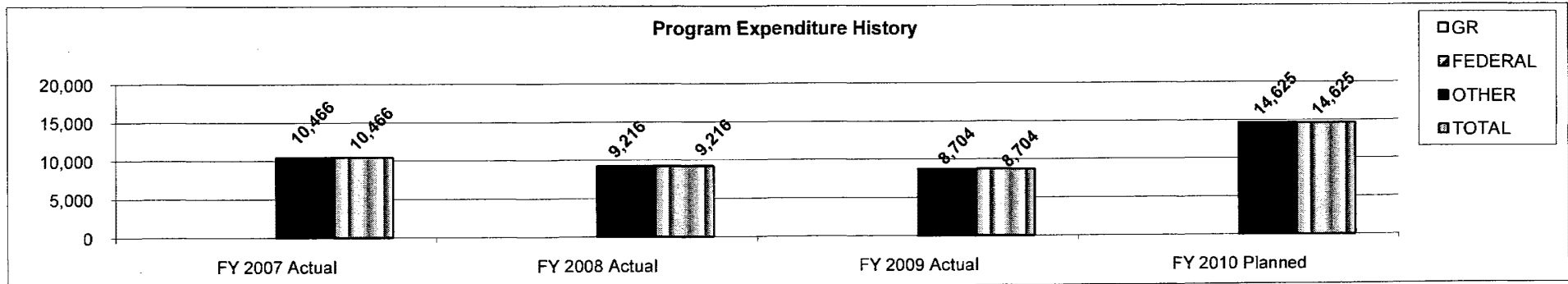
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

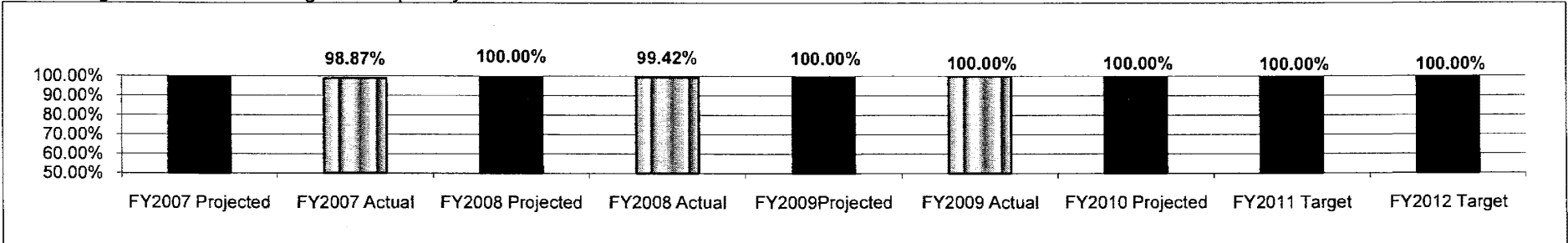
Marital and Family Therapists Fund (0820)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee for Marital & Family Therapists
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	25	30	12	27	15	25	10	10	10
Licensed Professionals	160	177	172	173	153	188	192	195	195

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Board of Occupational Therapy

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board of Occupational Therapy was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating occupational therapists and occupational therapy assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.050-324.089 RSMo

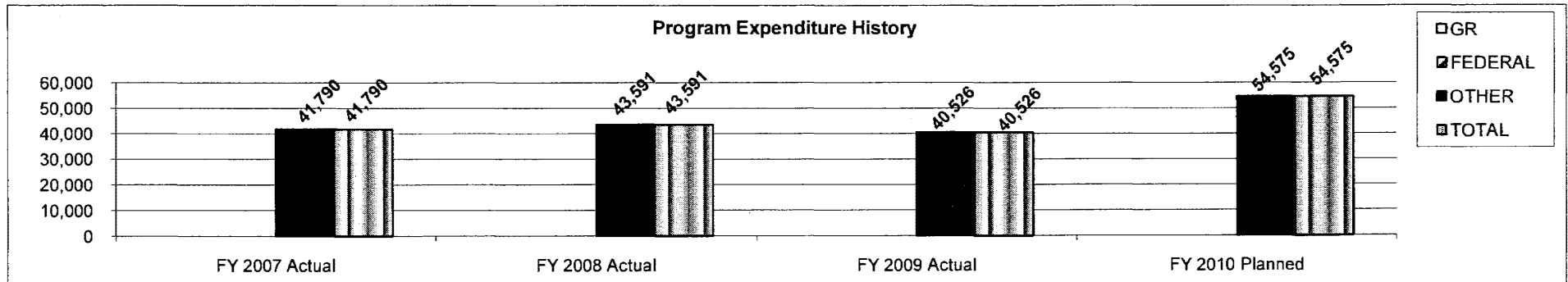
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Missouri Board of Occupational Therapy Fund (0845)

PROGRAM DESCRIPTION

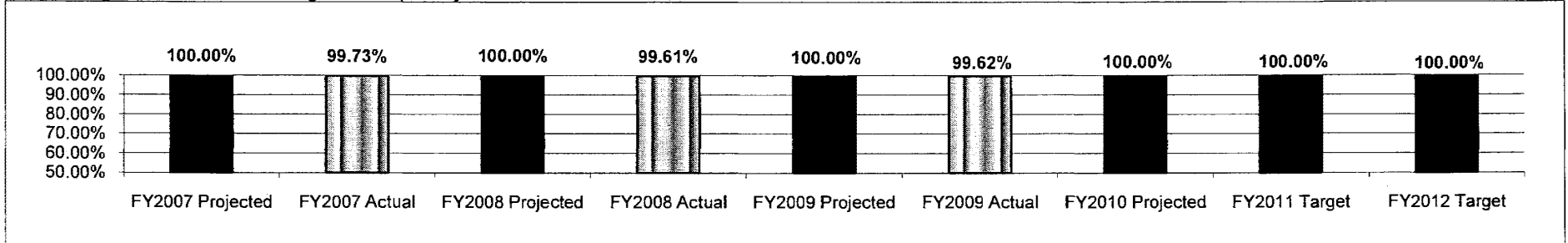
Department of Insurance, Financial Institutions and Professional Registration

Board of Occupational Therapy

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	365	329	335	394	392	419	392	392	392
Licensed Professionals	3,500	3,685	3,800	3,559	3,700	3,920	3,450	3,700	3,550

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

	Optometry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	42,043	55,562	97,605
TOTAL	42,043	55,562	97,605

1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

336.010-336.225 RSMo

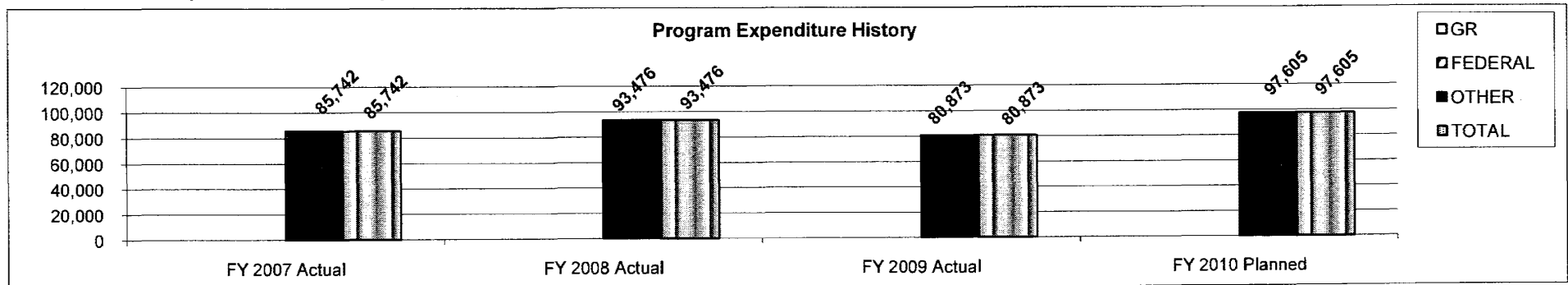
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

PROGRAM DESCRIPTION

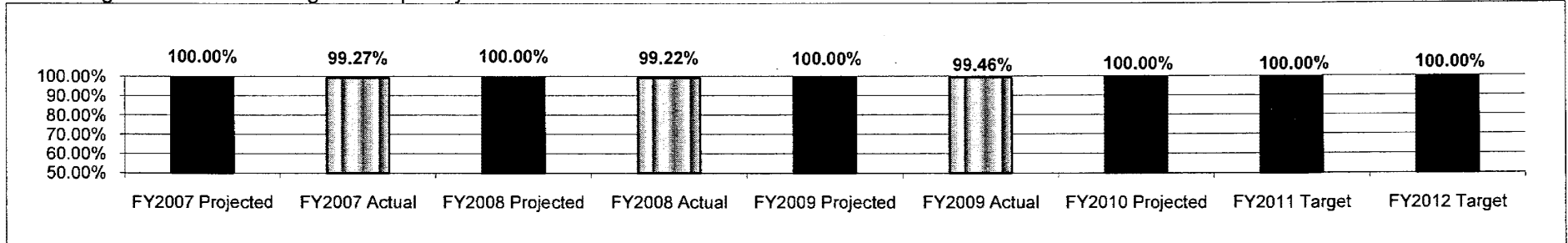
Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	70	56	68	139	66	143	51	50	50
Licensed Professionals	1,232	1,225	1,300	1,287	1,311	1,287	1,271	1,311	1,352

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	Podiatry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	20,669	26,920	47,589
TOTAL	20,669	26,920	47,589

1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

330.010-330.210 RSMo

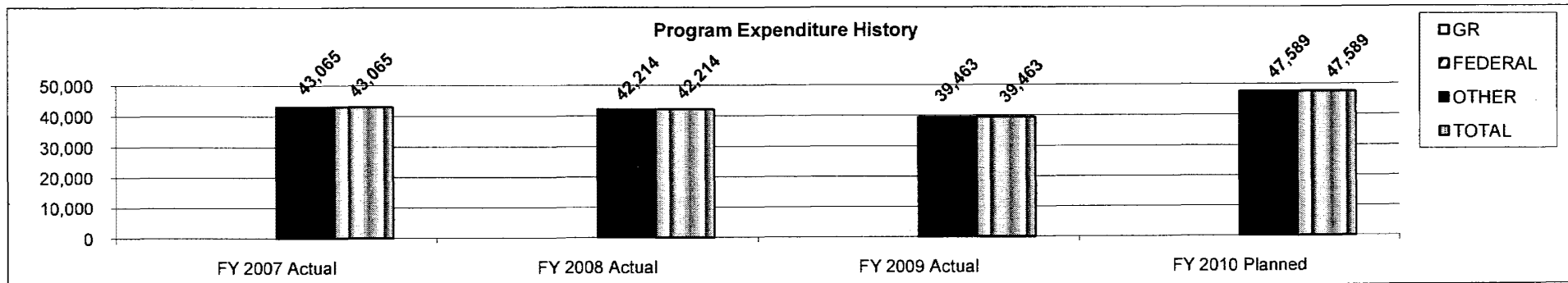
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

State Board of Podiatric Medicine (0629)

PROGRAM DESCRIPTION

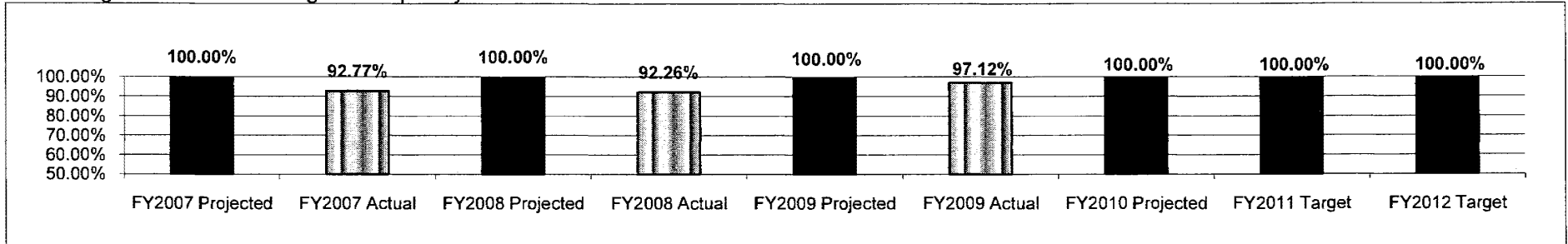
Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	28	26	21	17	19	28	21	21	21
Licensed Professionals	300	318	300	323	315	313	338	338	338

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Board of Private Investigator Examiners

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Private Investigator Examiners was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating private investigators and private investigator businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.1100-324.1148 RSMo

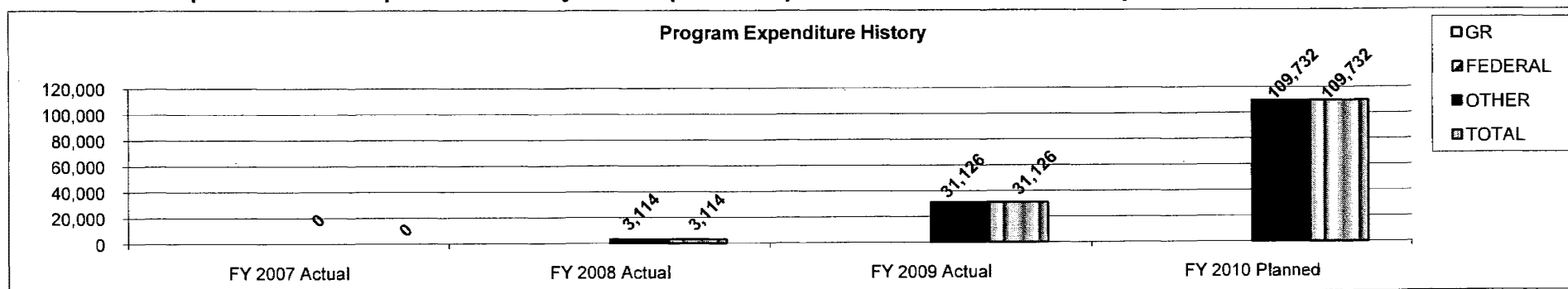
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

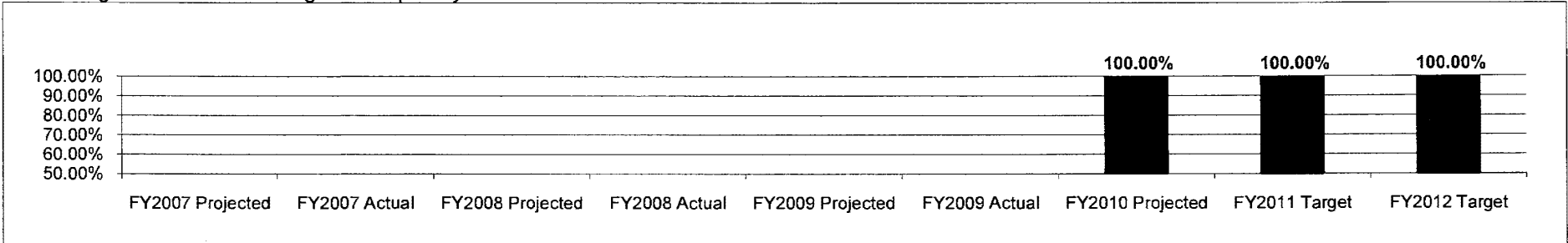
Board of Private Investigator Examiners Fund (0802)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Board of Private Investigator Examiners
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



*Licensure will begin in FY10.

Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	0	0	0	0	0	0	400	40	40
Licensed Professionals	0	0	0	0	0	0	400	440	420

NOTE: The board was established by HB780 and SB308 (2007) and licensure is anticipated to begin in FY10.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Committee for Professional Counselors

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Committee for Professional Counselors was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating professional counselors in the State of Missouri

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

337.050-337.540 RSMo

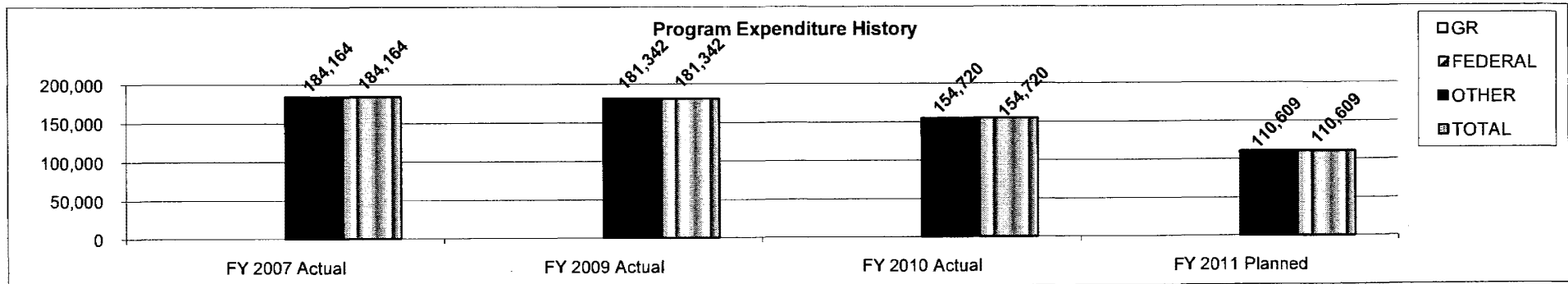
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

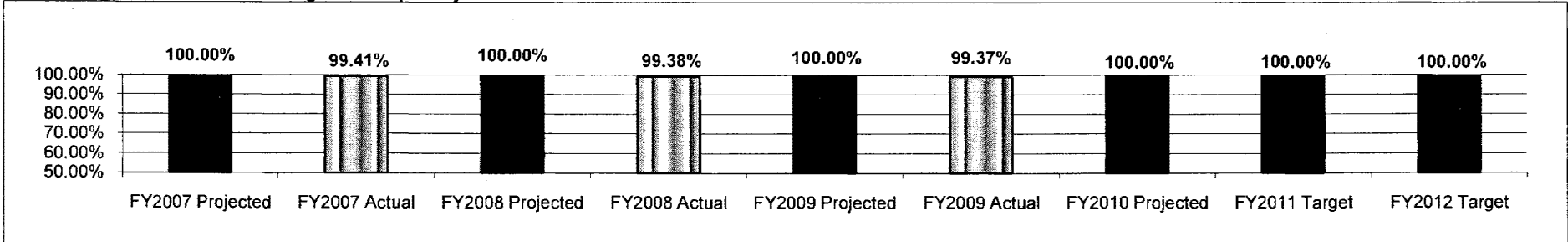
Committee for Professional Counselors Fund (0672)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Committee for Professional Counselors
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	641	300	300	614	295	582	700	650	650
Licensed Professionals	3,873	3,890	3,890	4,176	3,200	4,435	3,480	3,495	3,260

Note: Beginning in FY2007 the methodology for calculating the number of applications received was changed. Beginning In FY2007 the above number reflects only applications for permanent licenses.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Committee of Psychologists

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee of Psychologists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating psychologists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

337.010-337.093

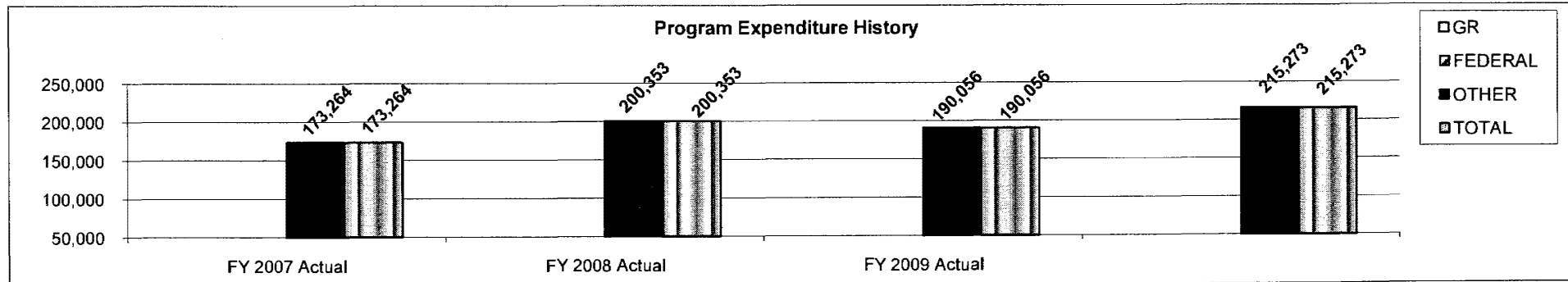
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Committee of Psychologists' Fund (0580)

PROGRAM DESCRIPTION

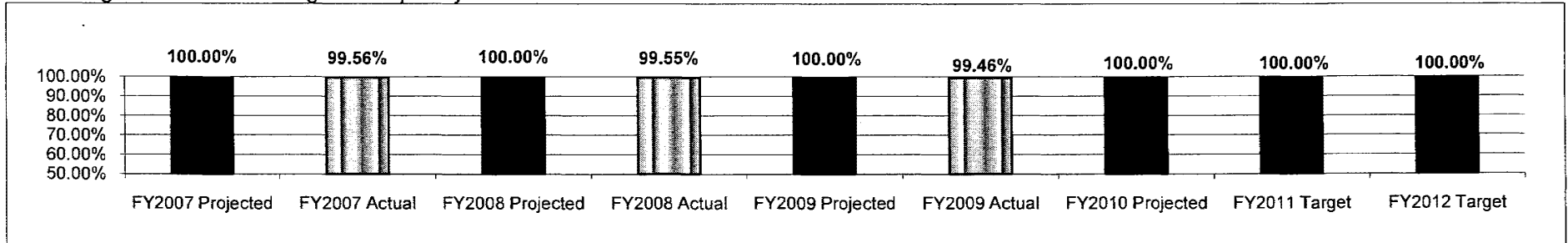
Department of Insurance, Financial Institutions and Professional Registration

State Committee of Psychologists

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	90	82	85	88	85	85	94	90	90
Licensed Professionals	1,800	1,805	1,800	1,760	1,710	2,041	1,780	1,780	1,780

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Real Estate Appraisers Commission

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Real Estate Appraisers Commission was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate appraisers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

339.500-339.549 RSMo

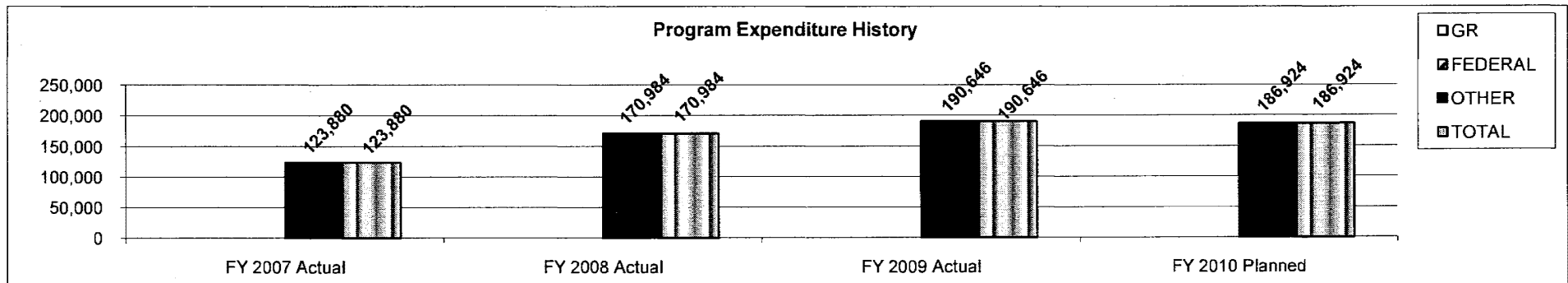
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

Yes. Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 requires all real estate appraisers to be certified to perform appraisals for federal transactions.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

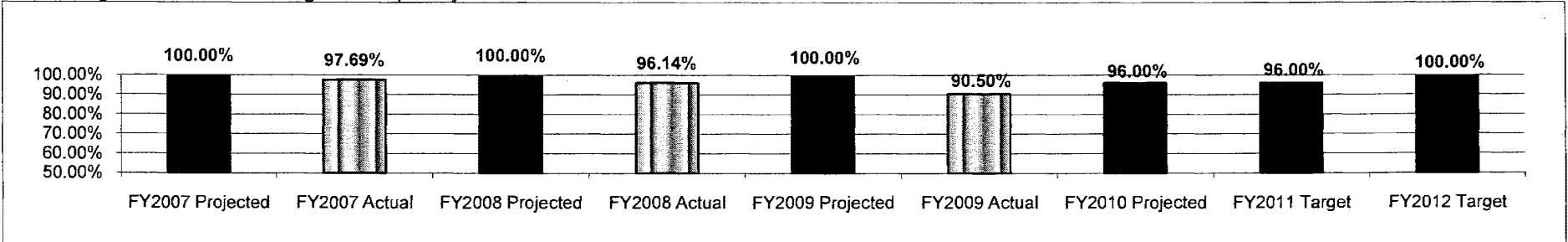
Missouri Real Estate Appraisers Fund (0561)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Real Estate Appraisers Commission
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	600	625	550	416	180	229	286	286	286
Licensed Professionals	2,816	2,624	2,800	2,717	2,700	2,704	2,800	2,900	3,000

NOTE: New education requirements went into effect on January 1, 2008 causing a decrease in application in FY09.

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Board for Respiratory Care

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Missouri Board for Respiratory Care was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating respiratory therapists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

334.800-334.930 RSMo

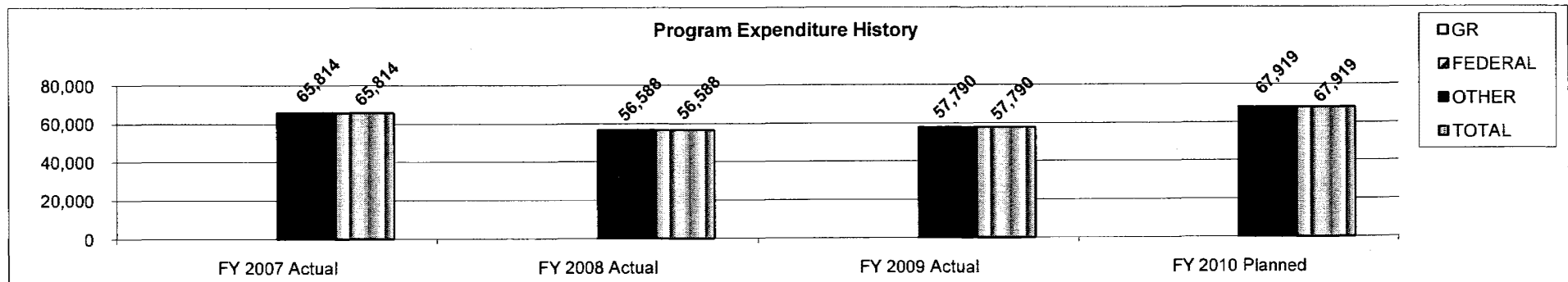
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Respiratory Care Practitioners Fund (0833)

PROGRAM DESCRIPTION

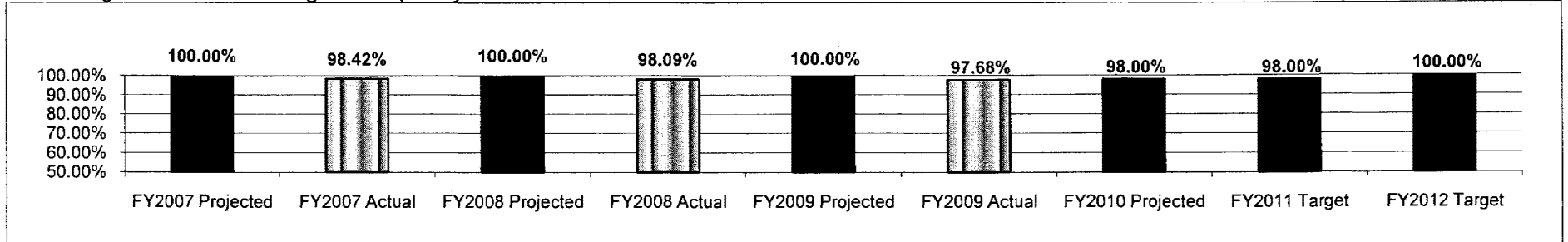
Department of Insurance, Financial Institutions and Professional Registration

Board for Respiratory Care

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	430	445	430	424	430	439	403	403	403
Licensed Professionals	3,800	3,725	3,900	3,989	3,500	4,103	3,650	3,900	3,750

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Committee for Social Workers

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The State Committee for Social Workers was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating social workers in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

337.600-337.689 RSMo

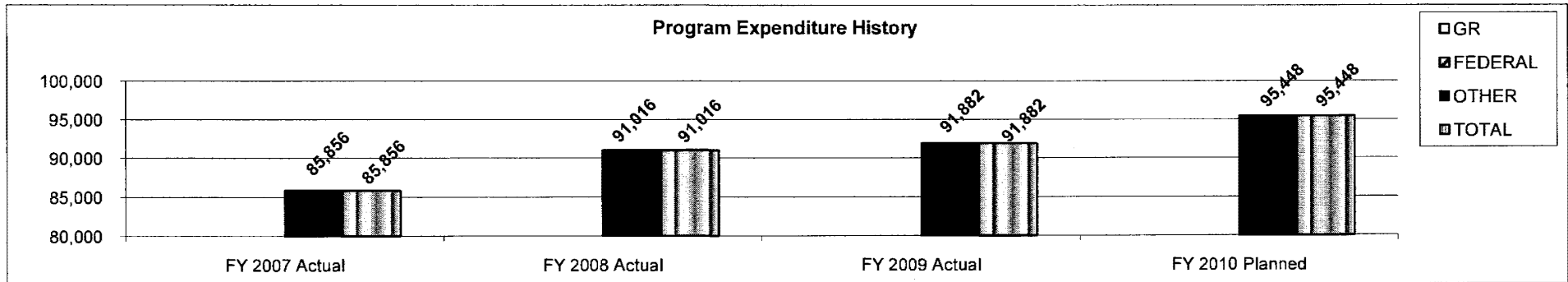
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

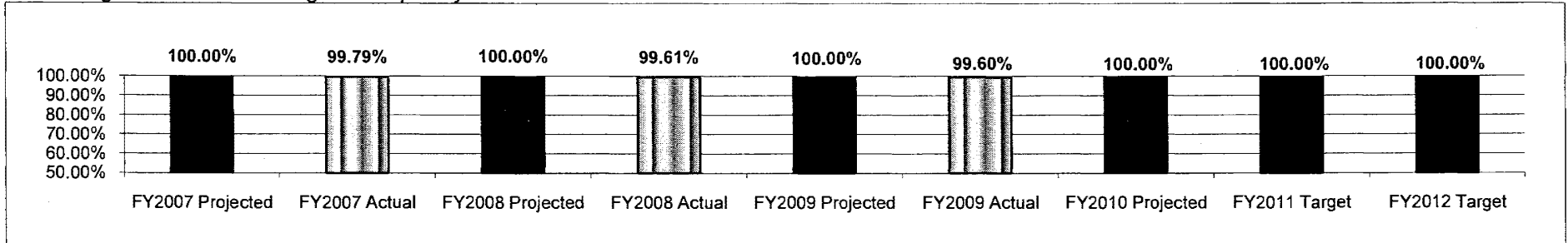
Clinical Social Workers Fund (0574)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
State Committee for Social Workers
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	500	429	515	409	247	479	450	460	460
Licensed Professionals	5,300	5,425	5,100	5,188	5,327	5,207	5,476	5,500	5,530

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Office of Tattoo, Body Piercing and Branding

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Office of Tattoo, Body Piercing and Branding was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating tattoo artists, body piercers, branding, and tattoo, body piercing and branding businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.520-324.524 RSMo

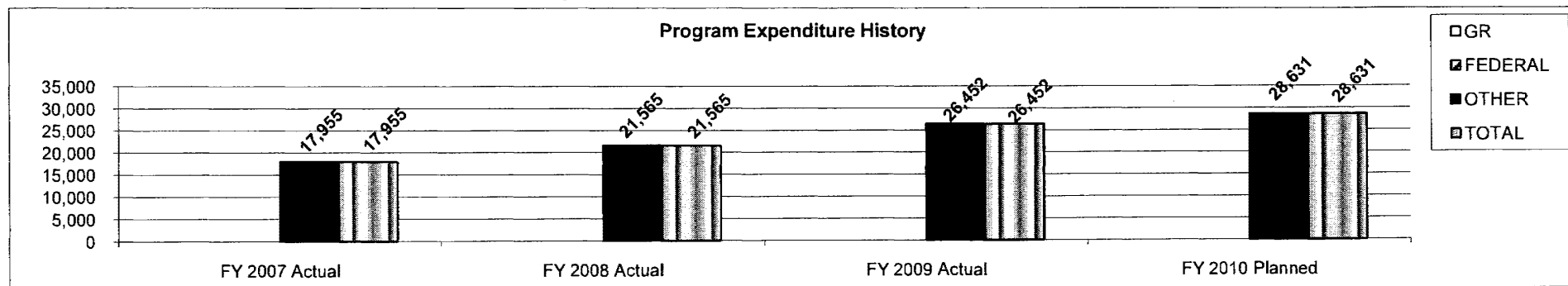
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

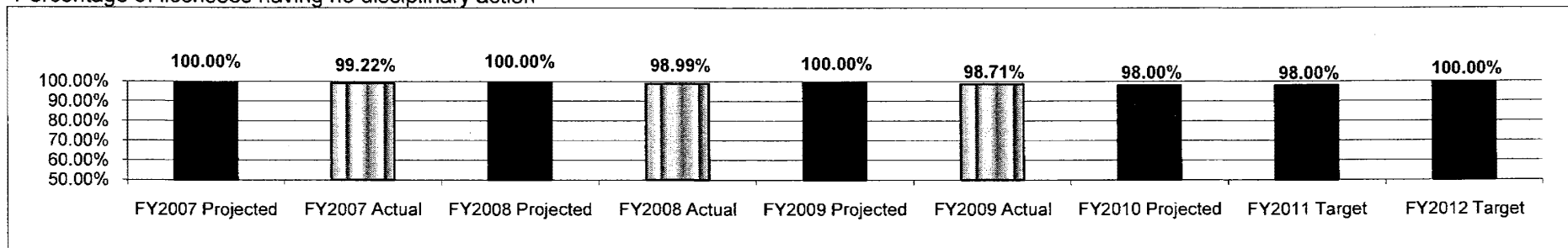
Tattoo Fund (0883)

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Office of Tattoo, Body Piercing and Branding
Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	430	402	461	632	572	495	320	320	320
Licensed Professionals	1,100	1,276	1,100	1,586	1,800	1,861	1,600	1,600	1,600

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Board of Therapeutic Massage

Program is found in the following core budget(s): Professional Registration Administration

1. What does this program do?

The Board of Therapeutic Massage was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating massage therapists and massage therapy businesses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

436.218-436.272 RSMo

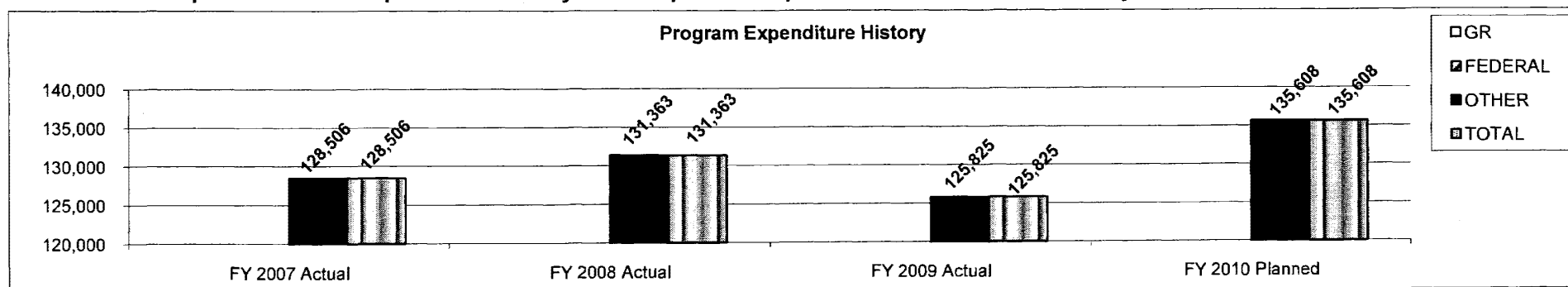
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Massage Therapy Fund (0884)

PROGRAM DESCRIPTION

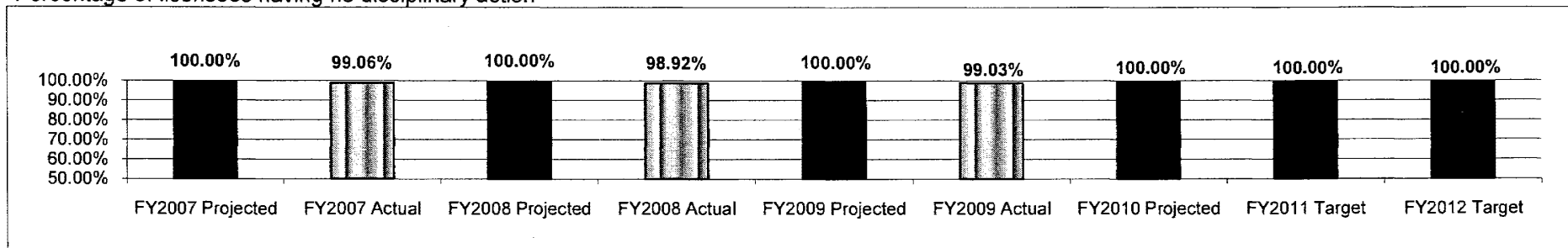
Department of Insurance, Financial Institutions and Professional Registration

Board of Therapeutic Massage

Program is found in the following core budget(s): Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	2,647	2,240	2,600	2,050	2,200	1,721	1,425	1,425	1,425
Licensed Professionals	5,562	5,533	5,600	6,093	5,100	5,950	5,700	5,610	5,650

7d. Provide a customer satisfaction measure, if available.

Not available

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

	Veterinary	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	109,579	97,896	207,475
TOTAL	109,579	97,896	207,475

1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

340.200-340.350 RSMo

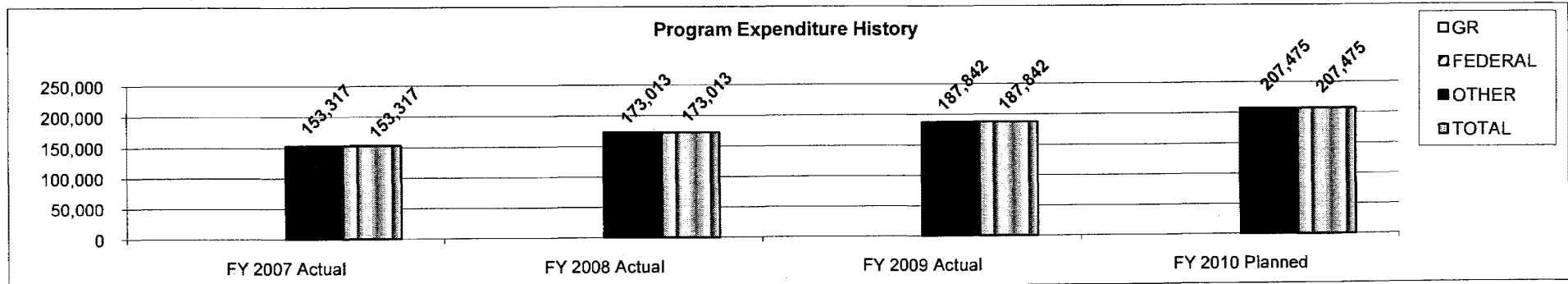
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

Veterinary Medical Board Fund (0639)

PROGRAM DESCRIPTION

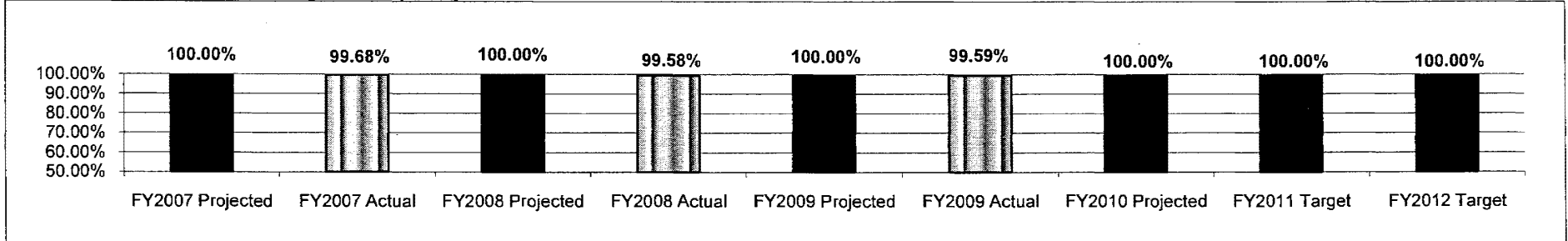
Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	345	400	400	447	360	399	390	390	390
Licensed Professionals	4,350	4,444	4,445	4524	4,495	4609	4,624	4,624	4,624

7d. Provide a customer satisfaction measure, if available.

Not available

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	42640C, 42720C
Division of Professional Registration		
Implementation of SB1 (2009)	DI#	1375002

1. AMOUNT OF REQUEST

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	232,802	232,802
EE	0	0	434,214	434,214
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	667,016	667,016
 FTE	 0.00	 0.00	 6.00	 6.00

Est. Fringe	0	0	139,984	139,984
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Professional Registration Fees Fund (0689)
Board of Embalmers & Funeral Director's Fund (0633)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
 FTE	 0.00	 0.00	 0.00	 0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

<input checked="" type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

SB1 (2009) increased enforcement authority for the board by authorizing the Board of Embalmers and Funeral Directors to conduct random investigations, examination of books and records, and audits of all preneed provider and sellers. Nationally, the preneed industry has experienced significant and sustained growth as consumers focus more attention on their final needs. In order to protect consumer investments, the Division of Professional Registration is requesting additional FTE in the PR Administration Core and corresponding E&E in the Board of Embalmers and Funeral Directors Core to conduct and review investigations, examinations and audits of preneed contracts and accounts.

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration

Budget Unit 42640C, 42720C

Division of Professional Registration

Implementation of SB1 (2009)

DI# 1375002

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

After considering several scenarios, the board anticipates contract services will be utilized for the first year of implementation on SB1 to allow the board to promulgate any necessary rules; hire FTE and for FTE to receive ample training to assume the responsibilities of their positions. The following FTE will be necessary to implement the provisions of SB1.

- One (1) Accounting Specialist II FTE is needed to oversee Chapter 436 investigations, examination of books and records and audits; will be the main point of contact with the auditor and provide an analysis of the audit finding and compliance with board directives and Missouri law to the members of the board; and monitor 436 throughout the review/referral process.
- Two (2) Insurance Financial Analyst II FTEs are needed to conduct examination of books and records and audits; review and analyze operational and financial data obtained from providers and sellers and the outcomes of the examination of books and records and audits; assess compliance with statutory and regulatory requirements; recommend audit priorities; respond inquiries related to licensure laws or rules and regulations.
- One (1) Licensure Technician II FTE is needed to provide technical support, process applications for licensure, and respond to inquiries related to the licensure law and/or rules and regulations. An Investigator II FTE will conduct Chapter 436 investigations.
- One (1) Investigator II FTE is needed to conduct Chapter 436 investigations.
- One (1) Attorney FTE will assist the board in reviewing legal issues.

This legislation adds four board members to the State Board of Embalmers and Funeral Directors. With the addition of these members, the board will incur increased personal service and expense and equipment costs. The members of the board receive per diem an average of 23 days annually. Additionally, the board receives reimbursement of necessary expenses for an average of 4 meetings per year. The staffing request matches the department fiscal note except for the original legislative fiscal note only reflected total per diem and did not report mileage and hotel reimbursement expenditures. Those estimates have been included in the total estimates in #5 below.

The costs shown for E&E will be one-time expenditures as well as ongoing expenditures for the board. These estimates were based on our fiscal note and actual expenses currently being incurred by the board.

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42640C, 42720C				
Division of Professional Registration									
Implementation of SB1 (2009)					DI# 1375002				
5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.									
	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
Budget Object Class/Job Class									
100/000342/Accounting Specialist II					38,700	1.0	38,700	1.0	
100/007583/Insurance Financial Analyst II					71,904	2.0	71,904	2.0	
100/007868/Licensure Technician II					25,380	1.0	25,380	1.0	
100/009734/Legal Counsel					55,000	1.0	55,000	1.0	
100/005297/Investigator II					35,952	1.0	35,952	1.0	
100/009741/Board Member Per Diem					5,866	0.0	5,866	0.0	
Total PS	0	0.0	0	0.0	232,802	6.0	232,802	6.0	0
Computer/Telephone Expenses					20,320		20,320		20,320
Office Equipment/Office Supplies					28,356		28,356		26,556
Vehicles					28,500		28,500		28,500
Communication Expenses					9,900		9,900		
Professional Development					6,000		6,000		
Travel Expenses					10,464		10,464		
Board Meetings					14,504		14,504		
Printing and Postage					14,170		14,170		
Contract Services / Expert Witness Fees					302,000		302,000		290,000
Total EE	0		0		434,214		434,214		365,376
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	667,016	6.0	667,016	6.0	365,376

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42640C, 42720C				
Division of Professional Registration									
Implementation of SB1 (2009)					DI# 1375002				
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
							0		
							0		
							0		
Total EE	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Program Distributions							0		
Total PSD	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers									
Total TRF	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Grand Total	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42640C, 42720C</u>
Division of Professional Registration	
Implementation of SB1 (2009)	DI# 1375002

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

Enforcement activities (Preneed Activity Only):

	Investigations	Examination of Books and Records	Audits
FY07	25	4	2
FY08	13	3	1
FY09	2	0	0
FY2010 Projected	34	4	3
FY2011 Projected	34	4	3
FY2012 Projected	34	4	3

6b. Provide an efficiency measure.

The board will monitor the length of time it takes to complete an investigation, examination of books and records, and audit.

6c. Provide the number of clients/individuals served, if applicable.

SB1 requires currently registered preneed seller and providers to be licensed by the board and mandates preneed agents to be registered by the board.

Fiscal Year	Preneed Sellers	Preneed Providers	Preneed Agents
FY07	348	616	0
FY08	342	608	0
FY09	347	611	0
FY2010 Projected	700	700	50
FY2011 Projected	700	700	70
FY2012 Projected	700	700	80

6d. Provide a customer satisfaction measure, if available.

N/A

NEW DECISION ITEM

RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration

Budget Unit 42640C, 42720C

Division of Professional Registration

Implementation of SB1 (2009)

DI# 1375002

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

1. Establish an unit with the state board to develop, conduct, monitor and analyze investigations, examination of books and records, and audits of preneed contracts and accounts.
2. The FTE will also serve as a resource for board members and staff reviewing the final documents and providing feedback to Missouri consumers.
3. This FTE will provide follow up and guidance to assist preneed sellers, providers and agents comply with the laws and rules and regulations related to preneed.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION								
Implementation of SB1 (2009) - 1375002								
ACCOUNTING SPECIALIST II	0	0.00	0	0.00	38,700	1.00	0	0.00
INVESTIGATOR II	0	0.00	0	0.00	35,952	1.00	0	0.00
INSURANCE FINANCIAL ANALYST II	0	0.00	0	0.00	71,904	2.00	0	0.00
PROF REG LIC TECH II	0	0.00	0	0.00	25,380	1.00	0	0.00
LEGAL COUNSEL	0	0.00	0	0.00	55,000	1.00	0	0.00
BOARD MEMBER	0	0.00	0	0.00	5,866	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	232,802	6.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$232,802	6.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$232,802	6.00		0.00

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
STATE BOARD OF ACCOUNTANCY								
CORE								
PERSONAL SERVICES								
BOARD OF ACCOUNTANCY	258,827	7.51	278,953	7.00	278,953	7.00	0	0.00
TOTAL - PS	258,827	7.51	278,953	7.00	278,953	7.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF ACCOUNTANCY	155,262	0.00	180,647	0.00	180,647	0.00	0	0.00
TOTAL - EE	155,262	0.00	180,647	0.00	180,647	0.00	0	0.00
TOTAL	414,089	7.51	459,600	7.00	459,600	7.00	0	0.00
GRAND TOTAL	\$414,089	7.51	\$459,600	7.00	\$459,600	7.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42650C
Professional Registration
Core - State Board of Accountancy

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	278,953	278,953
EE	0	0	180,647	180,647
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	459,600	459,600
FTE	0.00	0.00	7.00	7.00

Est. Fringe	0	0	167,734	167,734
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Accountancy Fund (0627)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The Missouri State Board of Accountancy was created in 1909 by Senate Bill 112 and passed by the 44th General Assembly. The Board is a state agency and the members are appointed by the Governor and confirmed by the Senate. The Board is a fee funded agency, supported entirely by CPA candidates' and licensees' fees. The Public Accountancy Act is the set of statutes that govern the ability of the Board to regulate certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

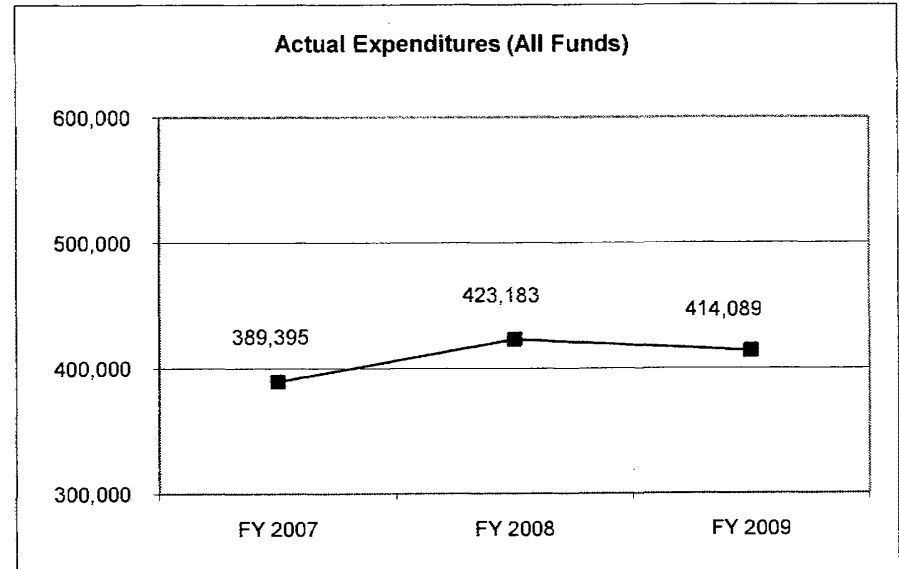
State Board of Accountancy

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42650C
Professional Registration
Core - State Board of Accountancy

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	443,588	451,476	459,600	459,600
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	443,588	451,476	459,600	N/A
Actual Expenditures (All Funds)	389,395	423,183	414,089	N/A
Unexpended (All Funds)	54,193	28,293	45,511	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	54,193	28,293	45,511	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to staff changes and less than anticipated expenditures.
- (3) Unexpended amount is due to staff changes and less than anticipated expenditures.

CORE RECONCILIATION DETAIL

DIFP

STATE BOARD OF ACCOUNTANCY

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	7.00	0	0	278,953	278,953	
	EE	0.00	0	0	180,647	180,647	
	Total	7.00	0	0	459,600	459,600	
DEPARTMENT CORE REQUEST							
	PS	7.00	0	0	278,953	278,953	
	EE	0.00	0	0	180,647	180,647	
	Total	7.00	0	0	459,600	459,600	
GOVERNOR'S RECOMMENDED CORE							
	PS	7.00	0	0	278,953	278,953	
	EE	0.00	0	0	180,647	180,647	
	Total	7.00	0	0	459,600	459,600	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
STATE BOARD OF ACCOUNTANCY								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	27,540	1.00	27,583	1.00	28,383	1.00	0	0.00
ACCOUNT CLERK II	24,640	1.00	25,377	1.00	25,377	1.00	0	0.00
SENIOR AUDITOR	45,006	1.00	50,202	1.00	46,702	1.00	0	0.00
EXECUTIVE I	24,129	0.74	35,306	1.00	32,806	1.00	0	0.00
PROF REG LIC TECH I	42,702	1.86	49,650	2.00	47,650	2.00	0	0.00
BOARD MEMBER	4,420	0.28	6,620	0.00	6,620	0.00	0	0.00
CLERK	20,532	0.63	6,620	0.00	18,620	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	69,858	1.00	77,595	1.00	72,795	1.00	0	0.00
TOTAL - PS	258,827	7.51	278,953	7.00	278,953	7.00	0	0.00
TRAVEL, IN-STATE	13,765	0.00	25,000	0.00	16,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	18,805	0.00	18,038	0.00	19,000	0.00	0	0.00
SUPPLIES	19,112	0.00	18,560	0.00	23,468	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	10,206	0.00	15,500	0.00	15,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	4,413	0.00	4,929	0.00	4,929	0.00	0	0.00
PROFESSIONAL SERVICES	69,746	0.00	80,000	0.00	80,000	0.00	0	0.00
M&R SERVICES	3,849	0.00	2,000	0.00	3,900	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	10	0.00	10	0.00	0	0.00
OFFICE EQUIPMENT	8,783	0.00	10,000	0.00	10,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	240	0.00	10	0.00	240	0.00	0	0.00
BUILDING LEASE PAYMENTS	371	0.00	500	0.00	500	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	312	0.00	100	0.00	400	0.00	0	0.00
MISCELLANEOUS EXPENSES	5,660	0.00	5,000	0.00	5,700	0.00	0	0.00
TOTAL - EE	155,262	0.00	180,647	0.00	180,647	0.00	0	0.00
GRAND TOTAL	\$414,089	7.51	\$459,600	7.00	\$459,600	7.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$414,089	7.51	\$459,600	7.00	\$459,600	7.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

1. What does this program do?

The Board of Accountancy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

326.250-326.331 RSMo

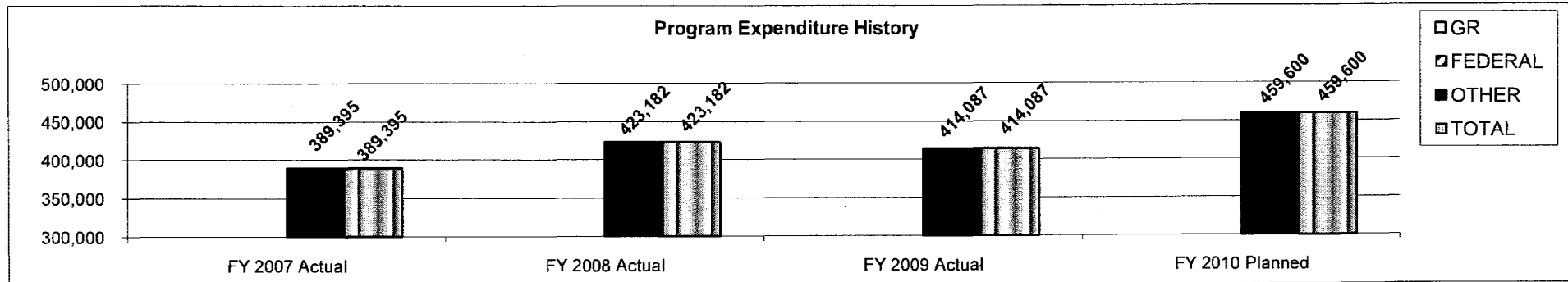
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Accountancy Fund (0627)

PROGRAM DESCRIPTION

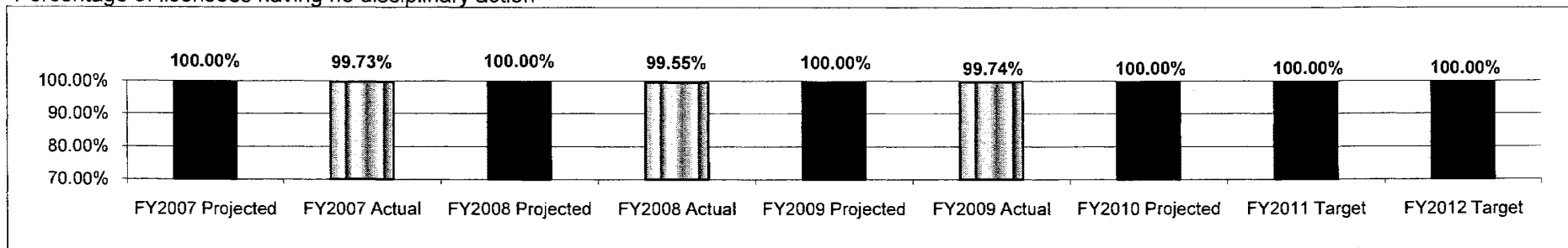
Department of Insurance, Financial Institutions and Professional Registration

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	1,100	914	925	799	800	856	880	900	900
Licensed Professionals	19,276	19,185	19,326	11,002	19,376	19,888	20,100	20,400	20,700

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
ARCHITECTS, P.E. & LAND SURV.									
CORE									
PERSONAL SERVICES									
BRD OF ARCH,ENG,LND SUR,LND AR	328,410	10.91	375,856	10.00	375,856	10.00	0	0.00	
TOTAL - PS	328,410	10.91	375,856	10.00	375,856	10.00	0	0.00	
EXPENSE & EQUIPMENT									
BRD OF ARCH,ENG,LND SUR,LND AR	219,537	0.00	331,587	0.00	331,587	0.00	0	0.00	
TOTAL - EE	219,537	0.00	331,587	0.00	331,587	0.00	0	0.00	
TOTAL	547,947	10.91	707,443	10.00	707,443	10.00	0	0.00	
GRAND TOTAL	\$547,947	10.91	\$707,443	10.00	\$707,443	10.00	\$0	0.00	

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CORE DECISION ITEM

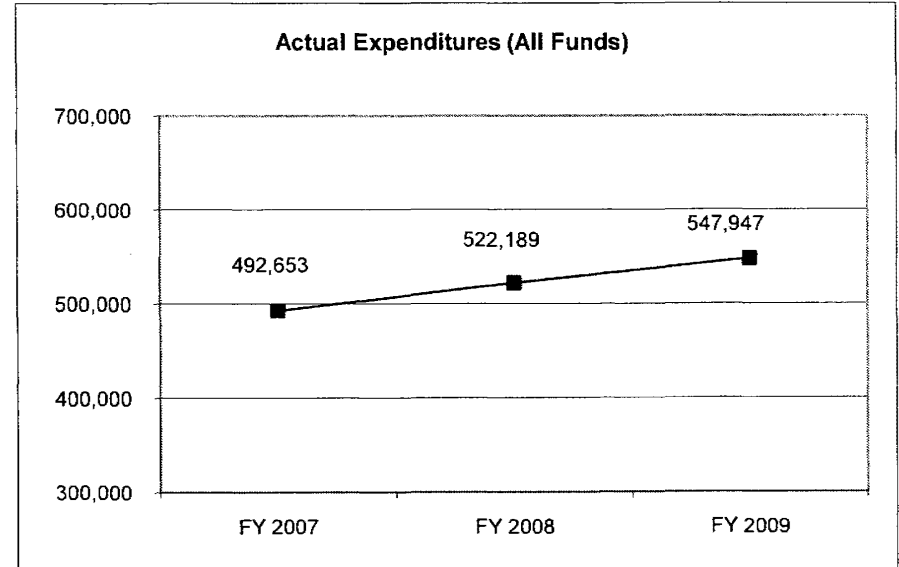
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42660C</u>				
Professional Registration									
Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	375,856	375,856	PS	0	0	0	0
EE	0	0	331,587	331,587	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	707,443	707,443	Total	0	0	0	0
 FTE	 0.00	 0.00	 10.00	 10.00	 FTE	 0.00	 0.00	 0.00	 0.00
Est. Fringe	0	0	226,002	226,002	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: State Board for Architects, Prof. Engineers, Prof. Land Surveyors & Landscape Architects Fund (0678)					Other Funds:				
2. CORE DESCRIPTION									
The core program request is necessary to ensure the continued high quality of service provided by architects, professional engineers, professional land surveyors and landscape architects licensed in Missouri.									
3. PROGRAM LISTING (list programs included in this core funding)									
Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects									

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42660C
Professional Registration
Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	748,868	759,495	770,443	707,443
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	748,868	759,495	770,443	N/A
Actual Expenditures (All Funds)	492,653	522,189	547,947	N/A
Unexpended (All Funds)	256,215	237,306	222,496	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	256,215	237,306	222,496	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.
- (2) Unexpended amount due to less than anticipated outside legal counsel expenses, contract investigators expenses and testing services.
- (3) Unexpended amount due to staff turnover and less than anticipated contract investigative services and outside legal counsel expenses.

CORE RECONCILIATION DETAIL

DIFP
ARCHITECTS, P.E. & LAND SURV.

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	10.00	0	0	375,856	375,856	
	EE	0.00	0	0	331,587	331,587	
	Total	10.00	0	0	707,443	707,443	
DEPARTMENT CORE REQUEST							
	PS	10.00	0	0	375,856	375,856	
	EE	0.00	0	0	331,587	331,587	
	Total	10.00	0	0	707,443	707,443	
GOVERNOR'S RECOMMENDED CORE							
	PS	10.00	0	0	375,856	375,856	
	EE	0.00	0	0	331,587	331,587	
	Total	10.00	0	0	707,443	707,443	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ARCHITECTS, P.E. & LAND SURV.								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	22,414	0.98	25,791	1.00	25,791	1.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	26,223	1.00	27,563	1.00	27,563	1.00	0	0.00
ACCOUNT CLERK II	26,164	1.00	27,129	1.00	27,129	1.00	0	0.00
EXECUTIVE I	32,818	1.00	35,946	1.00	35,946	1.00	0	0.00
INVESTIGATOR II	37,922	1.00	40,204	1.00	40,204	1.00	0	0.00
PROF REG LIC TECH I	23,372	1.00	25,368	1.00	25,368	1.00	0	0.00
PROF REG LIC TECH II	80,884	2.82	87,341	3.00	92,341	3.00	0	0.00
BOARD MEMBER	14,413	1.11	38,617	0.00	28,617	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	64,200	1.00	67,897	1.00	72,897	1.00	0	0.00
TOTAL - PS	328,410	10.91	375,856	10.00	375,856	10.00	0	0.00
TRAVEL, IN-STATE	24,298	0.00	38,917	0.00	33,917	0.00	0	0.00
TRAVEL, OUT-OF-STATE	12,312	0.00	16,049	0.00	16,049	0.00	0	0.00
FUEL & UTILITIES	0	0.00	100	0.00	100	0.00	0	0.00
SUPPLIES	27,550	0.00	70,000	0.00	47,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	23,996	0.00	40,350	0.00	35,350	0.00	0	0.00
COMMUNICATION SERV & SUPP	4,678	0.00	6,984	0.00	6,984	0.00	0	0.00
PROFESSIONAL SERVICES	109,126	0.00	97,386	0.00	140,386	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	100	0.00	100	0.00	0	0.00
M&R SERVICES	3,647	0.00	5,508	0.00	5,508	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	100	0.00	100	0.00	0	0.00
OFFICE EQUIPMENT	4,086	0.00	20,419	0.00	20,419	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	100	0.00	100	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	16,568	0.00	6,568	0.00	0	0.00
BUILDING LEASE PAYMENTS	2,587	0.00	5,131	0.00	5,131	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	1,463	0.00	3,875	0.00	3,875	0.00	0	0.00
MISCELLANEOUS EXPENSES	5,794	0.00	10,000	0.00	10,000	0.00	0	0.00
TOTAL - EE	219,537	0.00	331,587	0.00	331,587	0.00	0	0.00
GRAND TOTAL	\$547,947	10.91	\$707,443	10.00	\$707,443	10.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$547,947	10.91	\$707,443	10.00	\$707,443	10.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

1. What does this program do?

The Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating architects, professional engineers, professional land surveyors and landscape architects in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

327.011-327.635 RSMo

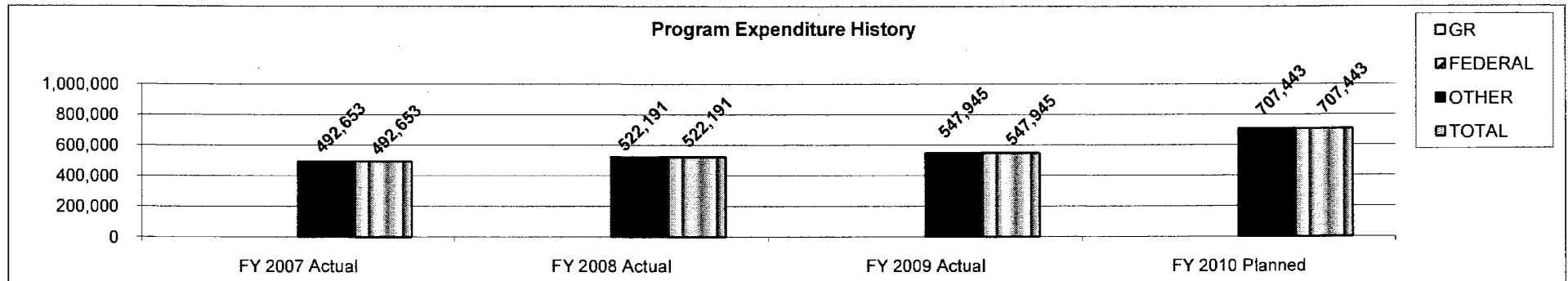
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects (0678)

PROGRAM DESCRIPTION

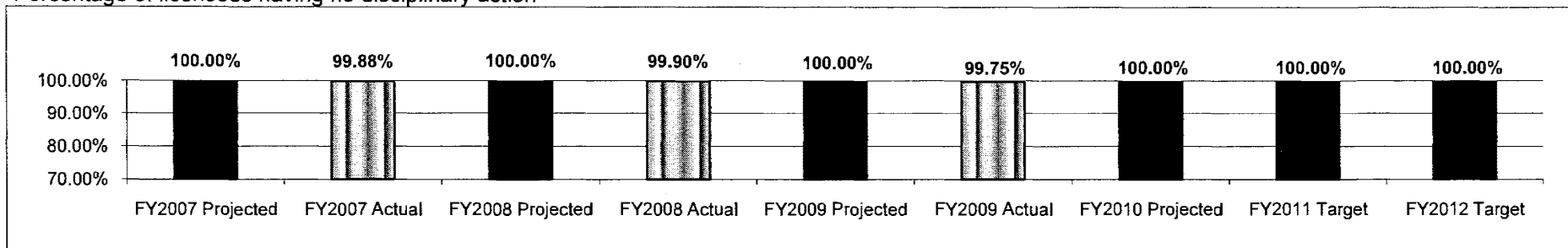
Department of Insurance, Financial Institutions and Professional Registration

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	2,705	2,634	2,973	1,974	2,807	1,994	2,372	2,396	2,420
Licensed Professionals	50,825	51,833	51,846	53,002	51,070	25,702	23,215	23,447	23,681

NOTE: The number of licensed professionals reported in FY09 and each year thereafter will not include interns.

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
BD OF CHIROPRACTIC EXAMINERS									
CORE									
EXPENSE & EQUIPMENT									
BOARD OF CHIROPRACTIC EXAMINER	106,316	0.00	149,567	0.00	149,567	0.00	0	0.00	
TOTAL - EE	106,316	0.00	149,567	0.00	149,567	0.00	0	0.00	
TOTAL	106,316	0.00	149,567	0.00	149,567	0.00	0	0.00	
GRAND TOTAL	\$106,316	0.00	\$149,567	0.00	\$149,567	0.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42680C
Professional Registration
Core - State Board of Chiropractic Examiners

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	149,567	149,567
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	149,567	149,567
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Chiropractic Examiners Fund (0630)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by chiropractors licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

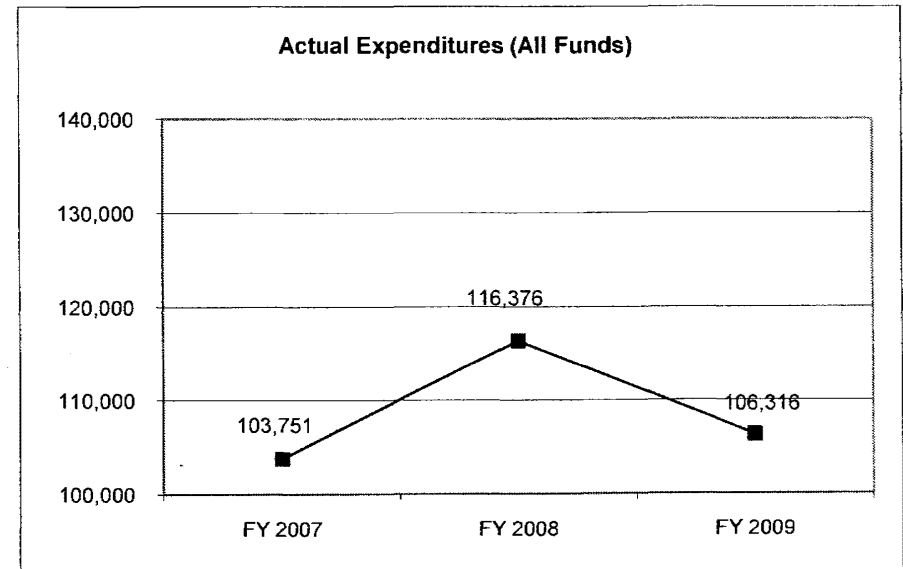
State Board of Chiropractic Examiners

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42680C
Professional Registration
Core - State Board of Chiropractic Examiners

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	149,567	149,567	149,567	149,567
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	149,567	149,567	149,567	N/A
Actual Expenditures (All Funds)	103,751	116,376	106,316	N/A
Unexpended (All Funds)	45,816	33,191	43,251	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	45,816	33,191	43,251	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
- (2) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.
- (3) Unexpended amount due to less than anticipated expenditures and costs associated with disciplinary cases.

CORE RECONCILIATION DETAIL

DIFP

BD OF CHIROPRACTIC EXAMINERS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	149,567	149,567	
	Total	0.00	0	0	149,567	149,567	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	149,567	149,567	
	Total	0.00	0	0	149,567	149,567	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	149,567	149,567	
	Total	0.00	0	0	149,567	149,567	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD OF CHIROPRACTIC EXAMINERS								
CORE								
TRAVEL, IN-STATE	6,386	0.00	12,000	0.00	12,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	4,349	0.00	12,000	0.00	12,000	0.00	0	0.00
FUEL & UTILITIES	0	0.00	60	0.00	60	0.00	0	0.00
SUPPLIES	14,018	0.00	7,505	0.00	7,505	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	3,286	0.00	6,500	0.00	6,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	1,413	0.00	2,000	0.00	2,000	0.00	0	0.00
PROFESSIONAL SERVICES	74,195	0.00	97,000	0.00	97,000	0.00	0	0.00
M&R SERVICES	911	0.00	4,502	0.00	4,502	0.00	0	0.00
OFFICE EQUIPMENT	778	0.00	4,000	0.00	4,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	2,000	0.00	2,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	980	0.00	2,000	0.00	2,000	0.00	0	0.00
TOTAL - EE	106,316	0.00	149,567	0.00	149,567	0.00	0	0.00
GRAND TOTAL	\$106,316	0.00	\$149,567	0.00	\$149,567	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$106,316	0.00	\$149,567	0.00	\$149,567	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

	Chiropractic	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	149,567	70,618	220,185
TOTAL	149,567	70,618	220,185

1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.010-331.100 RSMo

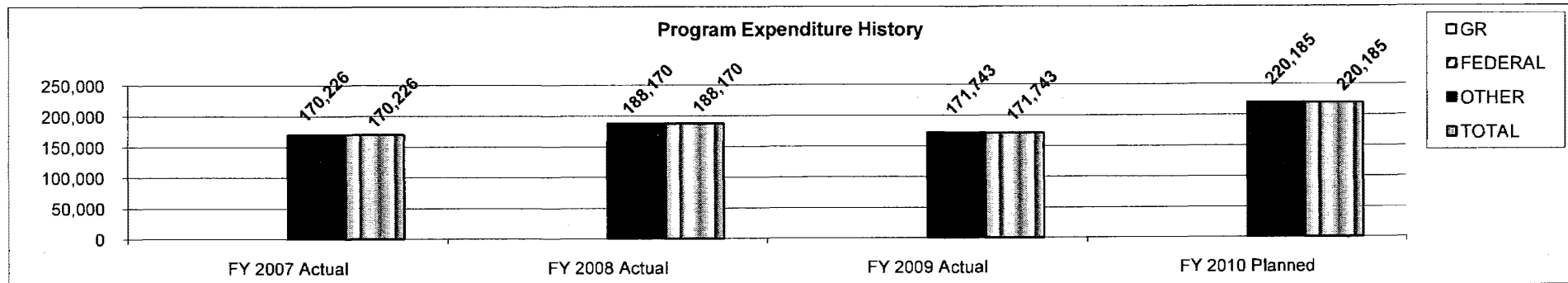
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

PROGRAM DESCRIPTION

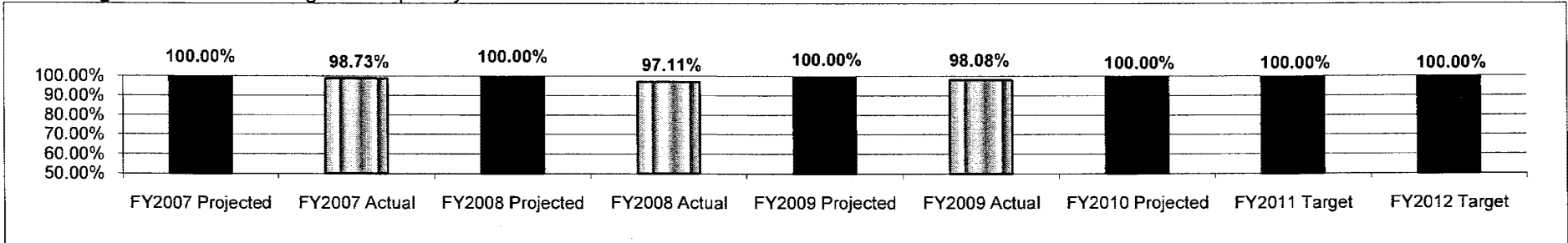
Department of Insurance, Financial Institutions and Professional Registration

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	145	126	130	142	130	127	157	157	157
Licensed Professionals	2,287	2,053	2,070	2,217	1,880	2,087	2,068	2,095	2,070

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD COSMETOLOGY & BARBERS								
CORE								
EXPENSE & EQUIPMENT								
BRD OF COSMETOLOGY & BARBER EX	288,927	0.00	292,273	0.00	292,273	0.00	0	0.00
TOTAL - EE	288,927	0.00	292,273	0.00	292,273	0.00	0	0.00
TOTAL	288,927	0.00	292,273	0.00	292,273	0.00	0	0.00
GRAND TOTAL	\$288,927	0.00	\$292,273	0.00	\$292,273	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42695C</u>				
Professional Registration									
Core - State Board of Cosmetology and Barber Examiners									
1. CORE FINANCIAL SUMMARY									
	FY 2011 Budget Request					FY 2011 Governor's Recommendation			
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	291,273	291,273	EE	0	0	0	0
PSD	0	0	1,000	1,000 E	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	292,273	292,273	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Board of Cosmetology and Barber Examiners Fund (0785)				Other Funds:				
Notes:	Expense and Equipment includes \$1,000 E for criminal history checks.				Notes:				
2. CORE DESCRIPTION									
The core program request is necessary to ensure the continued high quality of service provided by cosmetologists, manicurists, estheticians, cosmetology salons cosmetology, manicuring schools, esthetians, barbers, and barber schools or colleges licensed in Missouri.									
3. PROGRAM LISTING (list programs included in this core funding)									
State Board of Cosmetology and Barber Examiners									

CORE DECISION ITEM

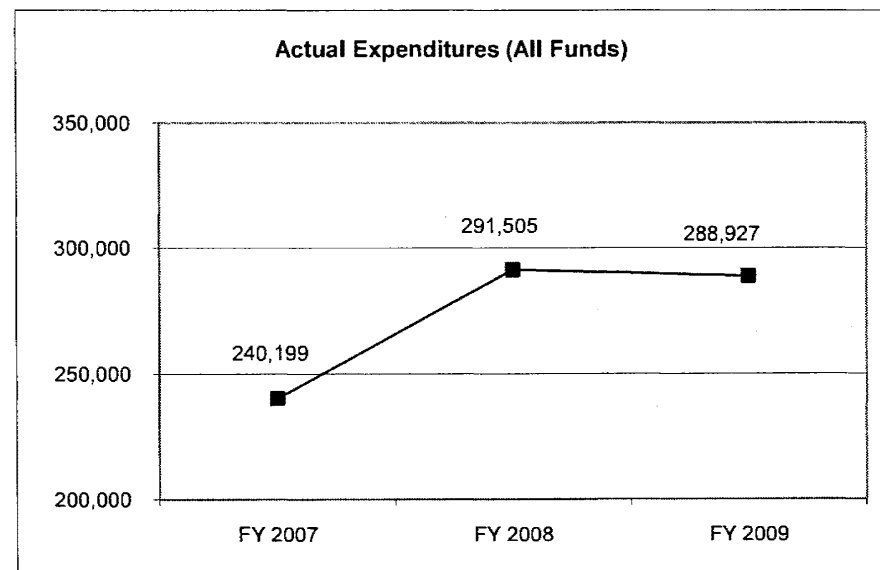
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42695C

Professional Registration

Core - State Board of Cosmetology and Barber Examiners

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	292,273	292,273	292,273	292,273
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	292,273	292,273	292,273	N/A
Actual Expenditures (All Funds)	240,199	291,505	288,927	N/A
Unexpended (All Funds)	52,074	768	3,346	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	52,074	768	3,346	N/A
	(1)			



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) Unexpended amount is due to less than anticipated expenditures, investigative costs and legal expenses.

CORE RECONCILIATION DETAIL

DIFP

BD COSMETOLOGY & BARBERS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	292,273	292,273	
	Total	0.00	0	0	292,273	292,273	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	292,273	292,273	
	Total	0.00	0	0	292,273	292,273	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	292,273	292,273	
	Total	0.00	0	0	292,273	292,273	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD COSMETOLOGY & BARBERS								
CORE								
TRAVEL, IN-STATE	32,030	0.00	28,000	0.00	26,073	0.00	0	0.00
TRAVEL, OUT-OF-STATE	16,188	0.00	17,700	0.00	16,200	0.00	0	0.00
SUPPLIES	75,533	0.00	73,886	0.00	73,800	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	5,150	0.00	4,000	0.00	4,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	17,155	0.00	16,500	0.00	17,200	0.00	0	0.00
PROFESSIONAL SERVICES	86,511	0.00	73,387	0.00	87,500	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	100	0.00	0	0.00	0	0.00
M&R SERVICES	10,473	0.00	16,000	0.00	10,500	0.00	0	0.00
MOTORIZED EQUIPMENT	33,366	0.00	45,000	0.00	45,000	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	5,000	0.00	900	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	3,000	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	2,367	0.00	1,500	0.00	2,400	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	200	0.00	200	0.00	0	0.00
MISCELLANEOUS EXPENSES	10,154	0.00	8,000	0.00	8,500	0.00	0	0.00
TOTAL - EE	288,927	0.00	292,273	0.00	292,273	0.00	0	0.00
GRAND TOTAL	\$288,927	0.00	\$292,273	0.00	\$292,273	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$288,927	0.00	\$292,273	0.00	\$292,273	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

	Cosmetology Barber	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	292,273	661,083	953,356
TOTAL	292,273	661,083	953,356

1. What does this program do?

Senate Bill 280 (93rd General Assembly) merged the Board of Cosmetology and the Board of Barber Examiners into the new Board of Cosmetology and Barber Examiners to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

328.010-328.160, 329.010-329.265 RSMo

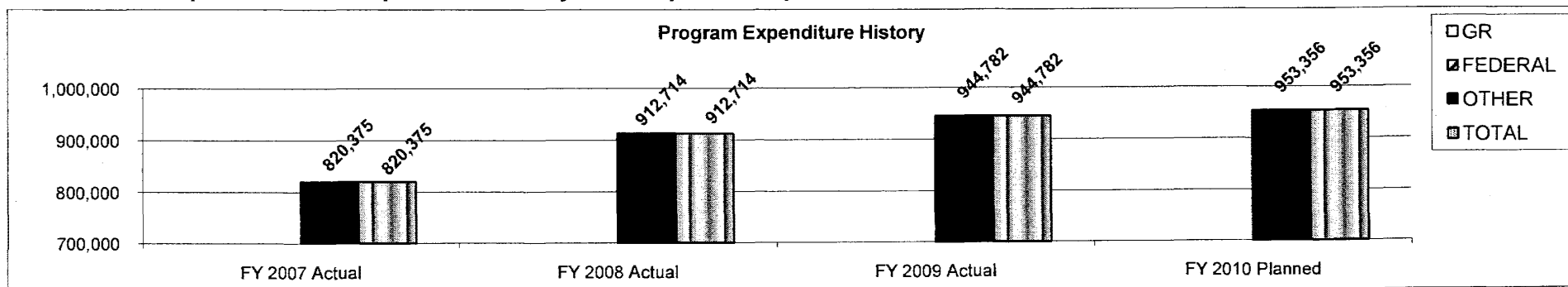
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

PROGRAM DESCRIPTION

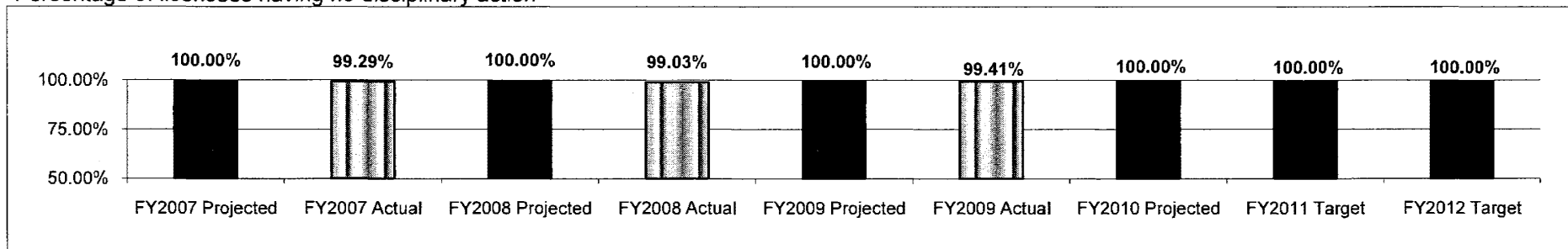
Department of Insurance, Financial Institutions and Professional Registration

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	9,972	8,993	9,662	9,848	10,833	10,074	10,603	10,928	10,928
Licensed Professionals	72,456	81,776	81,776	75,921	77,862	80,504	80,100	80,200	80,200

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MISSOURI DENTAL BOARD								
CORE								
PERSONAL SERVICES								
DENTAL BOARD FUND	265,445	8.84	372,146	8.50	372,146	8.50	0	0.00
TOTAL - PS	265,445	8.84	372,146	8.50	372,146	8.50	0	0.00
EXPENSE & EQUIPMENT								
DENTAL BOARD FUND	293,736	0.00	262,863	0.00	262,863	0.00	0	0.00
TOTAL - EE	293,736	0.00	262,863	0.00	262,863	0.00	0	0.00
TOTAL	559,181	8.84	635,009	8.50	635,009	8.50	0	0.00
GRAND TOTAL	\$559,181	8.84	\$635,009	8.50	\$635,009	8.50	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit	42710C
Professional Registration		
Core - Missouri Dental Board		

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	372,146	372,146
EE	0	0	262,863	262,863
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	635,009	635,009
 FTE	 0.00	 0.00	 8.50	 8.50

Est. Fringe	0	0	223,771	223,771
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Dental Board Fund (0677)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
 FTE	 0.00	 0.00	 0.00	 0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by dentists and dental hygienists licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

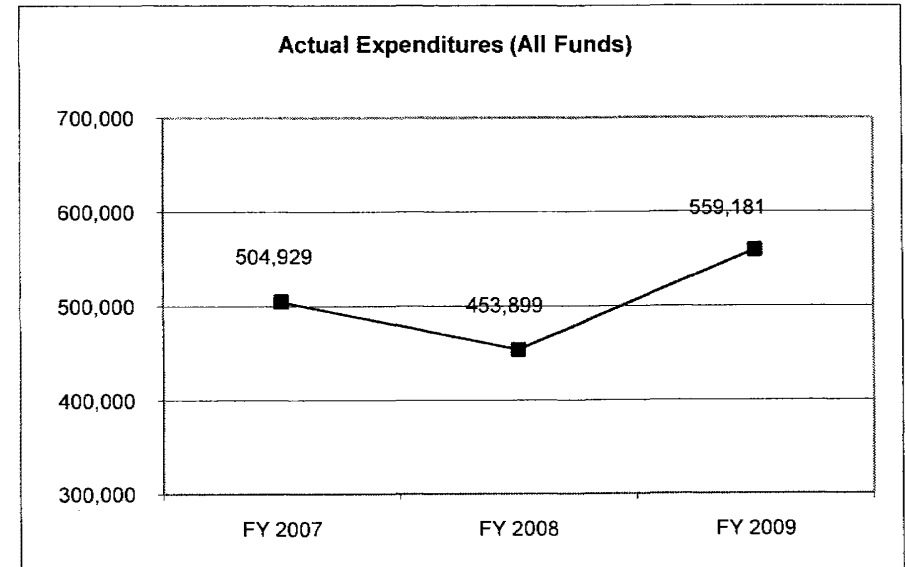
Missouri Dental Board

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42710C
Professional Registration
Core - Missouri Dental Board

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	613,645	624,168	686,993	635,009
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	613,645	686,993	686,993	N/A
Actual Expenditures (All Funds)	504,929	453,899	559,181	N/A
Unexpended (All Funds)	108,716	233,094	127,812	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	108,716	233,094	127,812	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to less than anticipated expenditures and investigative and legal expenses.
- (2) Unexpended amount due to less than anticipated expenditures and investigative and legal expenses.
- (3) Unexpended amount due to less than anticipated expenditures and investigative and legal expenses.

CORE RECONCILIATION DETAIL

DIFP

MISSOURI DENTAL BOARD

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	8.50	0	0	372,146	372,146	
	EE	0.00	0	0	262,863	262,863	
	Total	8.50	0	0	635,009	635,009	
DEPARTMENT CORE REQUEST							
	PS	8.50	0	0	372,146	372,146	
	EE	0.00	0	0	262,863	262,863	
	Total	8.50	0	0	635,009	635,009	
GOVERNOR'S RECOMMENDED CORE							
	PS	8.50	0	0	372,146	372,146	
	EE	0.00	0	0	262,863	262,863	
	Total	8.50	0	0	635,009	635,009	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MISSOURI DENTAL BOARD								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	20,451	0.88	29,286	1.00	29,286	1.00	0	0.00
ACCOUNT CLERK II	25,349	1.00	28,902	1.00	28,902	1.00	0	0.00
EXECUTIVE I	23,887	0.73	37,064	1.00	37,064	1.00	0	0.00
INVESTIGATOR I	35,273	1.00	35,729	1.00	35,729	1.00	0	0.00
INVESTIGATOR II	23,915	0.68	42,520	1.00	42,520	1.00	0	0.00
INVESTIGATOR III	39,238	0.98	52,109	1.00	52,109	1.00	0	0.00
PROF REG LIC TECH II	22,330	0.88	40,394	1.50	40,394	1.50	0	0.00
BOARD MEMBER	17,650	1.36	36,770	0.00	36,770	0.00	0	0.00
CLERK	10,906	0.58	0	0.00	0	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	46,446	0.75	69,372	1.00	69,372	1.00	0	0.00
TOTAL - PS	265,445	8.84	372,146	8.50	372,146	8.50	0	0.00
TRAVEL, IN-STATE	24,250	0.00	39,260	0.00	30,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	8,707	0.00	12,000	0.00	11,000	0.00	0	0.00
SUPPLIES	16,493	0.00	24,697	0.00	20,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	6,755	0.00	8,000	0.00	8,300	0.00	0	0.00
COMMUNICATION SERV & SUPP	3,565	0.00	6,500	0.00	6,000	0.00	0	0.00
PROFESSIONAL SERVICES	228,054	0.00	156,056	0.00	172,713	0.00	0	0.00
M&R SERVICES	2,460	0.00	3,500	0.00	3,500	0.00	0	0.00
OFFICE EQUIPMENT	87	0.00	2,000	0.00	1,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	2,000	0.00	1,500	0.00	0	0.00
BUILDING LEASE PAYMENTS	1,675	0.00	3,000	0.00	3,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	350	0.00	350	0.00	0	0.00
MISCELLANEOUS EXPENSES	1,690	0.00	5,500	0.00	5,500	0.00	0	0.00
TOTAL - EE	293,736	0.00	262,863	0.00	262,863	0.00	0	0.00
GRAND TOTAL	\$559,181	8.84	\$635,009	8.50	\$635,009	8.50	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$559,181	8.84	\$635,009	8.50	\$635,009	8.50		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

1. What does this program do?

The Missouri Dental Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating dentists, dental specialists and dental hygienists in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

332.011-332.364 RSMo

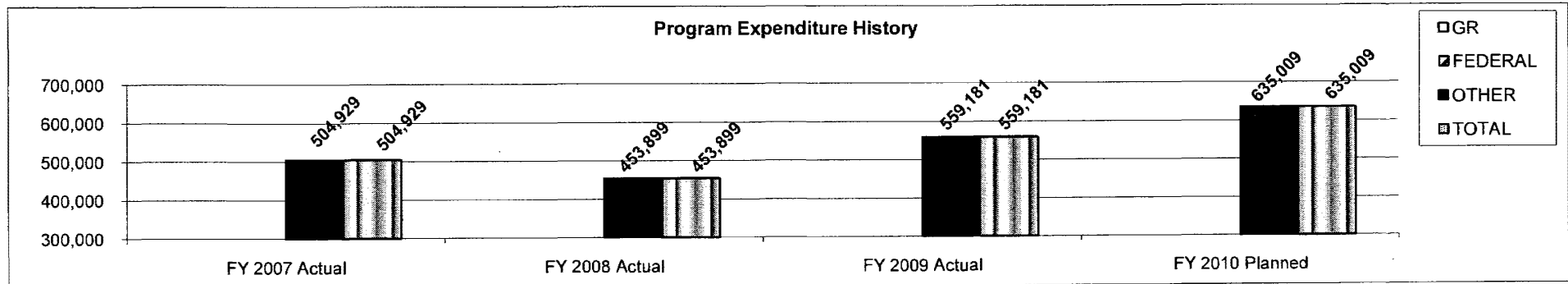
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Dental Board Fund (0677)

PROGRAM DESCRIPTION

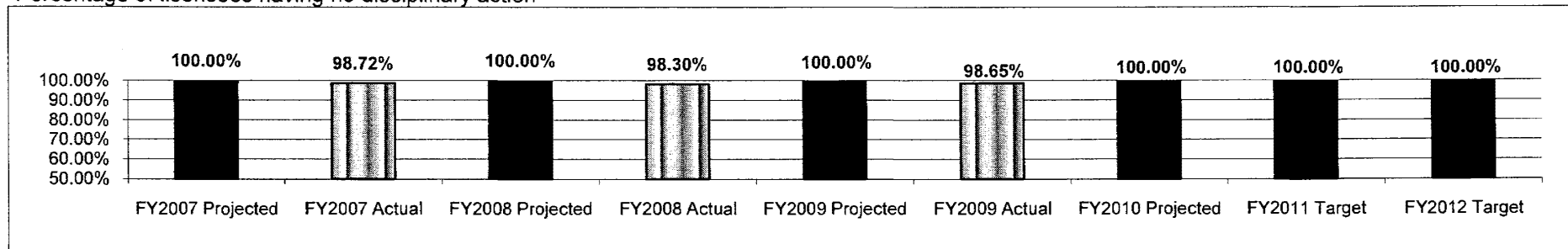
Department of Insurance, Financial Institutions and Professional Registration

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	926	599	915	588	360	683	635	636	629
Licensed Professionals	7,008	7,009	7,367	7,337	6,962	7,460	6,566	6,913	6,955

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
BD OF EMBALMERS & FUNERAL DIR									
CORE									
EXPENSE & EQUIPMENT									
BOARD OF EMBALM & FUN DIR	103,569	0.00	145,393	0.00	145,393	0.00	0	0.00	
TOTAL - EE	103,569	0.00	145,393	0.00	145,393	0.00	0	0.00	
TOTAL	103,569	0.00	145,393	0.00	145,393	0.00	0	0.00	
Implementation of SB1 (2009) - 1375002									
EXPENSE & EQUIPMENT									
BOARD OF EMBALM & FUN DIR	0	0.00	0	0.00	434,214	0.00	0	0.00	
TOTAL - EE	0	0.00	0	0.00	434,214	0.00	0	0.00	
TOTAL	0	0.00	0	0.00	434,214	0.00	0	0.00	
GRAND TOTAL	\$103,569	0.00	\$145,393	0.00	\$579,607	0.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42720C

Professional Registration

Core - State Board of Embalmers and Funeral Directors

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	145,393	145,393
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	145,393	145,393
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Embalmers & Funeral Directors Fund (0633)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by embalmers, funeral directors, funeral establishment, preneed providers and preneed sellers licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

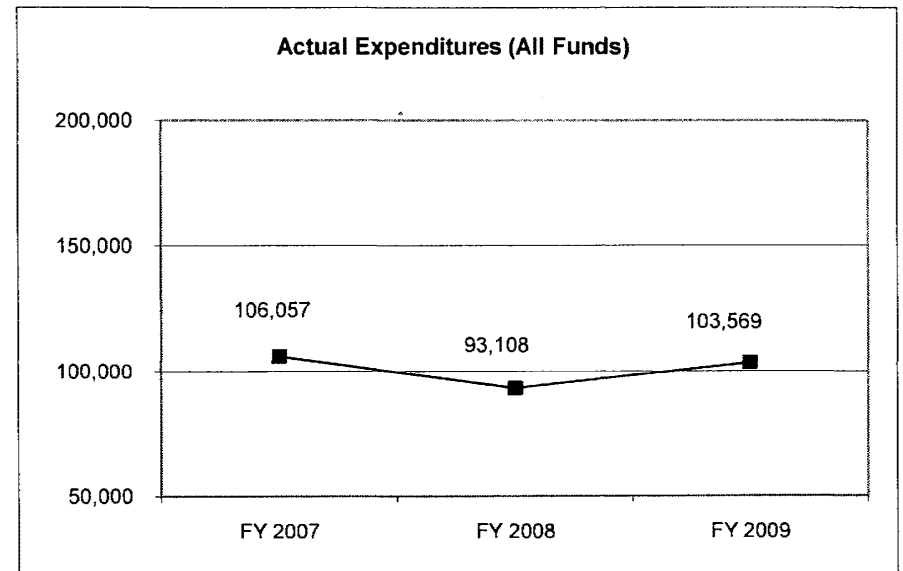
State Board of Embalmers and Funeral Directors

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42720C
Professional Registration
Core - State Board of Embalmers and Funeral Directors

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	145,393	145,393	145,393	145,393
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	145,393	145,393	145,393	N/A
Actual Expenditures (All Funds)	106,057	93,108	103,569	N/A
Unexpended (All Funds)	39,336	52,285	41,824	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	39,336	52,285	41,824	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due less than anticipated expenditures and investigative and legal expenses.
- (2) Unexpended amount due less than anticipated expenditures and investigative and legal expenses.
- (3) Unexpended amount due less than anticipated expenditures and investigative and legal expenses.

CORE RECONCILIATION DETAIL

DIFP**BD OF EMBALMERS & FUNERAL DIR**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	145,393	145,393	
	Total	0.00	0	0	145,393	145,393	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	145,393	145,393	
	Total	0.00	0	0	145,393	145,393	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	145,393	145,393	
	Total	0.00	0	0	145,393	145,393	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD OF EMBALMERS & FUNERAL DIR								
CORE								
TRAVEL, IN-STATE	24,853	0.00	20,000	0.00	30,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	2,657	0.00	15,000	0.00	4,000	0.00	0	0.00
SUPPLIES	12,454	0.00	20,000	0.00	13,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,250	0.00	3,500	0.00	1,300	0.00	0	0.00
COMMUNICATION SERV & SUPP	2,749	0.00	2,500	0.00	2,800	0.00	0	0.00
PROFESSIONAL SERVICES	50,378	0.00	75,000	0.00	83,715	0.00	0	0.00
M&R SERVICES	1,354	0.00	1,300	0.00	1,400	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	1,283	0.00	1,300	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	100	0.00	0	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	2,000	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	1,546	0.00	1,000	0.00	1,550	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	100	0.00	110	0.00	100	0.00	0	0.00
MISCELLANEOUS EXPENSES	6,228	0.00	3,600	0.00	6,228	0.00	0	0.00
TOTAL - EE	103,569	0.00	145,393	0.00	145,393	0.00	0	0.00
GRAND TOTAL	\$103,569	0.00	\$145,393	0.00	\$145,393	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$103,569	0.00	\$145,393	0.00	\$145,393	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

	Emb & FDs	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	145,393	190,514	335,907
TOTAL	145,393	190,514	335,907

1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the State of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers and preneed providers in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

331.011-331.261 RSMo

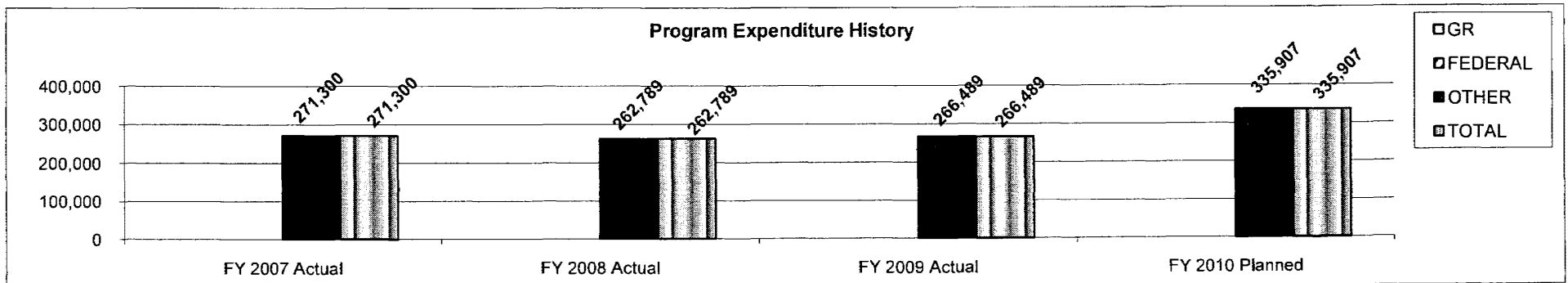
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

PROGRAM DESCRIPTION

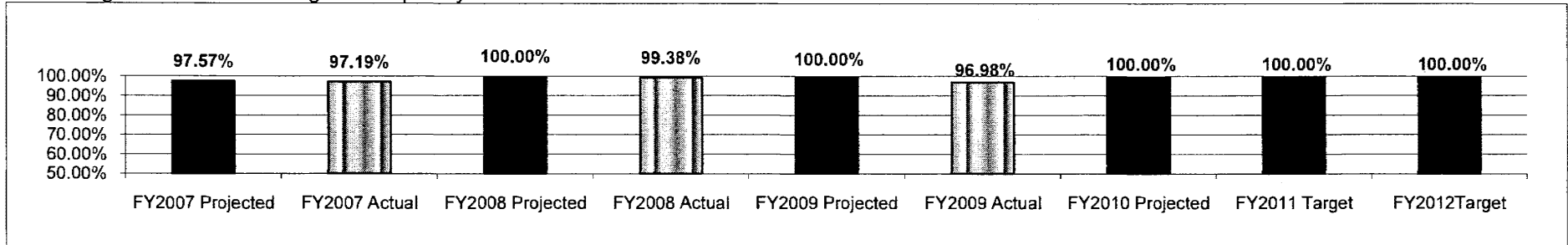
Department of Insurance, Financial Institutions and Professional Registration

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	304	297	327	297	319	241	319	571	571
Licensed Professionals	5,100	5,235	5,100	5,250	6,183	5,137	6,183	6,183	6,183

7d. Provide a customer satisfaction measure, if available.

Not available

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration
Division of Professional Registration
Implementation of SB1 (2009) DI# 1375002

Budget Unit 42640C, 42720C

1. AMOUNT OF REQUEST

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	232,802	232,802
EE	0	0	434,214	434,214
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	667,016	667,016
 FTE	 0.00	 0.00	 6.00	 6.00

Est. Fringe	<u>0</u>	<u>0</u>	<u>139,984</u>	<u>139,984</u>
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Professional Registration Fees Fund (0689)
Board of Embalmers & Funeral Director's Fund (0633)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
 FTE	 0.00	 0.00	 0.00	 0.00

Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

<input checked="" type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

SB1 (2009) increased enforcement authority for the board by authorizing the Board of Embalmers and Funeral Directors to conduct random investigations, examination of books and records, and audits of all preneed provider and sellers. Nationally, the preneed industry has experienced significant and sustained growth as consumers focus more attention on their final needs. In order to protect consumer investments, the Division of Professional Registration is requesting additional FTE in the PR Administration Core and corresponding E&E in the Board of Embalmers and Funeral Directors Core to conduct and review investigations, examinations and audits of preneed contracts and accounts.

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42640C, 42720C</u>
Division of Professional Registration	
Implementation of SB1 (2009)	DI# 1375002

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

After considering several scenarios, the board anticipates contract services will be utilized for the first year of implementation on SB1 to allow the board to promulgate any necessary rules; hire FTE and for FTE to receive ample training to assume the responsibilities of their positions. The following FTE will be necessary to implement the provisions of SB1.

- One (1) Accounting Specialist II FTE is needed to oversee Chapter 436 investigations, examination of books and records and audits; will be the main point of contact with the auditor and provide an analysis of the audit finding and compliance with board directives and Missouri law to the members of the board; and monitor 436 throughout the review/referral process.
- Two (2) Insurance Financial Analyst II FTEs are needed to conduct examination of books and records and audits; review and analyze operational and financial data obtained from providers and sellers and the outcomes of the examination of books and records and audits; assess compliance with statutory and regulatory requirements; recommend audit priorities; respond inquiries related to licensure laws or rules and regulations.
- One (1) Licensure Technician II FTE is needed to provide technical support, process applications for licensure, and respond to inquiries related to the licensure law and/or rules and regulations. An Investigator II FTE will conduct Chapter 436 investigations.
- One (1) Investigator II FTE is needed to conduct Chapter 436 investigations.
- One (1) Attorney FTE will assist the board in reviewing legal issues.

This legislation adds four board members to the State Board of Embalmers and Funeral Directors. With the addition of these members, the board will incur increased personal service and expense and equipment costs. The members of the board receive per diem an average of 23 days annually. Additionally, the board receives reimbursement of necessary expenses for an average of 4 meetings per year. The staffing request matches the department fiscal note except for the original legislative fiscal note only reflected total per diem and did not report mileage and hotel reimbursement expenditures. Those estimates have been included in the total estimates in #5 below.

The costs shown for E&E will be one-time expenditures as well as ongoing expenditures for the board. These estimates were based on our fiscal note and actual expenses currently being incurred by the board.

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit 42640C, 42720C				
Division of Professional Registration									
Implementation of SB1 (2009)					DI# 1375002				
5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.									
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100/000342/Accounting Specialist II					38,700	1.0	38,700	1.0	
100/007583/Insurance Financial Analyst II					71,904	2.0	71,904	2.0	
100/007868/Licensure Technician II					25,380	1.0	25,380	1.0	
100/009734/Legal Counsel					55,000	1.0	55,000	1.0	
100/005297/Investigator II					35,952	1.0	35,952	1.0	
100/009741/Board Member Per Diem					5,866	0.0	5,866	0.0	
Total PS	0	0.0	0	0.0	232,802	6.0	232,802	6.0	0
Computer/Telephone Expenses					20,320		20,320		20,320
Office Equipment/Office Supplies					28,356		28,356		26,556
Vehicles					28,500		28,500		28,500
Communication Expenses					9,900		9,900		
Professional Development					6,000		6,000		
Travel Expenses					10,464		10,464		
Board Meetings					14,504		14,504		
Printing and Postage					14,170		14,170		
Contract Services / Expert Witness Fees					302,000		302,000		290,000
Total EE	0		0		434,214		434,214		365,376
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	667,016	6.0	667,016	6.0	365,376

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration				Budget Unit <u>42640C, 42720C</u>					
Division of Professional Registration									
Implementation of SB1 (2009)				DI# 1375002					
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0		
							0		
							0		
Total EE	0		0		0		0		0
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration Budget Unit 42640C, 42720C
Division of Professional Registration
Implementation of SB1 (2009) DI# 1375002

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

Enforcement activities (Preneed Activity Only):

	Investigations	Examination of Books and Records	Audits
FY07	25	4	2
FY08	13	3	1
FY09	2	0	0
FY2010 Projected	34	4	3
FY2011 Projected	34	4	3
FY2012 Projected	34	4	3

6b. Provide an efficiency measure.

The board will monitor the length of time it takes to complete an investigation, examination of books and records, and audit.

6c. Provide the number of clients/individuals served, if applicable.

SB1 requires currently registered preneed seller and providers to be licensed by the board and mandates preneed agents to be registered by the board.

Fiscal Year	Preneed Sellers	Preneed Providers	Preneed Agents
FY07	348	616	0
FY08	342	608	0
FY09	347	611	0
FY2010 Projected	700	700	50
FY2011 Projected	700	700	70
FY2012 Projected	700	700	80

6d. Provide a customer satisfaction measure, if available.

N/A

NEW DECISION ITEM
RANK: 5 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42640C, 42720C</u>
Division of Professional Registration	
Implementation of SB1 (2009)	DI# 1375002

7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

1. Establish an unit with the state board to develop, conduct, monitor and analyze investigations, examination of books and records, and audits of preneed contracts and accounts.
2. The FTE will also serve as a resource for board members and staff reviewing the final documents and providing feedback to Missouri consumers.
3. This FTE will provide follow up and guidance to assist preneed sellers, providers and agents comply with the laws and rules and regulations related to preneed.

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD OF EMBALMERS & FUNERAL DIR								
Implementation of SB1 (2009) - 1375002								
TRAVEL, IN-STATE	0	0.00	0	0.00	24,968	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	0	0.00	6,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	0	0.00	9,900	0.00	0	0.00
PROFESSIONAL SERVICES	0	0.00	0	0.00	316,170	0.00	0	0.00
COMPUTER EQUIPMENT	0	0.00	0	0.00	20,320	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	0	0.00	28,500	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	0	0.00	28,356	0.00	0	0.00
TOTAL - EE	0	0.00	0	0.00	434,214	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$434,214	0.00	\$0	0.00
GENERAL REVENUE								
	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS								
	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS								
	\$0	0.00	\$0	0.00	\$434,214	0.00		0.00

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
BD OF REG FOR THE HEALING ART									
CORE									
PERSONAL SERVICES									
BOARD OF REG FOR HEALING ARTS	1,630,681	43.25	1,747,075	44.00	1,722,115	43.00	0	0.00	
TOTAL - PS	1,630,681	43.25	1,747,075	44.00	1,722,115	43.00	0	0.00	
EXPENSE & EQUIPMENT									
BOARD OF REG FOR HEALING ARTS	698,172	0.00	759,494	0.00	759,494	0.00	0	0.00	
TOTAL - EE	698,172	0.00	759,494	0.00	759,494	0.00	0	0.00	
TOTAL	2,328,853	43.25	2,506,569	44.00	2,481,609	43.00	0	0.00	
GRAND TOTAL	\$2,328,853	43.25	\$2,506,569	44.00	\$2,481,609	43.00	\$0	0.00	

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CORE DECISION ITEM

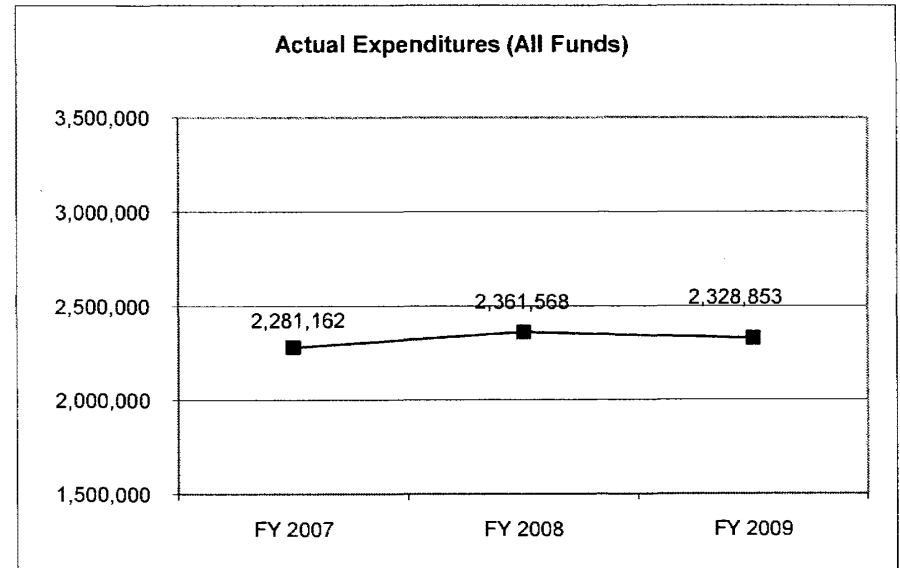
Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42730C</u>				
Professional Registration									
Core - State Board of Registration for the Healing Arts									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	1,722,115	1,722,115	PS	0	0	0	0
EE	0	0	759,494	759,494	EE	0	0	0	0
PSD	0	0		0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	2,481,609	2,481,609	Total	0	0	0	0
<hr/>					<hr/>				
FTE	0.00	0.00	43.00	43.00	FTE	0.00	0.00	0.00	0.00
<hr/>					<hr/>				
Est. Fringe	0	0	1,035,508	1,035,508	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds: Board of Registration for the Healing Arts Fund (0634)					Other Funds:				
2. CORE DESCRIPTION									
<p>The core program request is necessary to ensure the continued high quality of service provided by physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiology assistants licensed in Missouri.</p> <p>Core Reallocation The FTE count and personal service appropriation for the Healing Arts Core has decreased due to a reallocation of one FTE and \$24,960 in personal service to the Professional Registration Administration Core. The reallocation is necessary to assist PR administration with the current workload of providing services to the various boards within this core. PR administration continues to see an increase in the number of phone calls, correspondence and license applications received. The Board of Healing Arts FTE was an Office Support Assistant whose duties have been distributed to other board staff.</p>									
3. PROGRAM LISTING (list programs included in this core funding)									
State Board of Registration for the Healing Arts									

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42730C
Professional Registration
Core - State Board of Registration for the Healing Arts

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	2,461,803	2,512,572	2,569,569	2,506,569
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	2,461,803	2,512,572	2,569,569	N/A
Actual Expenditures (All Funds)	2,281,162	2,361,568	2,328,853	N/A
Unexpended (All Funds)	180,641	151,004	240,716	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	180,641	151,004	240,716	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses.
- (2) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses.
- (3) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses.

CORE RECONCILIATION DETAIL

DIFP

BD OF REG FOR THE HEALING ART

5. CORE RECONCILIATION DETAIL

				Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES										
				PS	44.00	0	0	1,747,075	1,747,075	
				EE	0.00	0	0	759,494	759,494	
				Total	44.00	0	0	2,506,569	2,506,569	
DEPARTMENT CORE ADJUSTMENTS										
Core Reallocation	576	3673		PS	(1.00)	0	0	(24,960)	(24,960)	FTE / PS approp reallocation to PR Admin
NET DEPARTMENT CHANGES					(1.00)	0	0	(24,960)	(24,960)	
DEPARTMENT CORE REQUEST										
				PS	43.00	0	0	1,722,115	1,722,115	
				EE	0.00	0	0	759,494	759,494	
				Total	43.00	0	0	2,481,609	2,481,609	
GOVERNOR'S RECOMMENDED CORE										
				PS	43.00	0	0	1,722,115	1,722,115	
				EE	0.00	0	0	759,494	759,494	
				Total	43.00	0	0	2,481,609	2,481,609	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD OF REG FOR THE HEALING ART								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	85,179	2.96	94,887	3.00	89,887	3.00	0	0.00
OFFICE SUPPORT ASST (STENO)	50,137	2.07	50,437	2.00	49,437	2.00	0	0.00
SR OFC SUPPORT ASST (STENO)	28,022	1.00	28,834	1.00	28,834	1.00	0	0.00
OFFICE SUPPORT ASST (KEYBRD)	131,908	5.87	151,349	7.00	126,389	6.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	24,248	1.00	25,796	1.00	25,796	1.00	0	0.00
INFORMATION SUPPORT COOR	27,626	1.00	29,525	1.00	28,895	1.00	0	0.00
ACCOUNT CLERK II	12,884	0.50	13,500	0.50	13,500	0.50	0	0.00
MEDICAL CNSLT	168,258	1.50	226,510	2.00	219,708	2.00	0	0.00
MEDICAL DIR	124,788	1.00	129,830	1.00	126,830	1.00	0	0.00
INVESTIGATOR II	531,387	14.00	515,861	14.00	531,903	14.00	0	0.00
INVESTIGATOR III	45,928	1.00	47,174	1.00	47,174	1.00	0	0.00
PROF REG LIC TECH I	51,771	2.29	59,450	2.50	59,450	2.50	0	0.00
PROF REG LIC TECH II	50,279	2.01	56,438	2.00	56,438	2.00	0	0.00
PROF REG LICENSING/CERT SUPV	32,816	1.00	34,239	1.00	34,239	1.00	0	0.00
PROF REG ADMSTV COOR	37,922	1.00	38,654	1.00	38,654	1.00	0	0.00
INVESTIGATION MGR B1	54,170	1.00	53,846	1.00	54,236	1.00	0	0.00
PARALEGAL	26,786	0.92	30,093	1.00	30,093	1.00	0	0.00
LEGAL COUNSEL	55,100	1.00	57,559	1.00	57,559	1.00	0	0.00
BOARD MEMBER	13,100	1.01	16,970	0.00	16,970	0.00	0	0.00
CLERK	2,182	0.12	9,835	0.00	9,835	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	76,190	1.00	76,288	1.00	76,288	1.00	0	0.00
TOTAL - PS	1,630,681	43.25	1,747,075	44.00	1,722,115	43.00	0	0.00
TRAVEL, IN-STATE	25,431	0.00	35,000	0.00	28,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	6,082	0.00	8,245	0.00	8,245	0.00	0	0.00
SUPPLIES	74,891	0.00	87,500	0.00	84,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	8,861	0.00	13,500	0.00	12,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	33,819	0.00	49,500	0.00	47,500	0.00	0	0.00
PROFESSIONAL SERVICES	475,293	0.00	508,094	0.00	508,094	0.00	0	0.00
M&R SERVICES	14,869	0.00	17,500	0.00	17,500	0.00	0	0.00
MOTORIZED EQUIPMENT	49,026	0.00	20,000	0.00	35,000	0.00	0	0.00
OFFICE EQUIPMENT	937	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	3,020	0.00	4,592	0.00	4,000	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BD OF REG FOR THE HEALING ART								
CORE								
EQUIPMENT RENTALS & LEASES	1,680	0.00	3,953	0.00	3,850	0.00	0	0.00
MISCELLANEOUS EXPENSES	4,263	0.00	10,610	0.00	9,305	0.00	0	0.00
TOTAL - EE	698,172	0.00	759,494	0.00	759,494	0.00	0	0.00
GRAND TOTAL	\$2,328,853	43.25	\$2,506,569	44.00	\$2,481,609	43.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$2,328,853	43.25	\$2,506,569	44.00	\$2,481,609	43.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

1. What does this program do?

The State Board of Registration for the Healing Arts was established to protect the interests of the citizens of the State of Missouri by licensing and regulating physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiologist assistants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

324.125-324.183, 334.002-334.749, and 345.010-345.080 RSMo

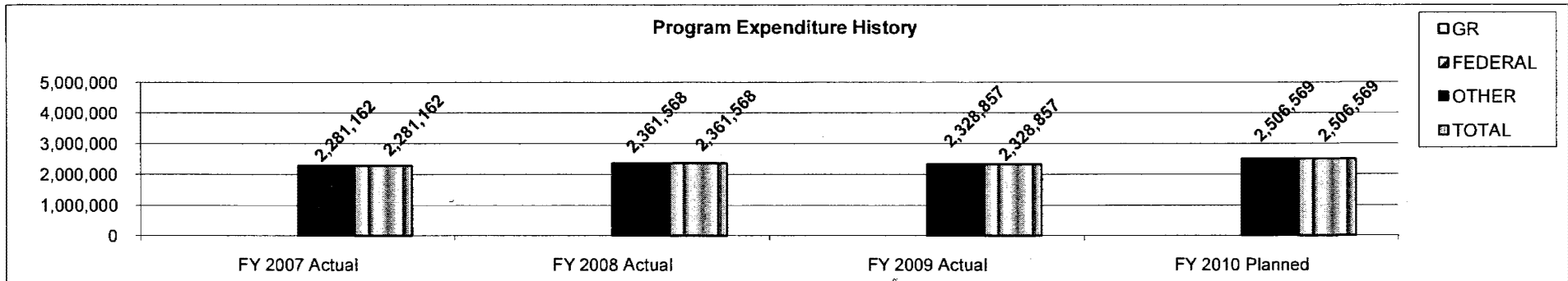
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Registration for the Healing Arts (0634)

PROGRAM DESCRIPTION

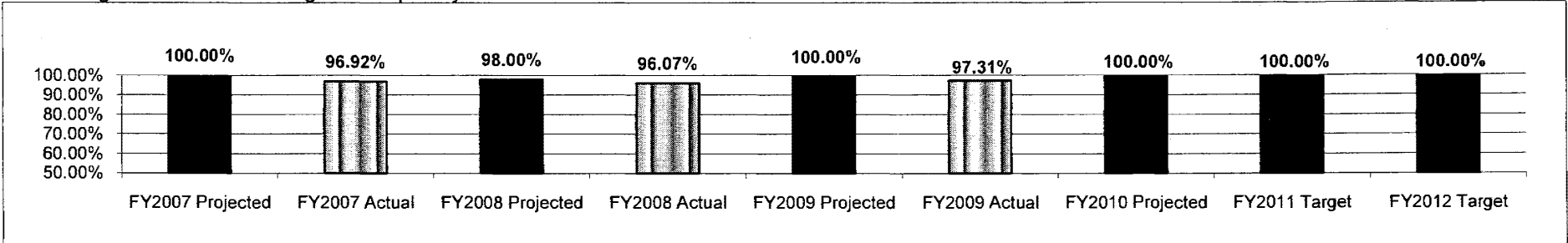
Department of Insurance, Financial Institutions and Professional Registration

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	3,510	3,402	3,510	3,652	3,639	3,683	3,639	3,639	3,639
Licensed Professionals	34,604	35,432	35,500	36,053	34,472	37,516	37,516	37,516	37,516

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF NURSING								
CORE								
PERSONAL SERVICES								
BOARD OF NURSING	963,555	27.81	1,035,738	28.00	1,035,738	28.00	0	0.00
TOTAL - PS	963,555	27.81	1,035,738	28.00	1,035,738	28.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF NURSING	627,846	0.00	752,496	0.00	752,496	0.00	0	0.00
TOTAL - EE	627,846	0.00	752,496	0.00	752,496	0.00	0	0.00
TOTAL	1,591,401	27.81	1,788,234	28.00	1,788,234	28.00	0	0.00
Increased Personal Service - 1375003								
PERSONAL SERVICES								
BOARD OF NURSING	0	0.00	0	0.00	150,000	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	150,000	0.00	0	0.00
TOTAL	0	0.00	0	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$1,591,401	27.81	\$1,788,234	28.00	\$1,938,234	28.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42740C
Professional Registration
Core - State Board of Nursing

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	1,035,738	1,035,738
EE	0	0	752,496	752,496
PSD	0	0		0
TRF	0	0	0	0
Total	0	0	1,788,234	1,788,234
 FTE	 0.00	 0.00	 28.00	 28.00

Est. Fringe	0	0	622,789	622,789
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Nursing Fund (0635)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
 FTE	 0.00	 0.00	 0.00	 0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by registered professional nurses and practical nurses licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

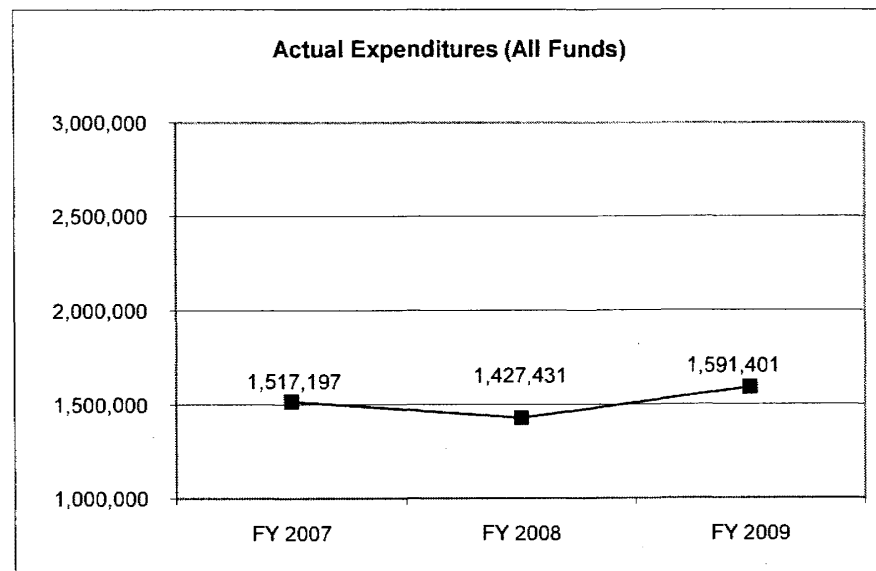
State Board of Nursing

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42740C
Professional Registration
Core - State Board of Nursing

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	1,903,757	1,933,045	2,013,341	1,788,234
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,903,757	1,933,045	2,013,341	N/A
Actual Expenditures (All Funds)	1,517,197	1,427,431	1,591,401	N/A
Unexpended (All Funds)	386,560	505,614	421,940	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	386,560	505,614	421,940	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount is due to staff turnover less than anticipated expenditures and investigative and legal expenses.
- (2) Unexpended amount is due to staff turnover less than anticipated expenditures and investigative and legal expenses.
- (3) Unexpended amount is due to staff turnover less than anticipated expenditures and investigative and legal expenses.

CORE RECONCILIATION DETAIL

DIFP
BOARD OF NURSING

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	28.00	0	0	1,035,738	1,035,738	
	EE	0.00	0	0	752,496	752,496	
	Total	28.00	0	0	1,788,234	1,788,234	
DEPARTMENT CORE REQUEST							
	PS	28.00	0	0	1,035,738	1,035,738	
	EE	0.00	0	0	752,496	752,496	
	Total	28.00	0	0	1,788,234	1,788,234	
GOVERNOR'S RECOMMENDED CORE							
	PS	28.00	0	0	1,035,738	1,035,738	
	EE	0.00	0	0	752,496	752,496	
	Total	28.00	0	0	1,788,234	1,788,234	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF NURSING								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	64,328	2.76	72,000	3.00	72,000	3.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	103,376	4.00	106,000	4.00	106,000	4.00	0	0.00
EXECUTIVE I	31,138	1.00	33,000	1.00	33,000	1.00	0	0.00
REGISTERED NURSE VI	169,754	3.00	175,000	3.00	175,000	3.00	0	0.00
INVESTIGATOR II	148,308	4.00	150,000	4.00	150,000	4.00	0	0.00
INVESTIGATOR III	49,045	1.00	55,000	1.00	55,000	1.00	0	0.00
PROF REG LIC TECH I	100,876	4.48	115,000	5.00	115,000	5.00	0	0.00
PROF REG LIC TECH II	25,283	1.00	27,000	1.00	27,000	1.00	0	0.00
PROF REG LICENSING/CERT SUPV	41,300	1.29	33,000	1.00	33,000	1.00	0	0.00
PROF REG ADMSTV COOR	28,484	0.71	40,500	1.00	40,500	1.00	0	0.00
PARALEGAL	31,140	1.00	32,500	1.00	32,500	1.00	0	0.00
LEGAL COUNSEL	87,350	1.67	106,597	2.00	106,597	2.00	0	0.00
BOARD MEMBER	9,270	0.71	18,000	0.00	18,000	0.00	0	0.00
CLERK	4,041	0.19	0	0.00	0	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	69,862	1.00	72,141	1.00	72,141	1.00	0	0.00
TOTAL - PS	963,555	27.81	1,035,738	28.00	1,035,738	28.00	0	0.00
TRAVEL, IN-STATE	24,950	0.00	40,000	0.00	40,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	8,731	0.00	22,000	0.00	22,000	0.00	0	0.00
SUPPLIES	82,023	0.00	150,000	0.00	136,496	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	46,247	0.00	30,000	0.00	45,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	15,797	0.00	20,000	0.00	20,000	0.00	0	0.00
PROFESSIONAL SERVICES	409,176	0.00	455,486	0.00	454,990	0.00	0	0.00
M&R SERVICES	3,733	0.00	5,000	0.00	5,000	0.00	0	0.00
OFFICE EQUIPMENT	24,747	0.00	10,000	0.00	10,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	10	0.00	10	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	6,000	0.00	5,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	2,308	0.00	5,000	0.00	5,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	2,993	0.00	2,000	0.00	2,000	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF NURSING								
CORE								
MISCELLANEOUS EXPENSES	7,141	0.00	7,000	0.00	7,000	0.00	0	0.00
TOTAL - EE	627,846	0.00	752,496	0.00	752,496	0.00	0	0.00
GRAND TOTAL	\$1,591,401	27.81	\$1,788,234	28.00	\$1,788,234	28.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,591,401	27.81	\$1,788,234	28.00	\$1,788,234	28.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

1. What does this program do?

The State Board of Nursing was established to protect the interests of the citizens of the State of Missouri by licensing and regulating registered professional nurses and licensed practical nurses in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

335.011-335.257 RSMo

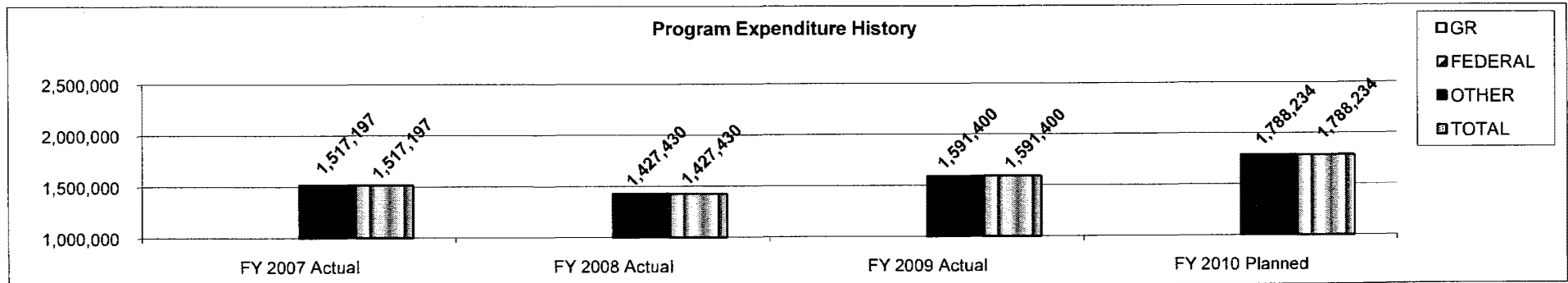
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Nursing Fund (0635)

PROGRAM DESCRIPTION

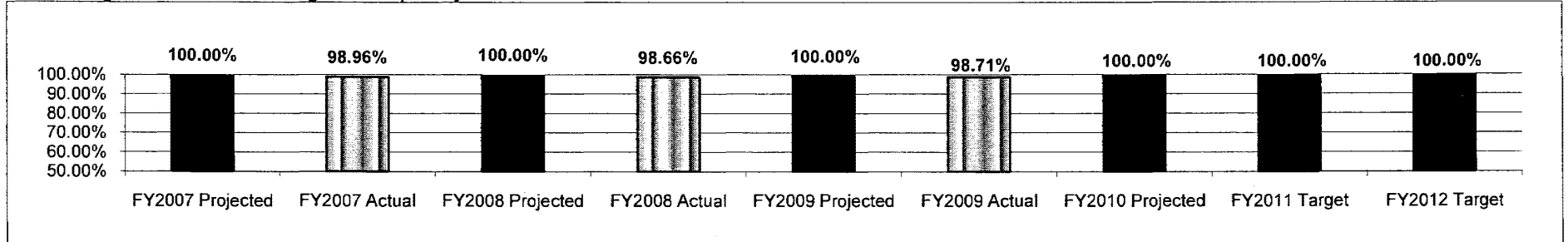
Department of Insurance, Financial Institutions and Professional Registration

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	7,478	8,527	8,500	8,305	6,750	8,632	8,200	8,300	8,400
Licensed Professionals	106,646	110,013	110,000	115,960	115,000	117,481	110,000	111,000	112,000

7d. Provide a customer satisfaction measure, if available.

Not available

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42740C</u>
Division of Professional Registration	
Nursing Increased Personal Service Request	DI# 1375003

1. AMOUNT OF REQUEST

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	150,000	150,000
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	<u>0</u>	<u>0</u>	<u>150,000</u>	<u>150,000</u>
FTE	0.00	0.00	0.00	0.00

Est. Fringe	<u>0</u>	<u>0</u>	<u>90,195</u>	<u>90,195</u>
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Nursing Fund (0635)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
FTE	0.00	0.00	0.00	0.00

Est. Fringe	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. THIS REQUEST CAN BE CATEGORIZED AS:

<input type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch
<input type="checkbox"/> Federal Mandate	<input checked="" type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue
<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement
<input type="checkbox"/> Pay Plan	<input type="checkbox"/> Other: _____	

3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Missouri State Board of Nursing is responsible for regulating over 116,000 Registered Nurses, Licensed Practical Nurses and Advanced Practice Registered Nurses, and 107 nursing programs. The Board receives about 1,900 complaints per year. In order to effectively protect the public, the board investigates these complaints to ensure that no violations of the nursing practice act has occurred and to take disciplinary action when appropriate. The board needs to continue to have the ability to meet the demands of timely investigations and closure of cases. The board is requesting additional personal service appropriation of \$150,000 (no FTE) to accomplish this.

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42740C</u>
Division of Professional Registration	
Nursing Increased Personal Service Request	DI# 1375003

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

During the last several fiscal years, the board has reclassified several clerical positions to professional positions to focus on increasing the number of cases closed and decreasing the average number of days required to close a case. All these changes were made with no increase in FTE or appropriation. Consequently, in order to accommodate the board's future plans for additional reclassifications of clerical to professional positions for timely resolution of cases, the board's personal service needs to be increased. The board's projected personal service balance is approximately \$4,000 for FY2010.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
100 / Personal Service					150,000	0.0	150,000	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	150,000	0.0	150,000	0.0	0
							0		
							0		
							0		
							0		
Total EE	0		0		0		0		0
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	150,000	0.0	150,000	0.0	0

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions & Professional Registration					Budget Unit		42740C		
Division of Professional Registration									
Nursing Increased Personal Service Request				DI# 1375003					
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	
							0	0.0	
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0		
							0		
							0		
Total EE	0		0		0		0		0
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit <u>42740C</u>
Division of Professional Registration	
Nursing Increased Personal Service Request	DI# 1375003

6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

6a. Provide an effectiveness measure.

N/A

6b. Provide an efficiency measure.

Average Number of Days for case open to close

Fiscal Year	Average Days
FY2005	Unknown Actual
FY2006	329 Actual
FY2007	284 Actual
FY2008	276 Actual
FY2009	230 Actual
FY2010	220 Projected
FY2011	215 Projected

6c. Provide the number of clients/individuals served, if applicable.

Number of Cases

FY2005	816	Actual
FY2006	1120	Actual
FY2007	1562	Actual
FY2008	1605	Actual
FY2009	1657	Actual
FY2010	1675	Projected
FY2011	1675	Projected

6d. Provide a customer satisfaction measure, if available.

N/A

NEW DECISION ITEM
RANK: 7 OF 7

Department of Insurance, Financial Institutions & Professional Registration	Budget Unit	<u>42740C</u>
Division of Professional Registration		
Nursing Increased Personal Service Request	DI#	<u>1375003</u>
7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:		
<p>Continue to assign cases in house.</p> <p>Goal is to have cases closed within 180 days.</p>		

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF NURSING								
Increased Personal Service - 1375003								
OTHER	0	0.00	0	0.00	150,000	0.00	0	0.00
TOTAL - PS	0	0.00	0	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$150,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$150,000	0.00		0.00

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF OPTOMETRY								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF OPTOMETRY	25,809	0.00	42,043	0.00	42,043	0.00	0	0.00
TOTAL - EE	25,809	0.00	42,043	0.00	42,043	0.00	0	0.00
TOTAL	25,809	0.00	42,043	0.00	42,043	0.00	0	0.00
GRAND TOTAL	\$25,809	0.00	\$42,043	0.00	\$42,043	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42750C

Professional Registration

Core - State Board of Optometry

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	42,043	42,043
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	42,043	42,043
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Optometry Fund (0636)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by optometrists licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

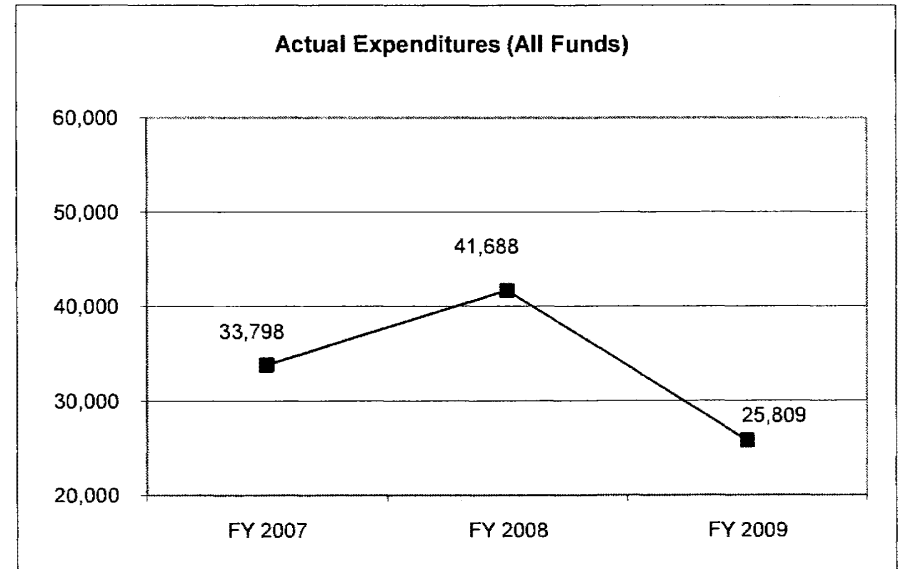
State Board of Optometry

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42750C
Professional Registration
Core - State Board of Optometry

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	42,043	42,043	42,043	42,043
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	42,043	42,043	42,043	N/A
Actual Expenditures (All Funds)	33,798	41,688	25,809	N/A
Unexpended (All Funds)	8,245	355	16,234	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	8,245	355	16,234	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures
- (2) Unexpended amount is due to less than anticipated expenditures
- (3) Unexpended amount is due to less than anticipated expenditures

CORE RECONCILIATION DETAIL

DIFP

BOARD OF OPTOMETRY

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	42,043	42,043	
	Total	0.00	0	0	42,043	42,043	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	42,043	42,043	
	Total	0.00	0	0	42,043	42,043	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	42,043	42,043	
	Total	0.00	0	0	42,043	42,043	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF OPTOMETRY								
CORE								
TRAVEL, IN-STATE	6,747	0.00	8,154	0.00	8,154	0.00	0	0.00
TRAVEL, OUT-OF-STATE	3,411	0.00	4,500	0.00	4,000	0.00	0	0.00
SUPPLIES	4,930	0.00	4,500	0.00	4,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,023	0.00	2,600	0.00	2,700	0.00	0	0.00
COMMUNICATION SERV & SUPP	788	0.00	789	0.00	789	0.00	0	0.00
PROFESSIONAL SERVICES	6,345	0.00	18,000	0.00	18,500	0.00	0	0.00
M&R SERVICES	326	0.00	800	0.00	800	0.00	0	0.00
OFFICE EQUIPMENT	262	0.00	500	0.00	400	0.00	0	0.00
BUILDING LEASE PAYMENTS	861	0.00	900	0.00	900	0.00	0	0.00
MISCELLANEOUS EXPENSES	1,116	0.00	1,300	0.00	1,300	0.00	0	0.00
TOTAL - EE	25,809	0.00	42,043	0.00	42,043	0.00	0	0.00
GRAND TOTAL	\$25,809	0.00	\$42,043	0.00	\$42,043	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$25,809	0.00	\$42,043	0.00	\$42,043	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

	Optometry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	42,043	55,562	97,605
TOTAL	42,043	55,562	97,605

1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

336.010-336.225 RSMo

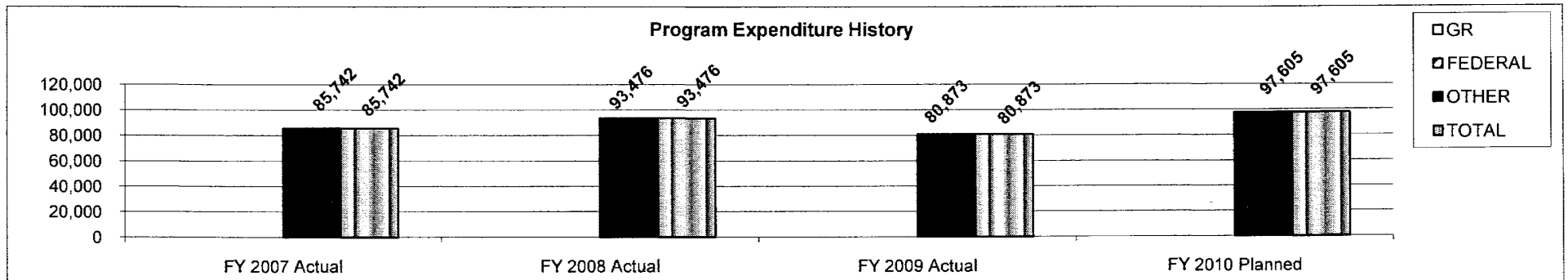
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

PROGRAM DESCRIPTION

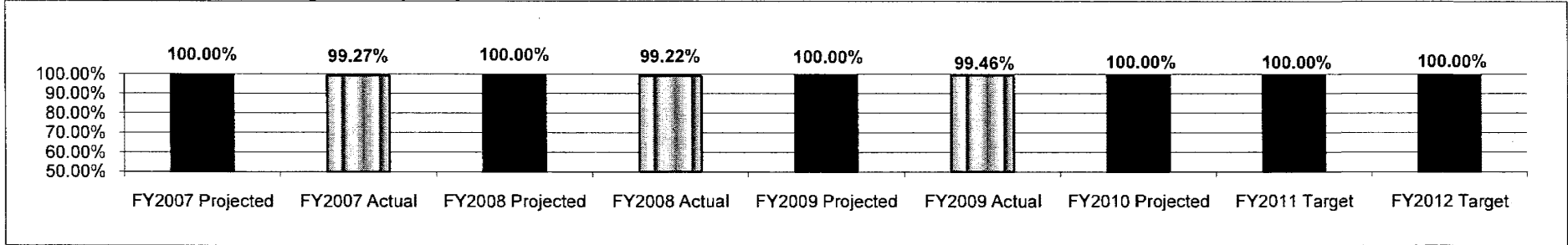
Department of Insurance, Financial Institutions and Professional Registration

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	70	56	68	139	66	143	51	50	50
Licensed Professionals	1,232	1,225	1,300	1,287	1,311	1,287	1,271	1,311	1,352

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PHARMACY								
CORE								
PERSONAL SERVICES								
BOARD OF PHARMACY	853,472	14.74	940,068	14.00	940,068	14.00	0	0.00
TOTAL - PS	853,472	14.74	940,068	14.00	940,068	14.00	0	0.00
EXPENSE & EQUIPMENT								
BOARD OF PHARMACY	348,443	0.00	657,948	0.00	657,948	0.00	0	0.00
TOTAL - EE	348,443	0.00	657,948	0.00	657,948	0.00	0	0.00
PROGRAM-SPECIFIC								
BOARD OF PHARMACY	26,057	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL - PD	26,057	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL	1,227,972	14.74	1,618,016	14.00	1,618,016	14.00	0	0.00
GRAND TOTAL	\$1,227,972	14.74	\$1,618,016	14.00	\$1,618,016	14.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration	Budget Unit <u>42760C</u>
Professional Registration	
Core - Missouri Board of Pharmacy	

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	940,068	940,068
EE	0	0	672,948	672,948
PSD	0	0	5,000	5,000 E
TRF	0	0	0	0
Total	0	0	1,618,016	1,618,016
 FTE	 0.00	 0.00	 14.00	 14.00

Est. Fringe	0	0	565,263	565,263
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Pharmacy Fund (0637)
 Notes: Expense and Equipment includes \$5,000 E for criminal history checks.

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
 FTE	 0.00	 0.00	 0.00	 0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

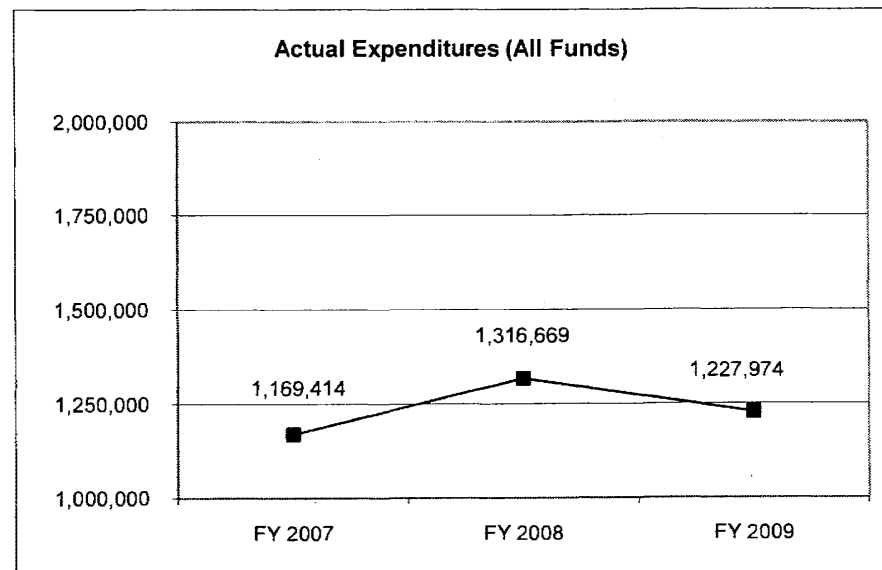
Missouri Board of Pharmacy

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42760C
Professional Registration
Core - Missouri Board of Pharmacy

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	1,539,453	1,566,035	1,763,016	1,618,016
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,539,453	1,566,035	1,763,016	N/A
Actual Expenditures (All Funds)	1,169,414	1,316,669	1,227,974	N/A
Unexpended (All Funds)	370,039	249,366	535,042	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	370,039	249,366	535,042	N/A
	(1)	(2)	(3)	(4)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses
- (2) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses
- (3) Unexpended amount due to staff turnover and less than anticipated expenditures and investigative legal expenses
- (4) Includes an estimated appropriation of \$5,000 E for criminal history checks

CORE RECONCILIATION DETAIL

DIFP

BOARD OF PHARMACY

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	14.00	0	0	940,068	940,068	
	EE	0.00	0	0	657,948	657,948	
	PD	0.00	0	0	20,000	20,000	
	Total	14.00	0	0	1,618,016	1,618,016	
DEPARTMENT CORE REQUEST							
	PS	14.00	0	0	940,068	940,068	
	EE	0.00	0	0	657,948	657,948	
	PD	0.00	0	0	20,000	20,000	
	Total	14.00	0	0	1,618,016	1,618,016	
GOVERNOR'S RECOMMENDED CORE							
	PS	14.00	0	0	940,068	940,068	
	EE	0.00	0	0	657,948	657,948	
	PD	0.00	0	0	20,000	20,000	
	Total	14.00	0	0	1,618,016	1,618,016	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PHARMACY								
CORE								
EXECUTIVE I	35,471	1.00	38,701	1.00	38,701	1.00	0	0.00
PHARMACEUTICAL CNSLT	653,512	8.00	692,861	8.00	692,861	8.00	0	0.00
PROF REG LIC TECH I	45,726	1.96	49,773	2.00	49,773	2.00	0	0.00
PROF REG LIC TECH II	51,118	2.00	55,573	2.00	55,573	2.00	0	0.00
BOARD MEMBER	5,769	0.44	26,877	0.00	26,877	0.00	0	0.00
CLERK	13,375	0.63	0	0.00	0	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	48,501	0.71	76,283	1.00	76,283	1.00	0	0.00
TOTAL - PS	853,472	14.74	940,068	14.00	940,068	14.00	0	0.00
TRAVEL, IN-STATE	19,229	0.00	40,000	0.00	40,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	13,973	0.00	20,000	0.00	20,000	0.00	0	0.00
SUPPLIES	53,442	0.00	55,000	0.00	58,878	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	7,980	0.00	12,000	0.00	12,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	13,991	0.00	15,000	0.00	15,000	0.00	0	0.00
PROFESSIONAL SERVICES	204,178	0.00	485,348	0.00	465,348	0.00	0	0.00
M&R SERVICES	9,675	0.00	12,000	0.00	12,000	0.00	0	0.00
MOTORIZED EQUIPMENT	14,764	0.00	0	0.00	16,122	0.00	0	0.00
OFFICE EQUIPMENT	270	0.00	2,000	0.00	2,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	750	0.00	1,100	0.00	1,100	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	500	0.00	500	0.00	0	0.00
MISCELLANEOUS EXPENSES	10,191	0.00	14,000	0.00	14,000	0.00	0	0.00
TOTAL - EE	348,443	0.00	657,948	0.00	657,948	0.00	0	0.00
PROGRAM DISTRIBUTIONS	26,057	0.00	20,000	0.00	20,000	0.00	0	0.00
TOTAL - PD	26,057	0.00	20,000	0.00	20,000	0.00	0	0.00
GRAND TOTAL	\$1,227,972	14.74	\$1,618,016	14.00	\$1,618,016	14.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,227,972	14.74	\$1,618,016	14.00	\$1,618,016	14.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

1. What does this program do?

The Missouri Board of Pharmacy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

338.010-338.550 RSMo

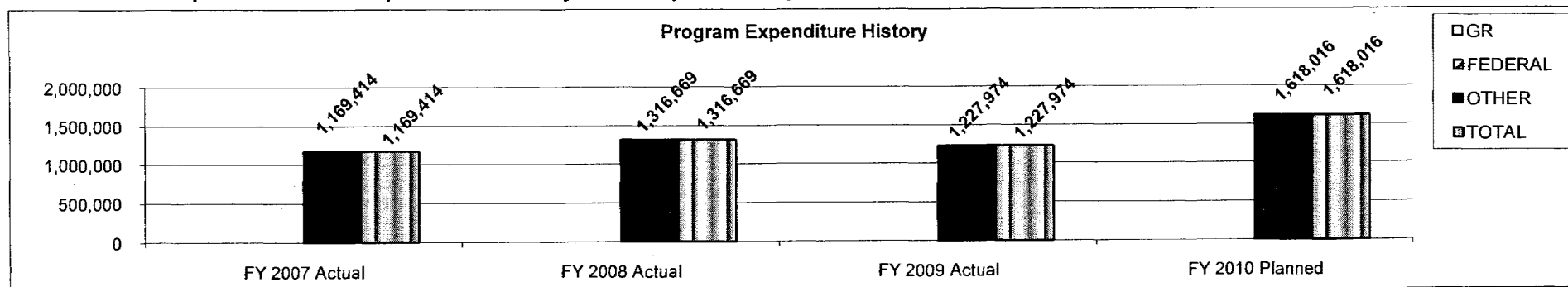
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Board of Pharmacy Fund (0637)

PROGRAM DESCRIPTION

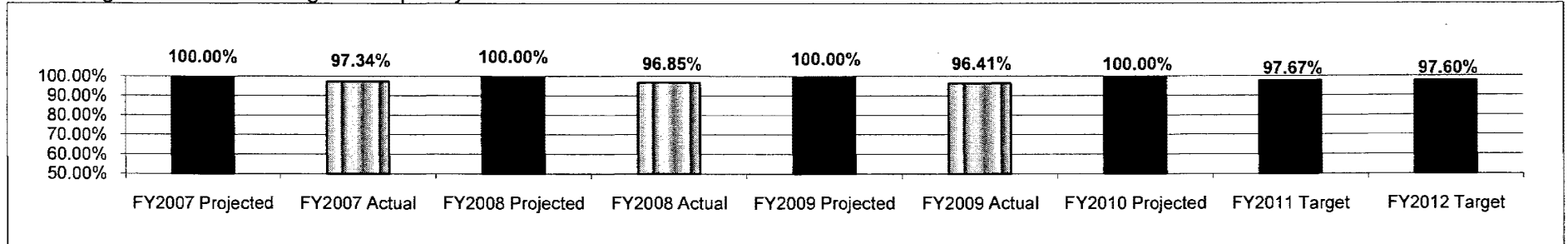
Department of Insurance, Financial Institutions and Professional Registration

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	6,744	6,305	6,381	6,071	6,145	6,212	6,275	6,310	6,335
Licensed Professionals	27,982	27,178	29,982	29,082	29,842	29,206	29,242	29,382	29,542

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PODIATRIC MEDICINE								
CORE								
EXPENSE & EQUIPMENT								
BOARD OF PODIATRIC MEDICINE	12,429	0.00	20,669	0.00	20,669	0.00	0	0.00
TOTAL - EE	12,429	0.00	20,669	0.00	20,669	0.00	0	0.00
TOTAL	12,429	0.00	20,669	0.00	20,669	0.00	0	0.00
GRAND TOTAL	\$12,429	0.00	\$20,669	0.00	\$20,669	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42770C
Professional Registration
Core - State Board of Podiatric Medicine

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	20,669	20,669
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	20,669	20,669
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Podiatric Medicine Fund (0629)

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by podiatrists licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

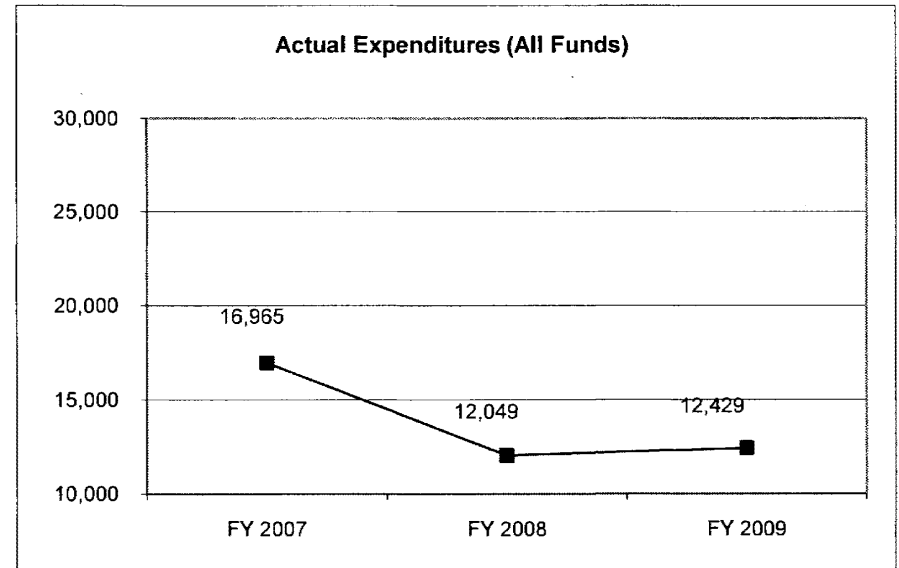
State Board of Podiatric Medicine

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42770C
Professional Registration
Core - State Board of Podiatric Medicine

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	20,669	20,669	20,669	20,669
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	20,669	20,669	20,669	N/A
Actual Expenditures (All Funds)	16,965	12,049	12,429	N/A
Unexpended (All Funds)	3,704	8,620	8,240	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	3,704	8,620	8,240	N/A
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to less than anticipated expenditures
- (2) Unexpended amount due to less than anticipated expenditures
- (3) Unexpended amount due to less than anticipated expenditures

CORE RECONCILIATION DETAIL

DIFP**BOARD OF PODIATRIC MEDICINE**

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	20,669	20,669	
	Total	0.00	0	0	20,669	20,669	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	20,669	20,669	
	Total	0.00	0	0	20,669	20,669	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	20,669	20,669	
	Total	0.00	0	0	20,669	20,669	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BOARD OF PODIATRIC MEDICINE								
CORE								
TRAVEL, IN-STATE	2,872	0.00	4,000	0.00	4,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,489	0.00	3,000	0.00	3,000	0.00	0	0.00
SUPPLIES	1,026	0.00	2,000	0.00	2,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,676	0.00	3,000	0.00	3,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	716	0.00	600	0.00	720	0.00	0	0.00
PROFESSIONAL SERVICES	4,230	0.00	5,000	0.00	5,499	0.00	0	0.00
M&R SERVICES	296	0.00	150	0.00	300	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	769	0.00	0	0.00	0	0.00
MISCELLANEOUS EXPENSES	124	0.00	150	0.00	150	0.00	0	0.00
TOTAL - EE	12,429	0.00	20,669	0.00	20,669	0.00	0	0.00
GRAND TOTAL	\$12,429	0.00	\$20,669	0.00	\$20,669	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$12,429	0.00	\$20,669	0.00	\$20,669	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

	Podiatry	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	20,669	26,920	47,589
TOTAL	20,669	26,920	47,589

1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

330.010-330.210 RSMo

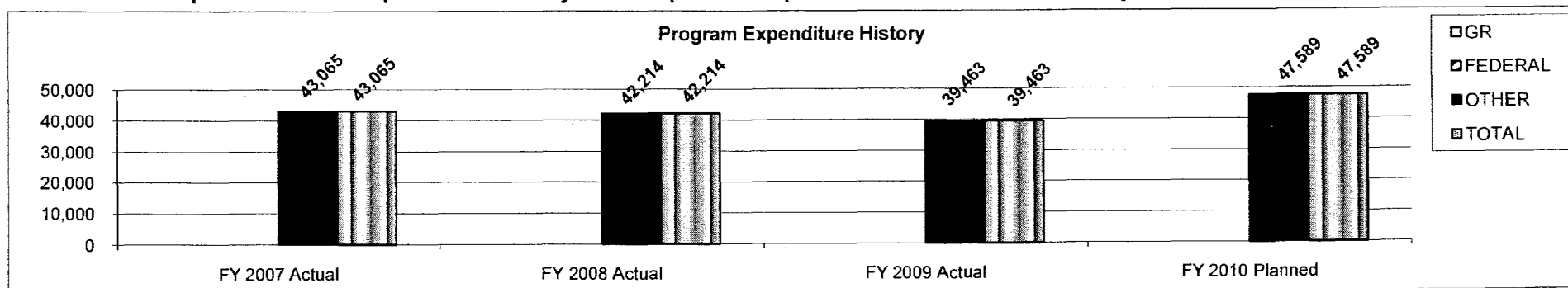
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other" funds?

State Board of Podiatric Medicine (0629)

PROGRAM DESCRIPTION

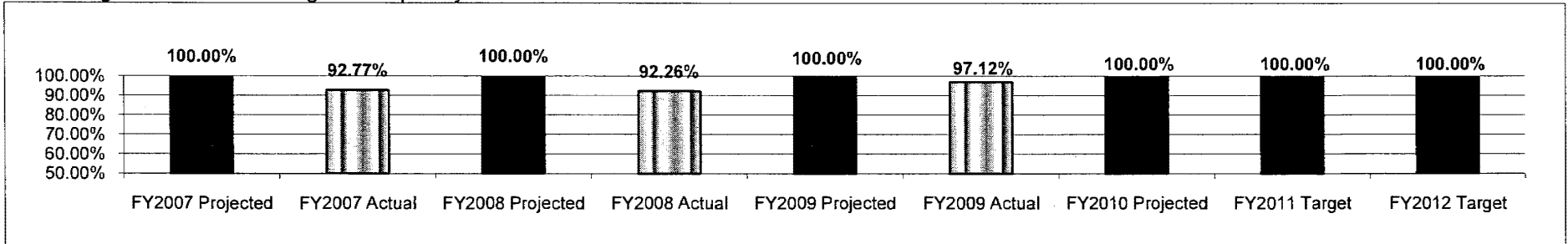
Department of Insurance, Financial Institutions and Professional Registration

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Beginning with the FY2010 budget, the board does not include permanent revocations, public letters of censure, or compliant HB 600 violations.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	28	26	21	17	19	28	21	21	21
Licensed Professionals	300	318	300	323	315	313	338	338	338

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO REAL ESTATE COMMISSION								
CORE								
PERSONAL SERVICES								
MO REAL ESTATE COMMISSION	700,412	20.71	897,447	25.00	897,447	25.00	0	0.00
TOTAL - PS	700,412	20.71	897,447	25.00	897,447	25.00	0	0.00
EXPENSE & EQUIPMENT								
MO REAL ESTATE COMMISSION	200,305	0.00	317,544	0.00	317,544	0.00	0	0.00
TOTAL - EE	200,305	0.00	317,544	0.00	317,544	0.00	0	0.00
TOTAL	900,717	20.71	1,214,991	25.00	1,214,991	25.00	0	0.00
GRAND TOTAL	\$900,717	20.71	\$1,214,991	25.00	\$1,214,991	25.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit <u>42780C</u>
Professional Registration	
Core - Missouri Real Estate Commission	

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	897,447	897,447
EE	0	0	317,544	317,544 E
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	1,214,991	1,214,991
FTE	0.00	0.00	25.00	25.00

Est. Fringe	0	0	539,635	539,635
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Missouri Real Estate Commission Fund (0638)
 Notes: Expense and Equipment includes \$30,000 E for criminal history checks

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by real estate brokers and salesperson licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

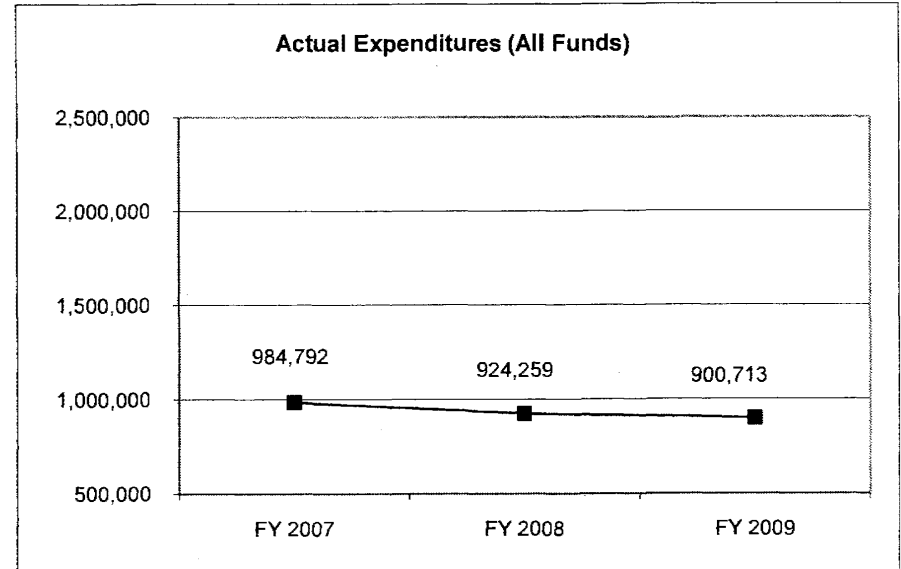
Missouri Real Estate Commission

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42780C
Professional Registration
Core - Missouri Real Estate Commission

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	1,187,039	1,213,124	1,239,991	1,214,991
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1,187,039	1,213,124	1,239,991	N/A
Actual Expenditures (All Funds)	984,792	924,259	900,713	N/A
Unexpended (All Funds)	202,247	288,865	339,278	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	202,247	288,865	339,278	N/A
	(1)	(2)	(3)	(4)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures
- (2) Unexpended amount due to staff turnover
- (3) Unexpended amount due to staff turnover
- (4) Includes an estimated appropriation of \$30,000 E for criminal history background checks

CORE RECONCILIATION DETAIL

DIFP

MO REAL ESTATE COMMISSION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	PS	25.00	0	0	897,447	897,447	
	EE	0.00	0	0	317,544	317,544	
	Total	25.00	0	0	1,214,991	1,214,991	
DEPARTMENT CORE REQUEST							
	PS	25.00	0	0	897,447	897,447	
	EE	0.00	0	0	317,544	317,544	
	Total	25.00	0	0	1,214,991	1,214,991	
GOVERNOR'S RECOMMENDED CORE							
	PS	25.00	0	0	897,447	897,447	
	EE	0.00	0	0	317,544	317,544	
	Total	25.00	0	0	1,214,991	1,214,991	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO REAL ESTATE COMMISSION								
CORE								
OFFICE SUPPORT ASST (KEYBRD)	24,139	1.00	25,000	1.00	25,000	1.00	0	0.00
SR OFC SUPPORT ASST (KEYBRD)	28,490	1.00	30,000	1.00	30,000	1.00	0	0.00
ACCOUNT CLERK II	24,930	1.00	27,500	1.00	27,500	1.00	0	0.00
EXECUTIVE I	39,420	1.00	17,000	1.00	17,000	1.00	0	0.00
INVESTIGATOR II	78,840	2.00	120,000	3.00	120,000	3.00	0	0.00
INVESTIGATOR III	43,292	1.00	45,000	1.00	45,000	1.00	0	0.00
PROF REG LIC TECH I	56,614	2.33	135,000	5.00	135,000	5.00	0	0.00
PROF REG LIC TECH II	28,490	1.00	35,000	1.00	35,000	1.00	0	0.00
REAL ESTATE EXAMINER I	140,760	4.70	190,000	6.00	150,000	5.00	0	0.00
REAL ESTATE EXAMINER II	72,869	1.96	90,000	2.00	40,000	1.00	0	0.00
REAL ESTATE EXAMINER SUPV	45,006	1.00	50,000	1.00	50,000	1.00	0	0.00
REAL ESTATE EXAMINER FIELD SPV	3,111	0.08	0	0.00	90,000	2.00	0	0.00
REAL ESTATE EDUCATION SPEC	33,380	1.00	40,000	1.00	40,000	1.00	0	0.00
BOARD MEMBER	5,812	0.30	15,000	0.00	15,000	0.00	0	0.00
CLERK	7,076	0.34	7,947	0.00	7,947	0.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSON	68,183	1.00	70,000	1.00	70,000	1.00	0	0.00
TOTAL - PS	700,412	20.71	897,447	25.00	897,447	25.00	0	0.00
TRAVEL, IN-STATE	27,287	0.00	25,000	0.00	35,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	8,425	0.00	10,000	0.00	10,000	0.00	0	0.00
SUPPLIES	57,058	0.00	81,044	0.00	85,044	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	14,544	0.00	24,000	0.00	25,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	19,258	0.00	25,000	0.00	25,000	0.00	0	0.00
PROFESSIONAL SERVICES	56,704	0.00	105,000	0.00	88,000	0.00	0	0.00
M&R SERVICES	7,193	0.00	8,000	0.00	10,000	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	20,000	0.00	20,000	0.00	0	0.00
OFFICE EQUIPMENT	3,137	0.00	5,000	0.00	5,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	1,000	0.00	1,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	1,000	0.00	1,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	901	0.00	1,500	0.00	1,500	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	44	0.00	1,000	0.00	1,000	0.00	0	0.00

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO REAL ESTATE COMMISSION								
CORE								
MISCELLANEOUS EXPENSES	5,754	0.00	10,000	0.00	10,000	0.00	0	0.00
TOTAL - EE	200,305	0.00	317,544	0.00	317,544	0.00	0	0.00
GRAND TOTAL	\$900,717	20.71	\$1,214,991	25.00	\$1,214,991	25.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$900,717	20.71	\$1,214,991	25.00	\$1,214,991	25.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration
Missouri Real Estate Commission
Program is found in the following core budget(s): Missouri Real Estate Commission

1. What does this program do?

The Missouri Real Estate Commission was established to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate brokers and salespersons in the State of Missouri.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

339.010-339.860 RSMo

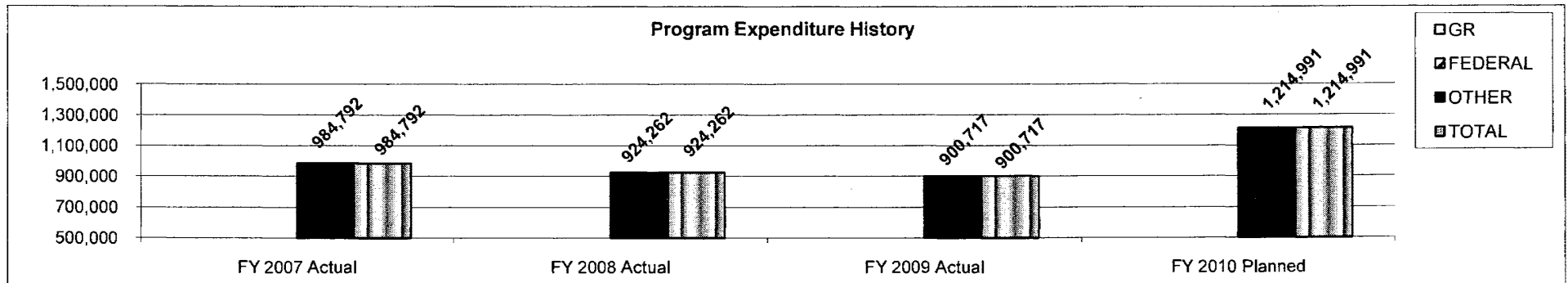
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Missouri Real Estate Commission Fund (0638)

PROGRAM DESCRIPTION

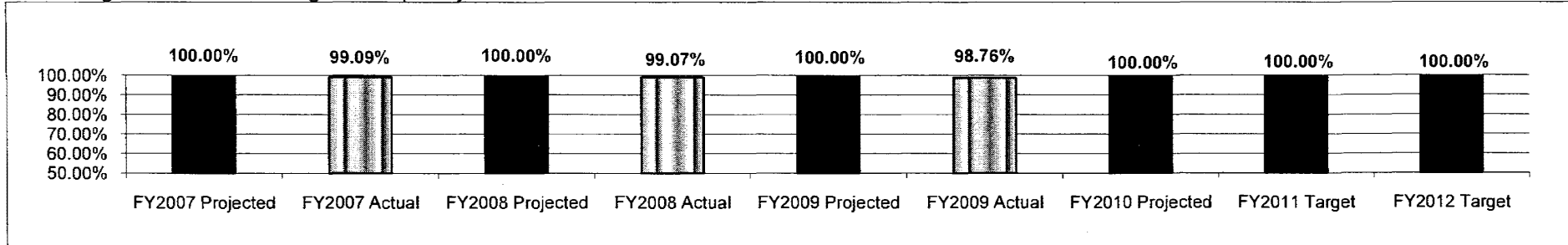
Department of Insurance, Financial Institutions and Professional Registration

Missouri Real Estate Commission

Program is found in the following core budget(s): Missouri Real Estate Commission

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	14,430	10,829	6,300	6,339	3,000	4,722	2,700	2,700	2,700
Licensed Professionals	54,827	52,324	53,000	53,186	52,000	48,119	48,750	44,750	45,500

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO VETERINARY MEDICAL BOARD								
CORE								
EXPENSE & EQUIPMENT								
VETERINARY MEDICAL BOARD	101,705	0.00	109,579	0.00	109,579	0.00	0	0.00
TOTAL - EE	101,705	0.00	109,579	0.00	109,579	0.00	0	0.00
TOTAL	101,705	0.00	109,579	0.00	109,579	0.00	0	0.00
GRAND TOTAL	\$101,705	0.00	\$109,579	0.00	\$109,579	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration	Budget Unit	42790C
Professional Registration		
Core - Missouri Veterinary Medical Board		

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	69,579	69,579
PSD	0	0	40,000	40,000 E
TRF	0	0	0	0
Total	0	0	109,579	109,579
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Veterinary Medical Board Fund (0639)
 Notes: Expense and Equipment includes \$40,000 E for testing services.

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by veterinarians and veterinary technicians licensed in Missouri.

3. PROGRAM LISTING (list programs included in this core funding)

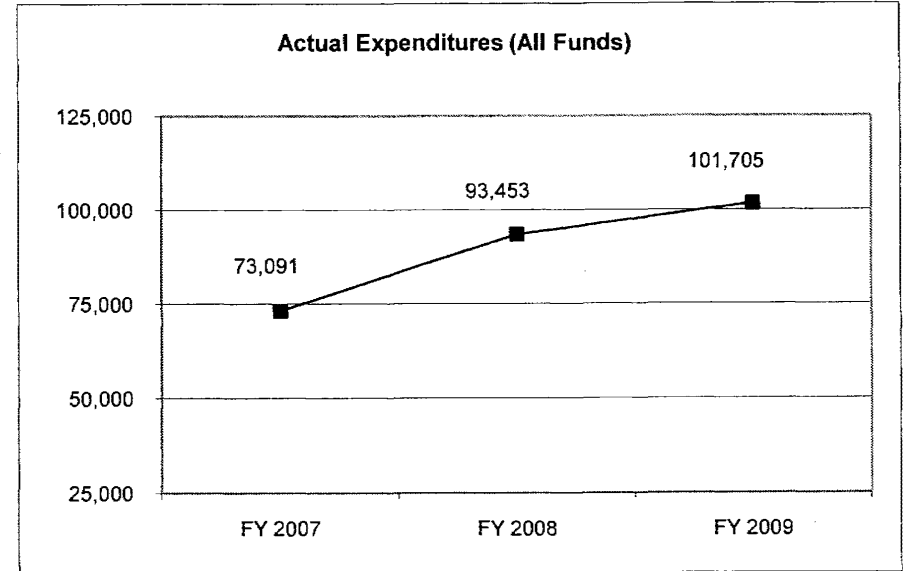
Missouri Veterinary Medical Board

CORE DECISION ITEM

Department of Insurance, Financial Institution and Professional Registration Budget Unit 42790C
Professional Registration
Core - Missouri Veterinary Medical Board

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	109,579	109,579	109,579	109,579
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	109,579	109,579	109,579	N/A
Actual Expenditures (All Funds)	73,091	93,453	101,705	N/A
Unexpended (All Funds)	36,488	16,126	7,874	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	36,488	16,126	7,874	N/A
	(1)	(2)	(3)	(4)



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.
- (2) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.
- (3) Unexpended amount due to less than anticipated expenditures, examination services and investigative expenses.
- (4) Includes an estimated appropriation of \$40,000 E for testing services.

CORE RECONCILIATION DETAIL

DIFP

MO VETERINARY MEDICAL BOARD

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	EE	0.00	0	0	109,579	109,579	
	Total	0.00	0	0	109,579	109,579	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	109,579	109,579	
	Total	0.00	0	0	109,579	109,579	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	109,579	109,579	
	Total	0.00	0	0	109,579	109,579	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MO VETERINARY MEDICAL BOARD								
CORE								
TRAVEL, IN-STATE	10,404	0.00	14,000	0.00	12,500	0.00	0	0.00
TRAVEL, OUT-OF-STATE	2,317	0.00	4,000	0.00	3,000	0.00	0	0.00
SUPPLIES	10,825	0.00	14,000	0.00	12,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	2,697	0.00	2,000	0.00	3,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	1,548	0.00	1,983	0.00	1,983	0.00	0	0.00
PROFESSIONAL SERVICES	66,180	0.00	66,300	0.00	68,696	0.00	0	0.00
M&R SERVICES	961	0.00	1,500	0.00	1,500	0.00	0	0.00
OFFICE EQUIPMENT	2,242	0.00	200	0.00	1,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	400	0.00	0	0.00	0	0.00
BUILDING LEASE PAYMENTS	1,272	0.00	2,000	0.00	2,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	100	0.00	0	0.00	0	0.00
MISCELLANEOUS EXPENSES	3,259	0.00	3,096	0.00	3,400	0.00	0	0.00
TOTAL - EE	101,705	0.00	109,579	0.00	109,579	0.00	0	0.00
GRAND TOTAL	\$101,705	0.00	\$109,579	0.00	\$109,579	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$101,705	0.00	\$109,579	0.00	\$109,579	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

	Veterinary	PR Admin	TOTAL
GR			0
FEDERAL			0
OTHER	109,579	97,896	207,475
TOTAL	109,579	97,896	207,475

1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

340.200-340.350 RSMo

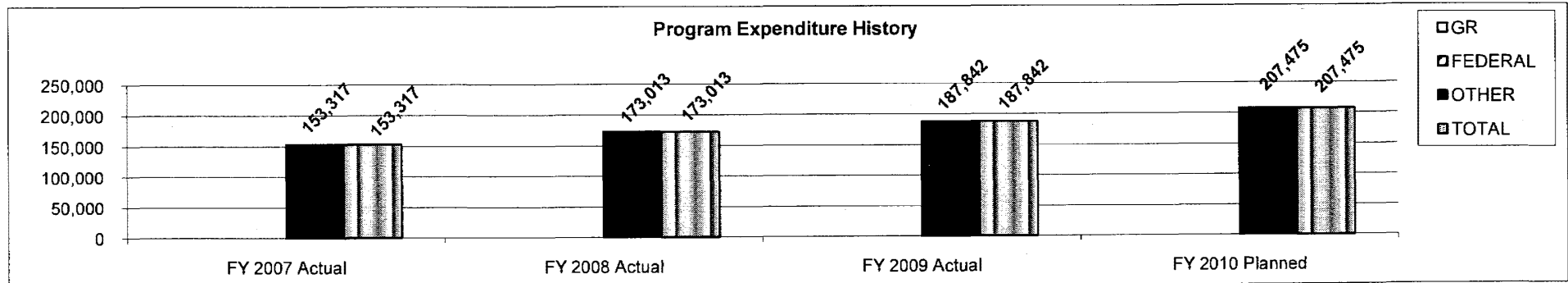
3. Are there federal matching requirements? If yes, please explain.

No

4. Is this a federally mandated program? If yes, please explain.

No

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

PROGRAM DESCRIPTION

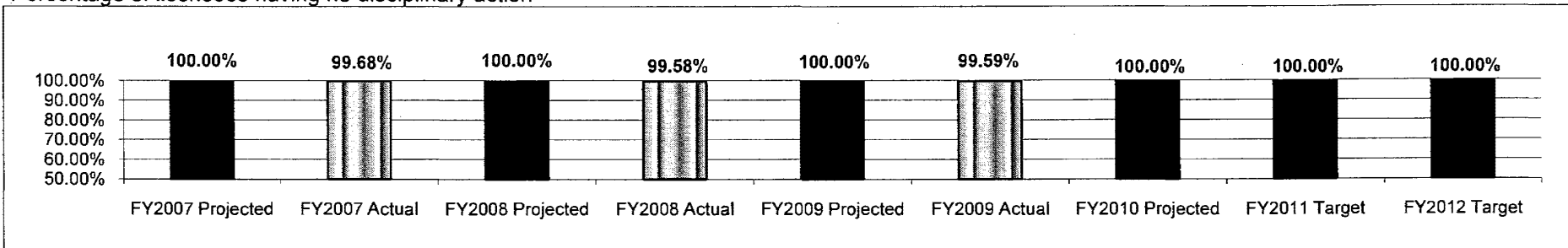
Department of Insurance, Financial Institutions and Professional Registration

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

7a. Provide an effectiveness measure.

Percentage of licensees having no disciplinary action



Note: Measure includes all disciplines ranging from a letter of censure to a revocation. Some disciplines may be open-ended and carry over year-to-year.

7b. Provide an efficiency measure.

Not available

7c. Provide the number of clients/individuals served, if applicable.

	FY2007		FY2008		FY2009		FY2010	FY2011	FY2012
	Proj.	Actual	Proj.	Actual	Proj.	Actual	Proj.	Target	Target
Applications received	345	400	400	447	360	399	390	390	390
Licensed Professionals	4,350	4,444	4,445	4,524	4,495	4,609	4,624	4,624	4,624

7d. Provide a customer satisfaction measure, if available.

Not available

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	9,022	0.00	7,700	0.00	7,700	0.00	0	0.00
STATE COMMITTEE OF INTERPRETER	2,266	0.00	7,800	0.00	7,800	0.00	0	0.00
BRD OF GEOLOGIST REGISTRATION	0	0.00	7,200	0.00	7,200	0.00	0	0.00
REAL ESTATE APPRAISERS	117,792	0.00	51,000	0.00	51,000	0.00	0	0.00
ENDOWED CARE CEMETERY AUDIT	7,111	0.00	9,100	0.00	9,100	0.00	0	0.00
CLINICAL SOCIAL WORKERS	18,772	0.00	9,064	0.00	9,064	0.00	0	0.00
STATE COMMITTEE OF PSYCHOLOGST	9,506	0.00	26,000	0.00	26,000	0.00	0	0.00
BOARD OF ACCOUNTANCY	6,164	0.00	28,000	0.00	28,000	0.00	0	0.00
BOARD OF PODIATRIC MEDICINE	5,613	0.00	7,700	0.00	7,700	0.00	0	0.00
BOARD OF CHIROPRACTIC EXAMINER	1,099	0.00	8,000	0.00	8,000	0.00	0	0.00
BOARD OF EMBALM & FUN DIR	23,847	0.00	85,000	0.00	85,000	0.00	0	0.00
BOARD OF REG FOR HEALING ARTS	47,887	0.00	190,000	0.00	190,000	0.00	0	0.00
BOARD OF NURSING	108,730	0.00	135,000	0.00	135,000	0.00	0	0.00
BOARD OF OPTOMETRY	0	0.00	13,408	0.00	13,408	0.00	0	0.00
BOARD OF PHARMACY	78,955	0.00	119,000	0.00	119,000	0.00	0	0.00
MO REAL ESTATE COMMISSION	116,714	0.00	150,000	0.00	150,000	0.00	0	0.00
VETERINARY MEDICAL BOARD	10,341	0.00	22,200	0.00	22,200	0.00	0	0.00
COMMITTEE OF PROF COUNSELORS	16,001	0.00	15,000	0.00	15,000	0.00	0	0.00
DENTAL BOARD FUND	18,968	0.00	31,200	0.00	31,200	0.00	0	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	60,352	0.00	122,100	0.00	122,100	0.00	0	0.00
ATHLETIC FUND	4,304	0.00	14,400	0.00	14,400	0.00	0	0.00
ATHLETIC AGENT	0	0.00	1	0.00	1	0.00	0	0.00
BRD OF COSMETOLOGY & BARBER EX	15,443	0.00	91,250	0.00	91,250	0.00	0	0.00
BOARD OF P.I. EXAMINERS	0	0.00	1	0.00	1	0.00	0	0.00
MARITAL & FAMILY THERAPISTS	583	0.00	2,200	0.00	2,200	0.00	0	0.00
RESPIRATORY CARE PRACTITIONERS	13,091	0.00	6,250	0.00	6,250	0.00	0	0.00
MO BRD OCCUPATIONAL THERAPY	3,830	0.00	8,960	0.00	8,960	0.00	0	0.00
DIETITIAN	0	0.00	1,200	0.00	1,200	0.00	0	0.00
INTERIOR DESIGNER COUNCIL	0	0.00	1,200	0.00	1,200	0.00	0	0.00
ACUPUNCTURIST	0	0.00	3,000	0.00	3,000	0.00	0	0.00
TATTOO	3,405	0.00	5,047	0.00	5,047	0.00	0	0.00

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DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR FUND TRANSFER TO GR								
CORE								
FUND TRANSFERS								
MESSAGE THERAPY	19,019	0.00	5,200	0.00	5,200	0.00	0	0.00
TOTAL - TRF	718,815	0.00	1,183,181	0.00	1,183,181	0.00	0	0.00
TOTAL	718,815	0.00	1,183,181	0.00	1,183,181	0.00	0	0.00
GRAND TOTAL	\$718,815	0.00	\$1,183,181	0.00	\$1,183,181	0.00	\$0	0.00

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration	Budget Unit 42820C
Division of Professional Registration	
Core - Transfers to General Revenue	

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	1,183,181	1,183,181 E
Total	0	0	1,183,181	1,183,181 E
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				

Other Funds: Various PR Funds
Notes: An "E" is requested on the various funds to allow for reimbursement of costs based upon use of services provided by general revenue supported offices (i.e., Attorney General, State Auditor, and the Administrative Hearings Commission).

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.				

Other Funds:
Notes:

2. CORE DESCRIPTION

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 620.010.14 (4) which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services, and hearing services.

3. PROGRAM LISTING (list programs included in this core funding)

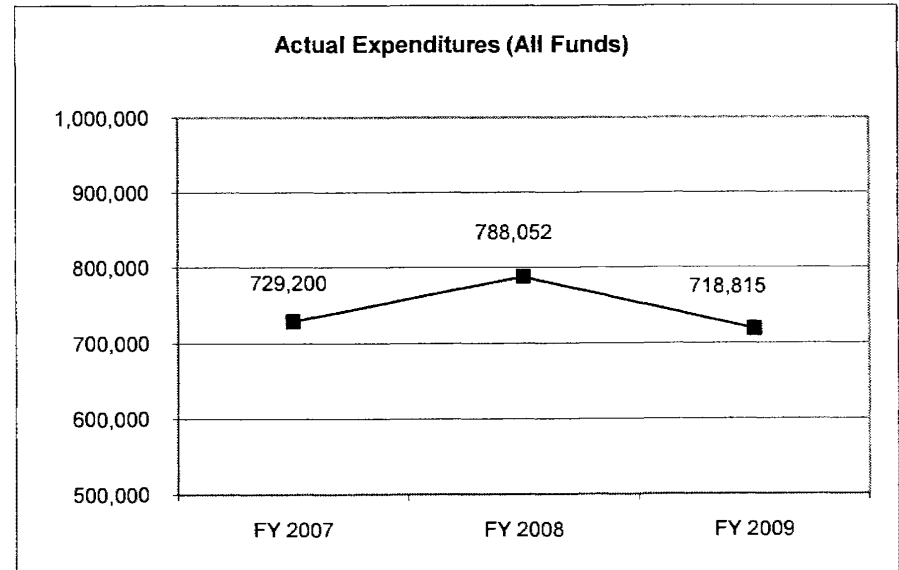
Professional Registration Funds Transfer to General Revenue

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42820C
Division of Professional Registration
Core - Transfers to General Revenue

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.	
Appropriation (All Funds)	1,183,181	1,183,181	1,183,181	1,183,181	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	1,183,181	1,183,181	1,183,181	N/A	
Actual Expenditures (All Funds)	729,200	788,052	718,815	N/A	
Unexpended (All Funds)	453,981	395,129	464,366	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	453,981	395,129	464,366	N/A	
	(1)	(2)	(3)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from GR funded agencies
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from GR funded agencies
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from GR funded agencies

0

CORE RECONCILIATION DETAIL

DIFP

PR FUND TRANSFER TO GR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	1,183,181	1,183,181	
	Total	0.00	0	0	1,183,181	1,183,181	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	1,183,181	1,183,181	
	Total	0.00	0	0	1,183,181	1,183,181	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	1,183,181	1,183,181	
	Total	0.00	0	0	1,183,181	1,183,181	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR FUND TRANSFER TO GR								
CORE								
TRANSFERS OUT	718,815	0.00	1,183,181	0.00	1,183,181	0.00	0	0.00
TOTAL - TRF	718,815	0.00	1,183,181	0.00	1,183,181	0.00	0	0.00
GRAND TOTAL	\$718,815	0.00	\$1,183,181	0.00	\$1,183,181	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$718,815	0.00	\$1,183,181	0.00	\$1,183,181	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Professional Registration Funds Transfer to General Revenue

Program is found in the following core budget(s): Division of Professional Registration Funds Transfer to General Revenue

1. What does this program do?

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 620.010.14 (4) which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services and hearing services.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

620.010.14 (4), RSMo

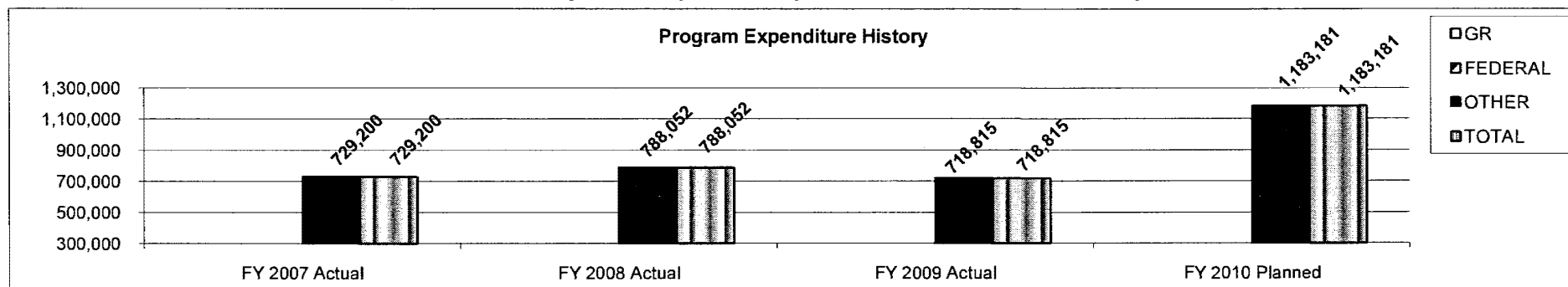
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various PR Funds

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP

DECISION ITEM SUMMARY

Budget Unit								
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINISTRATION TRANSFER								
CORE								
FUND TRANSFERS								
HEARING INSTRUMENT SPECIALIST	67,523	0.00	88,470	0.00	88,470	0.00	0	0.00
STATE COMMITTEE OF INTERPRETER	67,119	0.00	48,475	0.00	48,475	0.00	0	0.00
BRD OF GEOLOGIST REGISTRATION	59,533	0.00	71,215	0.00	71,215	0.00	0	0.00
REAL ESTATE APPRAISERS	380,176	0.00	419,574	0.00	419,574	0.00	0	0.00
ENDOWED CARE CEMETERY AUDIT	178,750	0.00	122,879	0.00	122,879	0.00	0	0.00
CLINICAL SOCIAL WORKERS	170,754	0.00	214,657	0.00	214,657	0.00	0	0.00
STATE COMMITTEE OF PSYCHOLOGST	305,547	0.00	348,058	0.00	348,058	0.00	0	0.00
BOARD OF ACCOUNTANCY	98,002	0.00	133,938	0.00	133,938	0.00	0	0.00
BOARD OF PODIATRIC MEDICINE	43,469	0.00	27,269	0.00	27,269	0.00	0	0.00
BOARD OF CHIROPRACTIC EXAMINER	118,714	0.00	133,850	0.00	133,850	0.00	0	0.00
BOARD OF EMBALM & FUN DIR	389,234	0.00	363,579	0.00	363,579	0.00	0	0.00
BOARD OF REG FOR HEALING ARTS	321,150	0.00	430,439	0.00	430,439	0.00	0	0.00
BOARD OF NURSING	617,539	0.00	1,104,260	0.00	1,104,260	0.00	0	0.00
BOARD OF OPTOMETRY	96,343	0.00	79,961	0.00	79,961	0.00	0	0.00
BOARD OF PHARMACY	219,483	0.00	274,379	0.00	274,379	0.00	0	0.00
MO REAL ESTATE COMMISSION	347,251	0.00	540,206	0.00	540,206	0.00	0	0.00
VETERINARY MEDICAL BOARD	169,080	0.00	171,129	0.00	171,129	0.00	0	0.00
COMMITTEE OF PROF COUNSELORS	280,564	0.00	283,797	0.00	283,797	0.00	0	0.00
DENTAL BOARD FUND	27,521	0.00	69,800	0.00	69,800	0.00	0	0.00
BRD OF ARCH,ENG,LND SUR,LND AR	166,829	0.00	278,472	0.00	278,472	0.00	0	0.00
ATHLETIC FUND	201,275	0.00	189,295	0.00	189,295	0.00	0	0.00
ATHLETIC AGENT	2,948	0.00	888	0.00	888	0.00	0	0.00
BRD OF COSMETOLOGY & BARBER EX	1,455,641	0.00	1,622,527	0.00	1,622,527	0.00	0	0.00
BOARD OF P.I. EXAMINERS	0	0.00	1	0.00	1	0.00	0	0.00
MARITAL & FAMILY THERAPISTS	15,308	0.00	17,211	0.00	17,211	0.00	0	0.00
RESPIRATORY CARE PRACTITIONERS	110,775	0.00	137,692	0.00	137,692	0.00	0	0.00
MO BRD OCCUPATIONAL THERAPY	86,617	0.00	138,152	0.00	138,152	0.00	0	0.00
DIETITIAN	51,000	0.00	56,348	0.00	56,348	0.00	0	0.00
INTERIOR DESIGNER COUNCIL	26,062	0.00	42,037	0.00	42,037	0.00	0	0.00
ACUPUNCTURIST	10,501	0.00	8,298	0.00	8,298	0.00	0	0.00
TATTOO	72,731	0.00	51,460	0.00	51,460	0.00	0	0.00

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DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
PR ADMINISTRATION TRANSFER									
CORE									
FUND TRANSFERS									
MESSAGE THERAPY	248,498	0.00	146,278	0.00	146,278	0.00	0	0.00	
TOTAL - TRF	6,405,937	0.00	7,614,594	0.00	7,614,594	0.00	0	0.00	
TOTAL	6,405,937	0.00	7,614,594	0.00	7,614,594	0.00	0	0.00	
GRAND TOTAL	\$6,405,937	0.00	\$7,614,594	0.00	\$7,614,594	0.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42830C
 Division of Professional Registration
 Core - Transfers to Professional Registration Fees Fund

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	7,614,594	7,614,594 E
Total	0	0	7,614,594	7,614,594 E
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various PR Funds
 Notes: An "E" is requested on the various funds to allow for reimbursement of costs based upon use of services provided by Professional Registration-Administration.

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:
 Notes:

2. CORE DESCRIPTION

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 620.010.14 (4), which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board.

3. PROGRAM LISTING (list programs included in this core funding)

Professional Registration Funds Transfer to Professional Registration Fee Fund

CORE DECISION ITEM

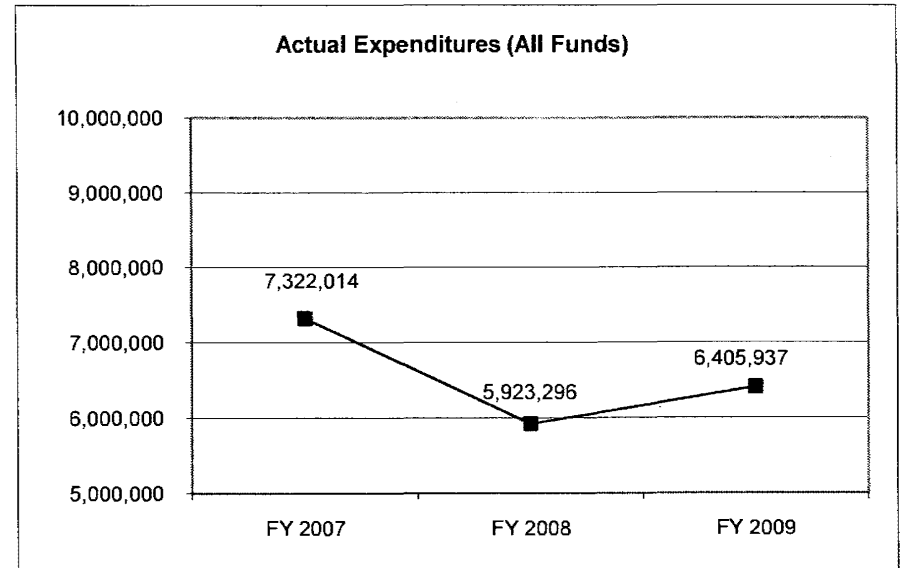
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42830C

Division of Professional Registration

Core - Transfers to Professional Registration Fees Fund

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.	
Appropriation (All Funds)	7,614,594	7,614,594	7,614,594	7,614,594	E
Less Reverted (All Funds)	0	0	0	N/A	
Budget Authority (All Funds)	7,614,594	7,614,594	7,614,594	N/A	
Actual Expenditures (All Funds)	7,322,014	5,923,296	6,405,937	N/A	
Unexpended (All Funds)	292,580	1,691,298	1,208,657	N/A	
Unexpended, by Fund:					
General Revenue	0	0	0	N/A	
Federal	0	0	0	N/A	
Other	292,580	1,691,298	1,208,657	N/A	
	(1)	(2)	(3)		



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from PR Administration
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from PR Administration
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from PR Administration

CORE RECONCILIATION DETAIL

DIFP

PR ADMINISTRATION TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	7,614,594	7,614,594	
	Total	0.00	0	0	7,614,594	7,614,594	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	7,614,594	7,614,594	
	Total	0.00	0	0	7,614,594	7,614,594	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	7,614,594	7,614,594	
	Total	0.00	0	0	7,614,594	7,614,594	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR ADMINSTRATION TRANSFER								
CORE								
TRANSFERS OUT	6,405,937	0.00	7,614,594	0.00	7,614,594	0.00	0	0.00
TOTAL - TRF	6,405,937	0.00	7,614,594	0.00	7,614,594	0.00	0	0.00
GRAND TOTAL	\$6,405,937	0.00	\$7,614,594	0.00	\$7,614,594	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$6,405,937	0.00	\$7,614,594	0.00	\$7,614,594	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Professional Registration Funds Transfer to Professional Registration Fees Fund

Program is found in the following core budget(s): Transfer to Professional Registration Fees Fund

1. What does this program do?

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 620.010.14 (4), which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to the board.

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

620.010.14 (4), RSMo

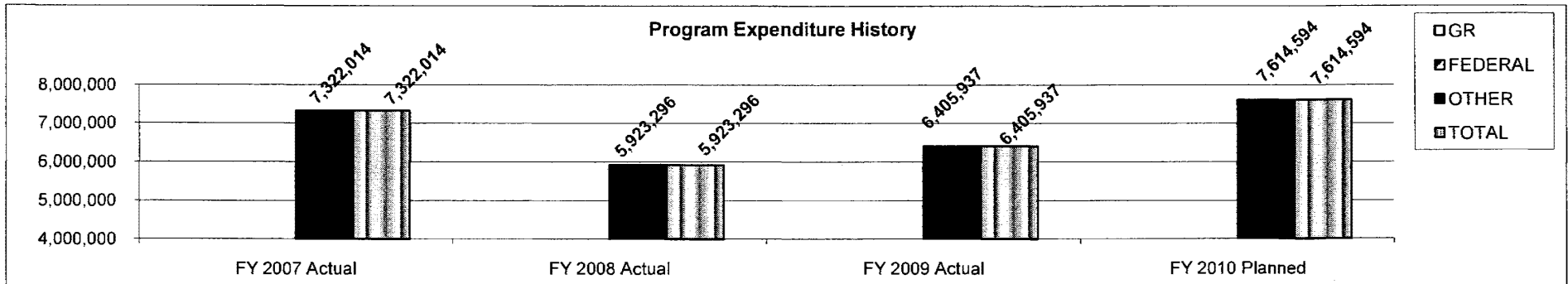
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various PR Funds

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
PR STARTUP LOANS									
CORE									
FUND TRANSFERS									
BOARD OF REG FOR HEALING ARTS	0	0.00	1	0.00	1	0.00	0	0.00	
TOTAL - TRF	0	0.00	1	0.00	1	0.00	0	0.00	
TOTAL	0	0.00	1	0.00	1	0.00	0	0.00	
GRAND TOTAL	\$0	0.00	\$1	0.00	\$1	0.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42850C
Division of Professional Registration
Core - Transfers for Start Up Loans for New Board Programs

1. CORE FINANCIAL SUMMARY

	FY 2011 Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	1	1 E
Total	0	0	1	1 E
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Various PR Funds
Notes: An "E" is requested on the various funds to allow for transfer to allow for funding of new licensing activity pursuant to Section 620.106, RSMo.

	FY 2011 Governor's Recommendation			
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:
Notes:

2. CORE DESCRIPTION

The Professional Registration Transfer for Startup Loans Core is necessary to carry out the provisions of Chapter 620.106, which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established 620.160, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

3. PROGRAM LISTING (list programs included in this core funding)

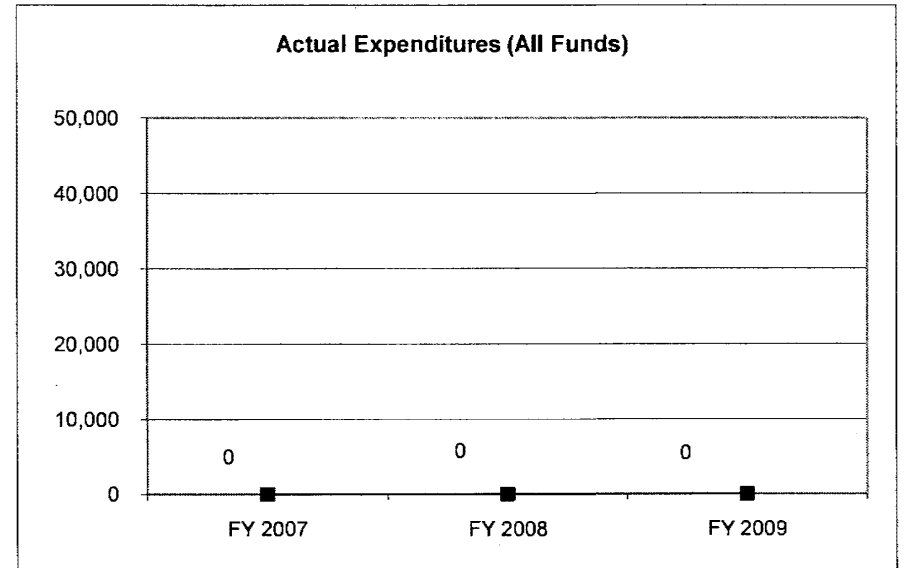
Transfer for Startup Loans for New Board Programs

CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration **Budget Unit** 42850C
Division of Professional Registration
Core - Transfers for Start Up Loans for New Board Programs

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	1	1	1	1 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	1	1	1	N/A
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	1	1	1	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1	1	1	N/A
	(1)	(1)	(1)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

(1) No startup funds were borrowed from other board to new boards in FY2007-FY2009

CORE RECONCILIATION DETAIL

DIFP

PR STARTUP LOANS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	1	1	
	Total	0.00	0	0	1	1	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	1	1	
	Total	0.00	0	0	1	1	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	1	1	
	Total	0.00	0	0	1	1	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR STARTUP LOANS								
CORE								
TRANSFERS OUT	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL - TRF	0	0.00	1	0.00	1	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$1	0.00	\$1	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$1	0.00	\$1	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Transfer for Startup Loans for New Board Programs

Program is found in the following core budget(s): Transfer for Startup Loans for New Board Programs

1. What does this program do?

The Professional Registration Transfer for Startup Loans Program is necessary to carry out the provisions of Chapter 620.106, which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established 620.160, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

620.106, RSMo

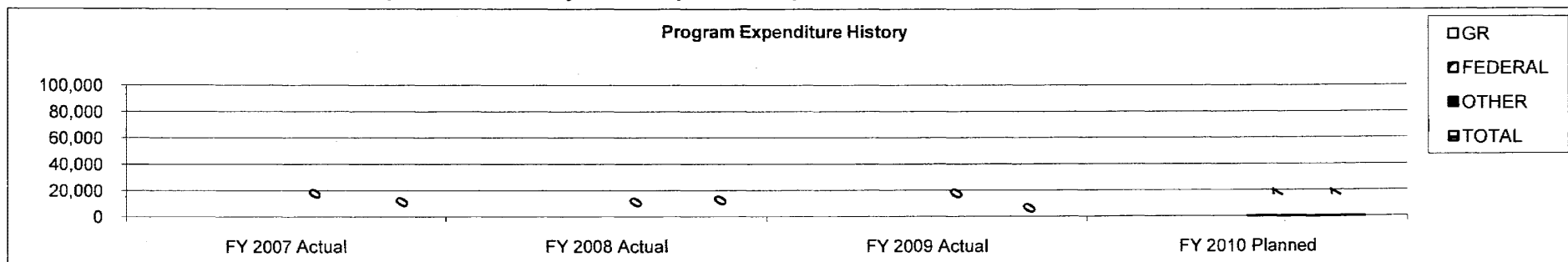
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various PR Funds

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

DIFP

DECISION ITEM SUMMARY

Budget Unit									
Decision Item	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****	
Budget Object Summary	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED	
Fund	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN	
PR STARTUP LOANS PAYBACK									
CORE									
FUND TRANSFERS									
PROFESSIONAL REGISTRATION FEES	25,000	0.00	1	0.00	1	0.00	0	0.00	
TOTAL - TRF	25,000	0.00	1	0.00	1	0.00	0	0.00	
TOTAL	25,000	0.00	1	0.00	1	0.00	0	0.00	
GRAND TOTAL	\$25,000	0.00	\$1	0.00	\$1	0.00	\$0	0.00	

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CORE DECISION ITEM

Department of Insurance, Financial Institutions and Professional Registration					Budget Unit <u>42860C</u>				
Division of Professional Registration									
Core - Transfers for Start Up Loan Payback									
1. CORE FINANCIAL SUMMARY									
FY 2011 Budget Request					FY 2011 Governor's Recommendation				
	GR	Federal	Other	Total		GR	Fed	Other	Total
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	1	1 E	TRF	0	0	0	0
Total	0	0	1	1 E	Total	0	0	0	0
FTE					FTE				
	0.00	0.00	0.00	0.00		0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>					<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:	Various PR Funds				Other Funds:				
Notes:	An "E" is requested to allow for transfer to allow for payback of loans to new licensing activity pursuant to Section 620.106, RSMo.				Notes:				
2. CORE DESCRIPTION									
<p>The Professional Registration Transfer for Payback of Startup Loans Core is necessary to carry out the provisions of Chapter 620.106, which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established 620.160, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."</p>									
3. PROGRAM LISTING (list programs included in this core funding)									
Transfer for Startup Loans Payback									

CORE DECISION ITEM

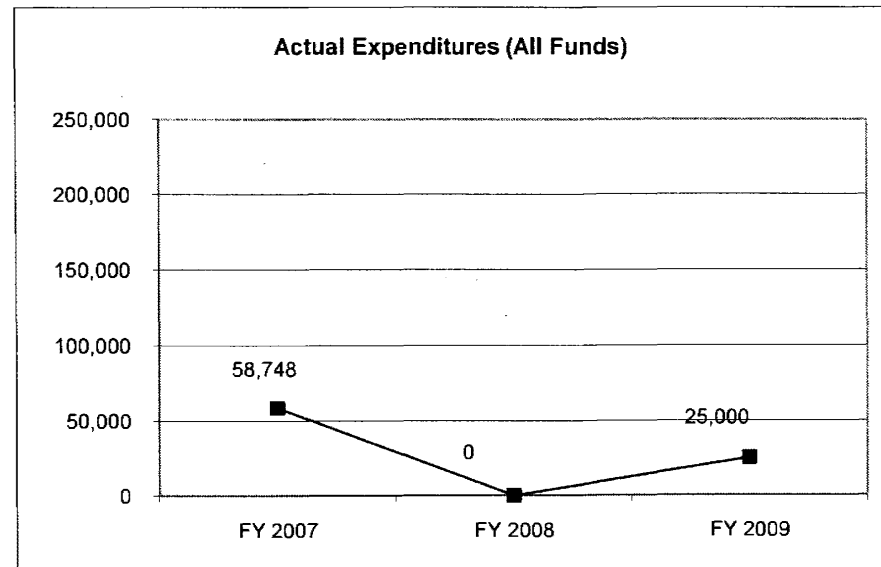
Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42860C

Division of Professional Registration

Core - Transfers for Start Up Loan Payback

4. FINANCIAL HISTORY

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Current Yr.
Appropriation (All Funds)	58,749	1	25,000	1 E
Less Reverted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	58,749	1	25,000	N/A
Actual Expenditures (All Funds)	58,748	0	25,000	N/A
Unexpended (All Funds)	1	1	0	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1	1	0	
	(1)	(2)	(3)	



Reverted includes Governor's standard 3 percent reserve (when applicable) and any extraordinary withholdings.

NOTES:

- (1) Startup loans paybacks included Athlete Agents, Interior Design and Interpreters.
- (2) No paybacks paid in FY08.
- (3) Startup loans paybacks included Interior Design and Interpreters.

CORE RECONCILIATION DETAIL

DIFP

PR STARTUP LOANS PAYBACK

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFP AFTER VETOES							
	TRF	0.00	0	0	1	1	
	Total	0.00	0	0	1	1	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	1	1	
	Total	0.00	0	0	1	1	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	1	1	
	Total	0.00	0	0	1	1	

DIFP

DECISION ITEM DETAIL

Budget Unit	FY 2009	FY 2009	FY 2010	FY 2010	FY 2011	FY 2011	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
PR STARTUP LOANS PAYBACK								
CORE								
TRANSFERS OUT	25,000	0.00	1	0.00	1	0.00	0	0.00
TOTAL - TRF	25,000	0.00	1	0.00	1	0.00	0	0.00
GRAND TOTAL	\$25,000	0.00	\$1	0.00	\$1	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$25,000	0.00	\$1	0.00	\$1	0.00		0.00

PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

Transfer for Startup Loans Payback

Program is found in the following core budget(s): Transfer for Startup Loans Payback

1. What does this program do?

The Professional Registration Transfer for Startup Loans Payback Program is necessary to carry out the provisions of Chapter 620.106, which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established 620.160, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

620.106, RSMo

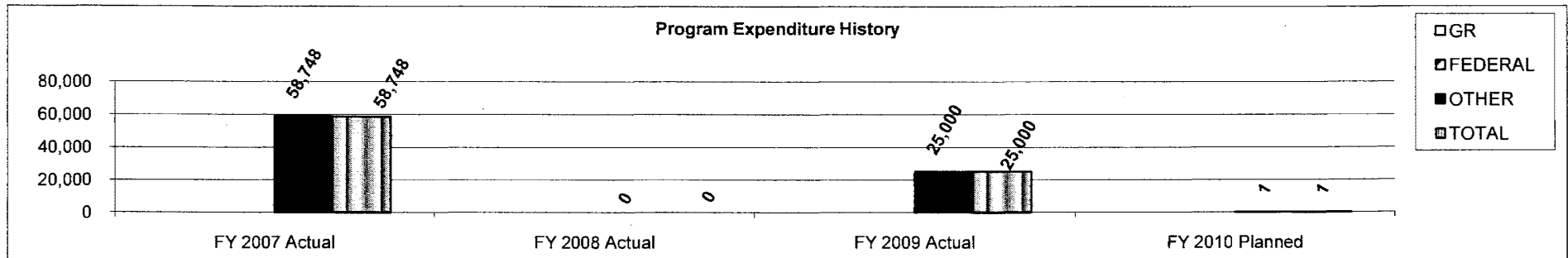
3. Are there federal matching requirements? If yes, please explain.

No.

4. Is this a federally mandated program? If yes, please explain.

No.

5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



6. What are the sources of the "Other " funds?

Various PR Funds

7a. Provide an effectiveness measure.

Not Applicable.

7b.

Provide an efficiency measure.

Not Applicable.

7c. Provide the number of clients/individuals served, if applicable.

Not Applicable.

7d.

Provide a customer satisfaction measure, if available.

Not Applicable.

SUPPLEMENTAL NEW DECISION ITEM

Department of Insurance, Financial Institutions & Prof Registration
Division of Professional Registration- State Board of Nursing
Reimbursement of Attorney Fees and Expenses **DI# 2375001**

Budget Unit 42740C

Original FY 09 House Bill Section, if applicable 7.500

1. AMOUNT OF REQUEST

FY 2010 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	5,000	5,000
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	5,000	5,000

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Board of Nursing Fund (0635)

FY 2010 Supplemental Governor's Recommendation				
	GR	Fed	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds:

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

On May 18, 2009 the board approved a settlement offer on attorney fees on the case of State Board of Nursing vs. Mark Neely. On April 29, 2008, case no. 07-0328 BN, the Administrative Hearing Commission ruled that the board of nursing did not have cause to discipline Mr. Neely's license. The board sought review in Circuit Court and the case was not overturned. The board has agreed to pay \$5,000 in attorney fees to Neely's attorney.

In accordance with Section 536.087, the State Board of Nursing is seeking appropriation authority in order to pay this award. This award will be paid from the State Board of Nursing fund. This is a one-time expense.

SUPPLEMENTAL NEW DECISION ITEM

Department of Insurance, Financial Institutions & Prof Registration				Budget Unit <u>42740C</u>					
Division of Professional Registration- State Board of Nursing									
Reimbursement of Attorney Fees and Expenses <u>DI# 2375001</u>				Original FY 09 House Bill Section, if applicable <u>7.500</u>					
3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)									
The State Board of Nursing must reimburse \$5,000 for attorney fees per a settlement agreement.									
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.									
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
							0	0.0	0
							0	0.0	0
Total PS	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>
							0		0
							0		0
							0		0
400-Professional Services					5,000		5,000		5,000
Total EE	<u>0</u>		<u>0</u>		<u>5,000</u>		<u>5,000</u>		<u>5,000</u>
Program Distributions							0		0
Total PSD	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Transfers							0		0
Total TRF	<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>		<u>0</u>
Grand Total	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>5,000</u>	<u>0.0</u>	<u>5,000</u>	<u>0.0</u>	<u>5,000</u>

SUPPLEMENTAL NEW DECISION ITEM

Department of Insurance, Financial Institutions & Prof Registration				Budget Unit <u>42740C</u>					
Division of Professional Registration- State Board of Nursing									
Reimbursement of Attorney Fees and Expenses DI# 2375001				Original FY 09 House Bill Section, if applicable <u>7.500</u>					
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
							0	0.0	0
							0	0.0	0
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0		0
							0		0
							0		0
Total EE	0		0		0		0		0
Program Distributions							0		0
Total PSD	0		0		0		0		0
Transfers							0		0
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)			
5a.	Provide an effectiveness measure. N/A	5b.	Provide an efficiency measure. N/A
5c.	Provide the number of clients/individuals served, if applicable. N/A	5d.	Provide a customer satisfaction measure, if available. N/A

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:
The State Board of Nursing will carry-out the directives of a settlement agreement.

DIFP

DECISION ITEM DETAIL

Budget Unit	SUPPL DEPT	SUPPL DEPT	*****	*****	*****	*****	SUPPL	SUPPL
Decision Item	REQUEST	REQUEST	SECURED	SECURED	SECURED	SECURED	MONTHS FOR	POSITION
Budget Object Class	DOLLAR	FTE	COLUMN	COLUMN	COLUMN	COLUMN		
BOARD OF NURSING								
DIFP OPERATING SUPPLEMENTAL - 2375001								
PROFESSIONAL SERVICES	5,000	0.00	0	0.00	0	0.00	0	0.00
TOTAL - EE	5,000	0.00	0	0.00	0	0.00	0	0.00
GRAND TOTAL	\$5,000	0.00	\$0	0.00	\$0	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$5,000	0.00	\$0	0.00	\$0	0.00		0.00

